



2014 DOT -5 PM 4: 40

OFC. OF THE SECRETARIAN

October 5, 2006

BY EMAIL

Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re:

Rule Certification. Notification of an Approved Amendment to Supplements No. 5, "Approved Brands Acceptable on the London Platinum and Palladium Market" of both the Platinum and Palladium Futures Contracts.

NYMEX Submission 06-110

Dear CFTC Commissioners:

The New York Mercantile Exchange, Inc. ("NYMEX" or the "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") of an approved amendment to Supplements No. 5, "Approved Brands Acceptable on the London Platinum and Palladium Market" of both the Platinum and Palladium Futures Contracts to be implemented effective Monday, October 9, 2006 to include new brand markings for a previously approved brand. Tanaka Kikinzoku Kogyo K.K. produces brands for delivery on the NYMEX Platinum and Palladium contracts. The brand markings for new production have been changed from "Tanaka Tokyo" to "Tanaka Tokyo Melters" (copy of drawings which illustrate the change are attached). The company has confirmed that the process technology and analytic procedures for quality control of the platinum and palladium ingots remain unchanged. Furthermore, they forward typical analytic results to demonstrate that their ingots continue to meet contract quality specifications.

The Board of Directors, at its October 4, 2006 meeting unanimously voted to approve amending Supplements No. 5 to both Chapter 90 Platinum Futures Contract and to Chapter 100 Palladium Futures Contract to include these new brand markings. These revised Supplements are attached.

These brands, with both the new and old markings are approved for good delivery on both the London Platinum and Palladium Market ("LPPM") and the TOCOM. In correspondence dated June 27, 2006, the London Platinum and Palladium Market ("LPPM") informed the Exchange that the platinum and palladium produced by Tanaka Kikinzoku Kogyo K.K. with the new brand markings have been accepted as good delivery in accordance with the rules of the LPPM. Please refer to Exchange Submission No. 00-140 dated November 3, 2000 and approved by the Commission on November 21, 2000 for background information.

Pursuant to Section 5c(c) of the Commodity Exchange Act ("Act") and CFTC Rule 40.6, the Exchange hereby certifies that the attached rule amendment complies with the Act, including regulations under the Act. The approved amendment will go into effect on Friday, October 6, 2006.

If you have any questions concerning this submission, please contact the undersigned at 212-299-2390, Jay Gottlieb at 212-299-2607, jgottlieb@nymex.com, or James Zeng at 212-299-2612, jzeng@nymex.com.

Very truly yours,

Robert A. Levin Senior Vice President - Research

The Drawing of the company brand mark

The New brand mark for Platinum and Palladium



The previous brand mark for Platinum and Palladium



PROPOSED AMENDMENTS

<u>Underlining</u> indicates additions. <u>Strikethroughs</u> indicate deletions.

NYMEX PLATINUM FUTURES CONTRACT

Supplement No. 5

| COUNTRY/PRODUCER | MOST RECENT MARKS | COMPUTER CODE |
|-------------------------------------|---|------------------|
| UNITED STATES | | |
| Engelhard Corporation, Carteret | "E" Symbol in octagon | ECCA |
| Heraeus Metal Processing Inc. | Heraeus with HMP surrounded by a sun | НРМІ |
| Johnson Matthey Inc. | Johnson Matthey Made in U.S.A. | JMUS |
| Sabin Metal Corporation | SMC | SMC |
| FRANCE Engelhard-Clal Paris GERMANY | Engelhard-CLAL Paris | ECLP |
| Umicore AG & Co. KG | Degussa with half sun & quarter moon in a diamond | OMGA |
| W.C. Heraeus GmbH | H surrounded by a sun & the word Heraeus | WCHG |
| GREAT BRITAIN | | |
| Engelhard-Clal (UK) Limited | E-C in a parallelogram | ECUK |
| Inco Europe Limited | Inco | INCO |
| Johnson Matthey plc | Company logo & Made in England | JMUK |

Supplement No. 5

| COUNTRY/PRODUCER | MOST RECENT MARKS | COMPUTER CODE |
|--|---|---------------|
| ITALY | | |
| Engelhard s.r.l. (from serial number R501 onwards) | "1ER" in octagon | ESRL |
| JAPAN | | |
| Ishifuku Metal Industry Co. Limited | Ishifuku Tokyo | IMIL |
| Matsuda Sangyo Co. Limited | Matsuda Tokyo Assayer Melter | MSCL |
| Tanaka Kinkinzoku Kogyo K.K. | Tanaka Tokyo Melters | TKKM |
| Tokuriki Honten Co. Limited | Tokuriki Tokyo | THCL |
| Asahi Pretec Corp | AKK in a diamond | ASPC |
| Furuya Metal Co. Ltd | FKF overlays Furuya Metal Tokyo in a circle | FMCL |
| NETHERLANDS | | |
| H. Drijfhout & Zoon's Edelmetaalbedrijven B.V. | H. Drijfhout & Zoon Amsterdam Melters | DZAM |
| RUSSIA Ekaterinburg | POCCИЯ in an oval & company sy | mbol EKAT |
| Krasnoyarsk | Made in Russia (with K and a flower | |
| Novosibirsk Refining Factory | РОССИЯ in an oval & company sy | , |
| Prioksk | POCCИЯ in an oval & company sy | |

Supplement No. 5

APPROVED BRANDS ACCEPTABLE ON THE LONDON PLATINUM and PALLADIUM MARKET

| COUNTRY/PRODUCER | MOST RECENT MARKS | COMPUTER CODE |
|-----------------------------------|--|------------------|
| SOUTH AFRICA | | |
| Impala Platinum Limited | Company symbol only Impala Animal Head | PLAT |
| Rustenburg Platinum Mines Limited | Rustenburg in a rectangle | RPML |
| Western Platinum Refinery Limited | W.P.L. Platinum | WPRL |
| SWITZERLAND | | |
| Argor-Heraeus SA | Argor-Heraeus SA | AHSA |
| Metaux Precieux SA Metalor | M.P. Assayeur Fondeur | MPSA |
| Valcambi SA | Valcambi S.A. Balerna-Suisse | VABS |
| Pamp S.A. | PAMP individual letters in cylinder followed by the word Switzerland | PAMP |

FORMER REFINERS

| COUNTRY/PRODUCER | FORMER MARKINGS | COMPUTER <u>CODE</u> |
|---|-------------------------|-------------------------|
| UNITED STATES Engelhard-Clal | Engelhard-CLAL New York | ECNY |
| Engelhard Metals Corporation, Carteret | "E" symbol in octagon | EMCC |
| PGP Industries Inc. | PGP in a circle of dots | PGPI |
| <u>JAPAN</u> Tanaka Kinkinzoku Kogyo K.K | Tanaka Tokyo | TKKK |

Supplement No. 5

| FORMER REFINERS | | COMPUTED |
|----------------------------------|---|------------------|
| COUNTRY/PRODUCER | FORMER MARKINGS | COMPUTER CODE |
| FRANCE | | |
| Compagnie des Metaux Precieux SA | "Compagnie des Metaux Paris CMP" | CMPP |
| Comptoir Lyon – Alemand Louyot | "Comptoir-Lyon-Alemand Louyot Pa Affineur Fondeur" in octagon | nris CLAL |
| Engelhard SA | "Engelhard" in parallelogram and "Comptoir-Lyon-Alemand Louyot Pa Affineur Fondeur" in octagon | ENSA aris |
| GERMANY | | |
| Degussa AG | Degussa with half sun & quarter moon in a diamond | DEAG |
| GREAT BRITAIN | | |
| Engelhard Limited | "Engelhard London" and "E" in parallelogram | ELGB |
| RUSSIA | | |
| State Refinery | Made in USSR with hammer & sickl | e RUSS |
| SOUTH AFRICA | | |
| Impala Platinum Limited | Company symbol | IMPL |
| SWITZERLAND | | |
| Argor SA | Argo S.A. Chiasso | ARSA |

PROPOSED AMENDMENTS

<u>Underlining</u> indicates additions.

NYMEX PALLADIUM FUTURES CONTRACT

Supplement No. 5

| COUNTRY/PRODUCER | MOST RECENT MARKS | COMPUTER <u>CODE</u> |
|---------------------------------|--|-------------------------|
| UNITED STATES | | |
| Engelhard Corporation, Carteret | "E" Symbol in octagon | ECCA |
| Heraeus Metal Processing Inc. | Heraeus with HMP surrounded by a sun | НРМІ |
| Johnson Matthey Inc. | Johnson Matthey Made in U.S.A. | JMUS |
| Sabin Metal Corporation | SMC | SMC |
| FRANCE Engelhard-Clal Paris | Engelhard-Clal Paris | ECLP |
| GERMANY | | |
| Umicore AG & Co. KG | Degussa with half sun & quarter | OMGA |
| moon in a diamond | | |
| W.C. Heraeus GmbH | H surrounded by a sun & the word Heraeus | WCHG |
| GREAT BRITAIN | | |
| Engelhard-Clal (UK) Limited | E-C in a parallelogram | ECUK |
| Inco Europe Limited | Inco | INCO |
| Johnson Matthey plc | Company logo & Made in England | JMUK |

Supplement No. 5

| COUNTRY/PRODUCER | MOST RECENT MARKS | COMPUTER CODE |
|--|---|---------------|
| ITALY | | |
| Engelhard s.r.l. (from serial number R501 onwards) | 1ER" in octagon | ESRL |
| JAPAN | | |
| Ishifuku Metal Industry Co. Limited | Ishifuku Tokyo | IMIL |
| Matsuda Sangyo Co. Limited | Matsuda Tokyo Assayer Melter | MSCL |
| Tanaka Kinkinzoku Kogyo K.K. | Tanaka Tokyo Melters | TKKM |
| Tokuriki Honten Co. Limited | Tokuriki Tokyo | THCL |
| Asahi Pretec Corp | AKK in a diamond | ASPC |
| NETHERLANDS | | |
| H. Drijfhout & Zoon's Edelmetaalbedrijven B.V. | H. Drijfhout & Zoons Amsterdam Melters | DZAM |
| RUSSIA | | |
| Krasnoyarsk | Made in Russia (with K and a flower) | KRAS |
| Novosibirsk Refining Factory | РОССИЯ in an oval & company symbol | NOVO |
| Prioksk | РОССИЯ in an oval & company symbol | PRIO |

Supplement No. 5

APPROVED BRANDS ACCEPTABLE ON THE LONDON PLATINUM and PALLADIUM MARKET

| COUNTRY/PRODUCER SOUTH AFRICA | MOST RECENT MARKS | COMPUTER CODE |
|-----------------------------------|--|------------------|
| Rustenburg Platinum Mines Limited | Rustenburg in a rectangle | RPML |
| Western Platinum Refinery Limited | W.P.L. Palladium & symbol | WPRL |
| SWITZERLAND | | |
| Argor-Heraeus SA | Argor-Heraeus SA | AHSA |
| Metaux Precieux SA Metalor | M.P. Assayeur Fondeur | MPSA |
| Valcambi SA | Valcambi S.A. Balerna-Suisse | VABS |
| Pamp S.A. | PAMP individual letters in cylinder followed by the word Switzerland | PAMP |

FORMER REFINERS

| COUNTRY/PRODUCER | FORMER MARKINGS | |
|------------------------------|-------------------------|------|
| UNITED STATES | | |
| PGP Industries Inc. | PGP in a circle of dots | PGPI |
| <u>JAPAN</u> | | |
| Tanaka Kinkinzoku Kogyo K.K. | Tanaka Tokyo | TKKK |

Supplement No. 5

APPROVED BRANDS ACCEPTABLE ON THE LONDON PLATINUM and PALLADIUM MARKET

FORMER REFINERS

| COUNTRY/PRODUCER | FORMER MARKINGS | COMPUTER CODE |
|---|---|---------------|
| FRANCE Compagnie des Metaux Precieux SA | "Compagnie des Metaux Precieux Paris CMP" | СМРР |
| Comptoir Lyon -Alemand Louyot | "Comptoir-Lyon-Alemand Louyot Paris Affineur Fondeur" in octagon | CLAL |
| Engelhard SA | "Engelhard" in parallelogram and "Comptoir-Lyon-Alemand Louyot F | ENSA Paris |
| GERMANY | Affineur Fondeur" in octagon | |
| Degussa AG | Degussa with half sun & quarter moon in a diamond | DEAG |
| GREAT BRITAIN | | |
| Engelhard Limited | "Engelhard London" and "E" | ELGB |
| RUSSIA | in parallelogram | |
| State Refinery | Made in USSR with hammer & sickle | RUSS |

Supplement No. 5

APPROVED BRANDS ACCEPTABLE ON THE LONDON PLATINUM and PALLADIUM MARKET

FORMER REFINERS

| COUNTRY/PRODUCER | FORMER MARKINGS | MPUTER CODE |
|-----------------------------|--|----------------|
| SOUTH AFRICA | | |
| Matthey Rustenburg Refiners | "JM Ltd" and company symbol "Trade Mark" and "Made in South Africa | MRRA |
| SWITZERLAND | Trade Mark and Made in South Africa | |
| Argor SA | Argor S.A. Chiasso | ARSA |