

## SUBMISSION COVER SHEET

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): \_\_\_\_\_

Organization: \_\_\_\_\_

Filing as a:        **DCM**        **SEF**        **DCO**        **SDR**    Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): \_\_\_\_\_ Filing Description:

**SPECIFY FILING TYPE**                      Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

|                                     |            |
|-------------------------------------|------------|
| Certification                       | § 40.6(a)  |
| Approval                            | § 40.5(a)  |
| Notification                        | § 40.6(d)  |
| Advance Notice of SIDCO Rule Change | § 40.10(a) |
| SIDCO Emergency Rule Change         | § 40.10(h) |

Rule Numbers: \_\_\_\_\_

**New Product**                                      Please note only ONE product per Submission.

|                                       |            |
|---------------------------------------|------------|
| Certification                         | § 40.2(a)  |
| Certification Security Futures        | § 41.23(a) |
| Certification Swap Class              | § 40.2(d)  |
| Approval                              | § 40.3(a)  |
| Approval Security Futures             | § 41.23(b) |
| Novel Derivative Product Notification | § 40.12(a) |
| Swap Submission                       | § 39.5     |

Official Product Name: \_\_\_\_\_

**Product Terms and Conditions (product related Rules and Rule Amendments)**

|   |                      |
|---|----------------------|
| Certification   | § 40.6(a)            |
| Certification Made Available to Trade Determination     | § 40.6(a)            |
| Certification Security Futures                          | § 41.24(a)           |
| Delisting (No Open Interest)                            | § 40.6(a)            |
| Approval  | § 40.5(a)            |
| Approval Made Available to Trade Determination          | § 40.5(a)            |
| Approval Security Futures                               | § 41.24(b)           |
| Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: \_\_\_\_\_

Rule Numbers: \_\_\_\_\_