IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY CAMDEN VICINAGE HONORABLE ROBERT B. KUGLER

COMMODITY FUTURES TRADING : COMMISSION. :

:

Plaintiff(s), :

v. : Civil No. 04-1512(RBK)

JUDGMENT

EQUITY FINANCIAL GROUP LLC, et al, :

:

Defendant(s).

:

This action having been tried by Judge Robert B. Kugler without a jury, and for the reasons expressed in Findings of Fact and Conclusions of Law filed concurrently with this Judgment;

IT IS on this 4th day of February, 2008, **ORDERED** that Defendants Equity Financial Group LLC, Robert Shimer, and Vincent Firth shall be and hereby are permanently enjoined from:

- Engaging in conduct in violation of sections 4b(a)(2), 4k, 4m(1) and 4o(1) of the Commodities Exchange Act;
- Directly or indirectly soliciting or accepting any funds from any person in connection with the purchase or sales of any commodity futures or options contract;
- 3. Engaging in, controlling, or directing the trading of any commodity futures or

- options accounts, on their own behalf or for or on behalf of any other person or entity, whether by power of attorney or otherwise;
- 4. Introducing customers to any other person engaged in the business of commodity futures and options trading;
- Issuing statements or reports to others concerning commodity futures or options trading; and
- Otherwise engaging in any business activities related to commodity futures or options trading;

IT IS FURTHER **ORDERED** that Judgment in disgorgement is entered in favor of Plaintiff Commodity Futures Trading Commission ("CFTC") and against Defendants as follows:

- 1. Defendant Equity Financial Group, the amount of \$612,500, together with prejudgment interest dating from April 1, 2004;
- 2. Defendant Robert Shimer, the amount of \$1,452,117, together with prejudgment interest dating from April 1, 2004;
- Defendant Vincent Firth, the amount of \$450,313, together with prejudgment interest dating from April 1, 2004;

IT IS FURTHER **ORDERED** that Judgment for civil penalties is entered in favor of Plaintiff CFTC and against Defendants as follows:

- 1. Defendant Equity Financial Group, the amount of \$1,725,040, together with prejudgment interest dating from April 1, 2004;
- 2. Defendant Robert Shimer, the amount of \$2,904,234, together with prejudgment interest dating from April 1, 2004;
- 3. Defendant Vincent Firth, the amount of \$900,626, together with prejudgment

interest dating from April 1, 2004;

IT IS FURTHER **ORDERED** that Plaintiff CFTC shall recover, upon proper application to this Court, costs and fees.

Dated: February 4, 2008 /s/ Robert B. Kugler

ROBERT B. KUGLER United States District Judge