## Cantor Clearinghouse, L.P. Rule Amendment Submission February 16, 2018

1. The text of the rule changes to the Cantor Clearinghouse, L.P. Rulebook is attached. Additions are underlined and deletions are stricken through. These amended rules have been approved by Cantor Clearinghouse, L.P. Capitalized terms, unless otherwise defined herein, shall possess the meaning(s) ascribed in the Cantor Clearinghouse, L.P. Rulebook.

2. The proposed effective date is ten business days after receipt by the Commodity Futures Trading Commission of this submission.

3. Attached, please find a certification that: (1) these rules comply with the Commodity Exchange Act, and the Commission's regulations thereunder; and (2) concurrent with this submission, Cantor Clearinghouse, L.P. posted on its website: (i) a notice of pending certification of the rule submissions with the Commission; and (ii) a copy of this submission.

4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule appears below.

5. There were no opposing views expressed regarding these amended rules.

## CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND EFFECT OF THE CERTIFIED RULE AND ITS COMPLIANCE WITH APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION'S REGULATIONS THEREUNDER

Pursuant to Commission Rule 40.6(a)(7)(v), the following is a concise explanation and analysis of the operation, purpose, and effect of the amended rules.

For internal business reasons, Cantor Clearinghouse, L.P. (the "Clearinghouse") is changing its corporate name to CX Clearinghouse, L.P. Similarly, Cantor Futures Exchange, L.P. is changing its corporate name to CX Futures Exchange, L.P. The Clearinghouse is amending its Rulebook to reflect these name changes.

The Clearinghouse has reviewed the Core Principles for Designated Clearing Organizations under section 5b(c)(2) of the Commodity Exchange Act in the context of these amended rules and believes the amendments are in accordance with these obligations.

# CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. §7A-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

(1) the amended Rules above comply with the Commodity Exchange Act, and the Commodity Futures Trading Commission's regulations thereunder; and

(2) concurrent with this submission, CX Clearinghouse, L.P. posted on its website: (a) a notice of pending certification of the above Rules with the Commission; and (b) a copy of this submission.

Nolan Glant

By: Nolan Glantz Title: COO Date: February 16, 2018

# **Attachment—Rule Amendments**

### (Additions are underlined, deletions are struck through)

## I-1. Defined Terms

. . .

"<u>Clearinghouse</u>" shall mean <u>Cantor CX</u> Clearinghouse, L.P., a limited partnership organized under the laws of the State of Delaware, and its successors, and, when used with reference to the administration of any of these Rules, means the Board or the officers, employees, agents, committees or delegees of the Clearinghouse to whom appropriate authority to administer such provision has been delegated by the Board.

. . .

"<u>Exchange</u>" means <u>Cantor CX</u> Futures Exchange, L.P. (including its successors), a limited partnership organized under the laws of the State of Delaware.

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#### I-6. The Board of Directors

(a) The business and affairs of the Clearinghouse shall be managed by the Board of Directors in accordance with the Cantor CX Clearinghouse, L.P. Operating Agreement and Applicable Law.

(b) The number of directors on the Board of Directors shall be seven, at least three of whom shall be Public Directors (or such other percentage of the Board of Directors as may be required by the CFTC Regulations, as amended from time to time, provided that the number of Public Directors shall be equal to no less than 35% of the entire Board of Directors). Any vote made by the Board of Directors that results in a tie will require that the Board of Directors reconvene to reconsider the matter until such tie is broken.

(c) The appointment of the members of the Board of Directors will be made by the General Partner. <u>Cantor Fitzgerald, L.P., the The</u> sole member of the General Partner, shall make such appointments on behalf of the General Partner.