

Commodity Futures Trading Commission  
CEA CASES

**NAME:** PAUL J. MILLER

**DOCKET NUMBER:** 158

**DATE:** MARCH 29, 1969

**DOCUMENT TYPE:** CEASE AND DESIST ORDER

Docket No. 158

Name: Paul J. Miller

Effective March 29, 1969

Respondents Paul J. Miller and R. Peter Denker shall cease and desist from: (1) willfully causing, aiding, abetting, counseling, commanding, inducing, or procuring the failure of respondent Sterling Securities, Inc., or of any other futures commission merchant, (a) to comply with the requirements of section 4d of the Commodity Exchange Act (7 U.S.C. 6d) in the treatment and handling of customers' funds, and (b) to prepare and maintain an accurate record, as of the close of the market on each business day, of the amount of money, securities, and property which must be in segregated account in order to comply with the requirements of section 4d of the Commodity Exchange Act (7 U.S.C. 6d); and (2) willfully making or causing to be made any false or misleading statement of any material fact in any report filed with the Secretary of Agriculture under the Commodity Exchange Act, and willfully omitting or causing to be omitted from any such report any material fact which is required to be stated therein, and willfully aiding, abetting, counseling, commanding, inducing, or procuring such an act or omission.

Done at Washington, D. C.

February 27, 1969

Thomas J. Flavin

Judicial Officer

**LOAD-DATE:** June 16, 2008

