



Via Portal Submission

January 17, 2017

Christopher J. Kirkpatrick
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: OneChicago, LLC Rule Submission
Amending OCX Rule 601 (Fraudulent Acts)
(OCX Submission Number 17-002)

Dear Mr. Kirkpatrick:

Pursuant to section 5c(c)(1) of the Commodity Exchange Act, as amended (the “Act”), and § 40.6(a) of the regulations promulgated by the Commodity Futures Trading Commission (“CFTC” or the “Commission”) under the Act, OneChicago, LLC (“OneChicago,” “OCX,” or the “Exchange”) hereby submits the following rule changes, which will become effective on January 31, 2017. OneChicago is making two changes to OCX Rule 601 (Fraudulent Acts):

- Amending Rule 601 to clarify that an attempt to engage in any fraudulent act or any scheme to defraud, deceive or trick, in connection with or related to any trade on or other activity related to the Exchange or the Clearing Corporation is a violation of that rule. As previously drafted, Rule 601 did not expressly prohibit such attempts.
- Further amending Rule 601 to track the language in Commission Regulation 180.1 that expressly prohibits the use or employment of any manipulative device, scheme or artifice to defraud.

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The purpose and effect of the rule changes is to strengthen the Exchange’s prohibition against attempted fraudulent acts and to track the language of Commission Regulation 180.1 in prohibiting the use or employment of any manipulative device, scheme or artifice to defraud. Comments on the rule changes have not been solicited and none have been received. OneChicago is not aware of any substantive opposing views to this rule filing. OneChicago certifies that the rule changes comply with the Act, including the core principles, and the

Commission's regulations promulgated thereunder. OneChicago further certifies that a copy of this submission has been posted on the [OneChicago website](#).

OneChicago staff has reviewed the core principles applicable to designated contract markets ("DCMs"), and has concluded that the proposed rule changes may have some bearing upon the following core principle(s):

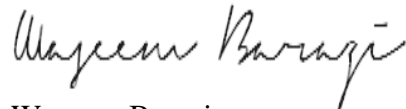
Core Principle 2: Core Principle 2 requires DCMs to establish, monitor, and enforce compliance with the rules of the Exchange. The proposed rule changes support Core Principle 2 in that they provide OneChicago staff and market participants with clear and enforceable rules related to fraudulent acts.

Core Principle 7: Core Principle 7 requires DCMs to make available to market authorities, market participants, and the public accurate information concerning the rules and regulations for executing transactions on the contract market. The proposed rule changes support Core Principle 7 in that they inform market participants of specific prohibited activity.

Core Principle 12: Core Principle 12 requires DCMs to, among other things, establish and enforce rules to promote fair and equitable trading on the contract market. OneChicago's proposed rule changes are intended to ensure a fair and equitable marketplace for OneChicago's market participants by prohibiting acts or attempted acts that may harm other market participants, the Exchange, or the Clearinghouse.

If you have any questions or comments related to this filing, please feel free to contact me by telephone at (312) 883-3441 or through e-mail at wbarazi@onechicago.com.

Respectfully Submitted,



Waseem Barazi
Chief Regulatory Officer and Associate General Counsel

Encl: Attachment A

Attachment A

601. Fraudulent Acts

No Clearing Member, Exchange Member (including their respective Related Parties) or Access Person, shall engage in, or attempt to engage in, any fraudulent act or engage in any scheme to defraud, deceive or trick, or use or employ, or attempt to use or employ, any manipulative device, scheme, or artifice to defraud in connection with or related to any trade on or other activity related to the Exchange or the Clearing Corporation.