



January 27, 2023

Submitted via Portal

Mr. Christopher J. Kirkpatrick
Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

**Re: CFTC Regulation 40.6(d) Notification. AEGIS SEF, LLC Weekly
Notification of Amended Terms and Conditions**

Dear Sir:

Pursuant to Section 40.6(d) of the regulations of the U.S. Commodity Futures Trading Commission (the "CFTC", or the "Commission"), AEGIS SEF, LLC ("AEGIS") hereby notifies the Commission that it has amended its Exhibit N-1 Participant Agreement and Exhibit N-3 Market Participant Addendum. The amended Exhibits shall become effective January 27, 2023.

A concise explanation and analysis of the rules and their compliance with applicable provisions of the Act, including core principles, Commission regulations and/or AEGIS SEF Rules, is attached hereto as Exhibit A. A copy of the Exhibit N-1 Participant Agreement is attached under separate cover as Exhibit B. A copy of the Exhibit N-3 Market Participant Addendum is attached under separate cover as Exhibit C. A redlined version of the Exhibit N-1 Participant Agreement is attached under separate cover as Exhibit D. A redlined version of the Exhibit N-3 Market Participant Addendum is attached under separate cover as Exhibit E. Exhibits B thru E bear the designation "Confidential Treatment Requested by AEGIS SEF, LLC."

This request for confidential treatment is made pursuant to Commission Regulations 40.8, as Exhibits B thru E contain information that would reveal the trade secrets or confidential commercial or financial information of AEGIS SEF and its affiliates.

AEGIS hereby certifies that: (i) the amended agreements comply with the Act and the Commission's regulations thereunder, and (ii) copy of this submission is being concurrently posted on AEGIS's website. There were no substantive opposing views to the amendments to Exhibit N-1 and Exhibit N-3.

Please contact the undersigned at 832-413-5973 or afurman@aegis-hedging.com with any questions regarding this matter.

(signature on page 2)

Andrew Furman

Andrew Furman
Chief Compliance Officer
AEGIS SEF, LLC
2829 Technology Forest Blvd
Suite 260
The Woodlands, TX 77381

Exhibit A – Explanation

Amendment and Explanation	Supporting Rule / Core Principle
Added separate exhibit(s) to N-1 and N-3 to allow for multiple child entities with same signatory to execute single agreement	<ul style="list-style-type: none">• Core Principle 1(B)- Reasonable Discretion of swap execution facility• Core Principle 5 – Ability to Obtain Information• Core Principle 10 – Recordkeeping• AEGIS SEF Rulebook 3.3
Clarified description of existing agreement in N-3	<ul style="list-style-type: none">• Core Principle 1(B)- Reasonable Discretion of swap execution facility• Core Principle 5 – Ability to Obtain Information• Core Principle 10 – Recordkeeping• AEGIS SEF Rulebook 3.3

Exhibit B – AEGIS SEF Exhibit N-1 Participant Agreement

(attached under separate cover)

Exhibit C – AEGIS SEF Exhibit N-3 Market Participant Addendum

(attached under separate cover)

Exhibit D – AEGIS SEF Exhibit N-1 Participant Agreement (redlined)

(attached under separate cover)

Exhibit E – AEGIS SEF Exhibit N-3 Market Participant Addendum (redlined)

(attached under separate cover)