Commodity Futures Trading Commission CEA CASES

NAME: GEORGE SIROTA AND SONS, GEORGE SIROTA, NORMAN L. SIROTA, BENJAMIN SIROTA, HARRY A. ASPINWALL, AND DYKE CULLUM

CITATION: 12 Agric. Dec. 947

DOCKET NUMBER: 54

DATE: AUGUST 26, 1953

DOCUMENT TYPE: ORDER MODIFYING EFFECTIVE DATE

(No. 3592)

In re GEORGE SIROTA AND SONS, GEORGE SIROTA, NORMAN L. SIROTA, BENJAMIN SIROTA,
HARRY A. ASPINWALL, AND DYKE CULLUM. CEA Docket No. 54. Decided August 26,
1953.

Postponement of Effective Date of Order

Pending action upon complainant's motion for clarification of the order of July 31, 1953, the effective date of that order is postponed insofar as it applies to the suspension of the registration of G. S. and Sons as a futures commission merchant, and the registration of S. and Company as a futures commission merchant, and the order of July 31, 1953 shall become effective on September 1, 1953 with respect to the suspensions of the registration of respondents N. L. S., B. S. and H. A. A. as floor brokers under the act and with respect to the refusal of trading privileges to D. C.

Mr. Benjamin M. Holstein for Commodity Exchange Authority.

Decision by Thomas J. Flavin, Judicial Officer

ORDER MODIFYING EFFECTIVE DATE

On August 18, 1953, the complainant in this disciplinary proceeding under the Commodity Exchange Act (7 U.S.C. Chapter 1) filed a motion for clarification of the order entered in this proceeding on July 31, 1953, effective September 1, 1953. The motion seeks a supplemental order making it clear that the ordered suspension of the registration of George Sirota and Sons as a futures commission merchant under the act applies also to the registration of Sirota and Company, a partnership registered after the issuance of the referee's report in this proceeding consisting of the same partners as George Sirota and Sons with one additional partner.

Pending action upon the complainant's motion, the effective date of the order of July 31, 1953, is postponed insofar as it applies to the suspension of the registration of George Sirota and Sons as a futures commission merchant and the registration of Sirota and Company as a futures commission merchant. The order of July 31, 1953, shall become effective on September 1, 1953, with respect to the suspensions of the registrations of respondents

Norman L. Sirota, Benjamin Sirota and Harry A. Aspinwall as floor brokers under the act and with respect to the refusal of trading privileges to Dyke Cullum.

Copies hereof shall be served upon the parties and the contract markets under the act by registered mail or in person.

LOAD-DATE: June 8, 2008