

Commodity Futures Trading Commission  
CEA CASES

**NAME:** J. BENEDICT THOMAS

**DOCKET NUMBER:** STIPULATION 60

**DATE:** JULY 2, 1962

**DOCUMENT TYPE:** STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

In re: J. Benedict Thomas

Stipulation of Compliance under the Commodity Exchange Act No. 60

The Secretary of Agriculture has reason to believe that J. Benedict Thomas has violated the provisions of the Commodity Exchange Act (17 CFR, Chapter 1) and the regulations of the Secretary of Agriculture promulgated thereunder.

No complaint with respect to such violations has been issued and the said J. Benedict Thomas is desirous of disposing of the matter by entering into a stipulation of compliance, as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, 0.0-0.28). Therefore, the said J. Benedict Thomas stipulates the following facts are true:

1. J. Benedict Thomas, an individual whose address is 141 West Jackson Boulevard, Chicago 4, Illinois, is now and was at all times mentioned herein a registered floor broker under the Commodity Exchange Act and a member of the Board of Trade of the City of Chicago.

2. The acts and practices herein described were entered into by J. Benedict Thomas on the Board of Trade of the City of Chicago, a duly designated contract market under the Commodity Exchange Act.

3. On May 21, 1962, on the instructions of John G. Byers, Jr., J. Benedict Thomas entered a purchase of 75,000 bushels of the July wheat future on his (Thomas') trading card when, in fact, no such purchase had been made by him.

The Secretary of Agriculture has advised J. Benedict Thomas that by reason of such act he has violated the recordkeeping requirements set forth in section 4g of the act and section 1.35 of the regulations thereunder.

The said J. Benedict Thomas agrees to desist from such acts and practices in the future. He further understands and agrees that this stipulation shall be admissible as evidence of such act in any future proceeding based upon acts or practices in addition to that referred to herein which may be brought by the Secretary of Agriculture against him under the provisions of the said act. He also understands that it is the practice of the Commodity Exchange Authority to make stipulations of compliance a matter of public record.

Done at Chicago, Illinois, this

2nd day of July 1962.

/s/ J. Benedict Thomas

J. Benedict Thomas

Witnessed by:

/s/ Roger E. Harper

/s/ Samuel F. Gordon

**LOAD-DATE:** June 16, 2008

