Eurex Clearing AG ECAG Rule Certification 011-21 February 15, 2021

1. The text of the proposed amendments to the Price List ("**Price List**") of Eurex Clearing AG ("**Eurex Clearing**") is appended as Attachment A. Any additions are underlined and any deletions are struck through.

2. The date of intended implementation is March 22, 2021.

3. Attached please find a certification that: (1) these amendments comply with the Commodity Exchange Act (the "Act"), and the Commission's regulations thereunder; and (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing to its website at: <u>https://www.eurex.com/ec-en/rules-regs/regulations/cftc-dco-filings</u>.

4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule appears below.

- 5. There were no opposing views expressed regarding these amended rules.
- 6. Confidential treatment is not requested.

CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND EFFECT OF THE PROPOSED RULE AND ITS COMPLIANCE WITH APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION'S REGULATIONS THEREUNDER

Eurex Clearing is proposing to amend the Price List to extend the product scope available on Eurex EnLight. Specifically, 273 Eurex products from various product groups, already available for trading in the Eurex order book and on the Eurex T7 Entry Services (off-book trading), will also become available for trading on Eurex EnLight. These amendments to the Price List reflect the necessary, accompanying updates to support this Eurex EnLight product extension.

For avoidance of doubt, Eurex Clearing clears Eurex EnLight products outside the scope of its derivatives clearing organization ("**DCO**") license, which applies only to swaps. Further, Eurex EnLight is not available to U.S. participants at this time.

Further information regarding the operation, purpose, and effect of the proposed amendments is discussed in the attached Eurex Clearing Circular 011/21, which is appended as Attachment A.

Eurex Clearing has identified the following DCO Core Principle as potentially being relevant to the above amendments:

1. <u>DCO Core Principle C (Participant and Product Eligibility)</u>: The proposed amendments will comply with DCO Core Principle C because the amendments amend the Price List for products cleared on a service outside of Eurex Clearing's DCO license, and all products cleared by Eurex

Clearing under its DCO license will continue to be subject to appropriate standards in compliance with this Core Principle.

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. §7a-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the amendments comply with the Commodity Exchange Act, and the Commission's regulations thereunder; and
- (2) concurrent with the filing of this submission, Eurex Clearing is posting a copy of this filing to its website at: <u>http://www.eurexclearing.com/clearing-en/resources/cftc-dco-filing</u>.

/s/ Eric Seinsheimer

- By: Eric Seinsheimer
- Title: US CCO, Eurex Clearing AG
- Dated: February 15, 2021