

March 4, 2020

**VIA COMMISSION PORTAL**

Christopher J. Kirkpatrick  
Secretary, Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**Re: Commission Regulation 40.6(a) – Rule Certification  
LedgerX LLC Submission No. 20-07  
Confidential Treatment Requested by LedgerX LLC**

Dear Mr. Kirkpatrick:

LedgerX LLC (“LedgerX”) hereby notifies the Commodity Futures Trading Commission (the “Commission”), pursuant to Section 5c(c) of the Commodity Exchange Act (the “CEA”) and Commission Regulation 40.6(a), that it is certifying the attached changes and amendments to the Business Continuity and Disaster Recovery Plan. The attached changes and amendments will become effective on March 18, 2020.

A marked copy of the changes and amendments is included with this submission hereto as Appendix A. A request for confidential treatment and detailed written justification is being simultaneously submitted to the Commission.

LedgerX certifies that the changes and amendments comply with the CEA and Commission Regulations thereunder. LedgerX additionally certifies that it has concurrently posted a copy of this submission letter and the non-confidential attachments hereto on LedgerX’s website at <https://ledgerx.com/>. LedgerX is not aware of any or substantive opposing views to the changes and amendments.

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Please contact the undersigned at (917) 935-6727 or [glenn@ledgerx.com](mailto:glenn@ledgerx.com) if you have any questions or you would otherwise like to discuss this further.

Sincerely,

*Glenn Chaleff*

Glenn Chaleff  
Chief Compliance Officer  
LedgerX LLC





**Appendix B**

[SEE ATTACHED BELOW]

