**Exhibit U**

**ForecastEx LLC**

**Confidential Treatment of Information**

**March 2022**

**Attach as Exhibit U, any information (described with particularity) included in the application that will be subject to a request for confidential treatment pursuant to §145.9 of the Commission’s regulations.**

Pursuant to Commission Regulation 145.9, ForecastEx LLC is requesting confidential treatment for the following documents:

* Table of Contents
* Appendix A to FOIA Confidential Treatment Request Letter
* Form DCO Cover Sheet (Excluding the first page)
* Exhibit A - 10 Percent Owners and Control Persons
* Exhibit B - Board and Management
* Exhibit C - Director Fitness Standards
* Exhibit D - Organizational Structure
* Exhibit E - Personnel Qualifications
* Exhibit F - Staffing Requirements
* Exhibit H - Material Legal Proceedings
* Exhibit I - Financial Resources
* Exhibit I-1 – IBG LLC Audited Financials
* Exhibit J - Financial Information for DCM Affiliates
* Exhibit K - Schedule of Fees
* Exhibit N - Agreements Relating to DCM Core Principles
* Exhibit N-1 – Connamara Master Service Agreement
* Exhibit N-2 - EP3 Software License Agreement
* Exhibit N-3 – Clearing Member Agreement
* Exhibit O - Compliance Manual
* Exhibit P - Disciplinary Tools and Procedures
* Exhibit Q - Description of Trading System
* Exhibit R - Trade Practice Violations
* Exhibit S - Maintenance of Trading Data
* Exhibit T - Clearing Organization and Clearing Firm Trade Guarantee
* Exhibit V - Technology Questionnaire

This includes all attachments to the exhibits unless otherwise noted.

Additionally, ForecastEx is requesting confidential treatment for certain names in Exhibit G-4 – LLC Agreement, which have been redacted. The remainder of Exhibit G-4 is not subject to confidential treatment.

A petition for confidential treatment is being separately submitted pursuant to Commission Regulation 145.9.