

FMX Futures Exchange, L.P.
Rule Amendment Submission #2023-01
February 10, 2023

1. The text of the change to the FMX Futures Exchange L.P. (“Exchange”) Rules is attached. This rule was approved by the Board of Directors at its meeting on February 7, 2023.
2. The proposed effective date is ten business days after receipt by the Commodity Futures Trading Commission (“Commission”) of this submission.
3. Attached, please find a certification that: (1) this rule complies with the Commodity Exchange Act and the Commission’s regulations thereunder; and (2) concurrent with this submission, the Exchange posted on its website: (i) a notice of pending certification of the rule submissions with the Commission; and (ii) a copy of this submission.
4. A concise explanation and analysis of the operation, purpose, and effect of the amended rule changes appear below.
5. There were no opposing views expressed regarding this amendment.

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CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE, AND
EFFECT OF THE CERTIFIED RULE AND ITS COMPLIANCE WITH APPLICABLE
PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES AND THE COMMISSION’S
REGULATIONS THEREUNDER

Pursuant to Commission Rule 40.6(a)(7)(vi), the following is a concise explanation and analysis of the operation, purpose, and effect of the amended rules.

The Exchange is adopting new *Rule II-12 Transaction Records* to specify that the Exchange will maintain all regulatory records as defined in CFTC Regulation 1.31 relating to transactions on the Exchange in accordance with the CFTC’s requirements for data creation and storage.

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CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY EXCHANGE ACT,
7 U.S.C. §7A-2 AND COMMODITY FUTURES TRADING COMMISSION RULE 40.6, 17
C.F.R. §40.6

I hereby certify that:

(1) the amended Rule below complies with the Commodity Exchange Act and the Commodity Futures Trading Commission's regulations thereunder; and

(2) concurrent with this submission, FMX Futures Exchange, L.P. posted on its website, CXMarkets.com: (a) a notice of pending certification of the above Rule with the Commission; and (b) a copy of this submission.



By: Thomas Thompson
Title: Chief Compliance Officer
Date: February 10, 2023

Attachment — New Rule

Rule II-12 Transaction Records

All regulatory records as defined in CFTC Regulation 1.31 relating to transactions on the Exchange shall be maintained by the Exchange in accordance with the CFTC's requirements for data creation and storage. Records shall be maintained for at least five years from the time the record was created or for such longer period required by CFTC regulations and shall be sufficient to provide a complete audit trail. Data for any swap transaction shall be maintained for at least five years after the date of termination, maturity, transfer, assignment or novation of the swap.