

Commodity Futures Trading Commission
CEA CASES

NAME: EARL A. HOGAN COMPANY, AND EARL A. HOGAN

DOCKET NUMBER: STIPULATION 69

DATE: NOVEMBER 19, 1964

DOCUMENT TYPE: STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

In re: Earl A. Hogan Company, and Earl A. Hogan

Stipulation of Compliance under the Commodity Exchange Act No. 69

The Secretary of Agriculture has reason to believe that Earl A. Hogan Company and Earl A. Hogan have violated the provisions of the Commodity Exchange Act (7 U.S.C., Chapter 1) and the regulations of the Secretary of Agriculture promulgated thereunder. No complaint with respect to such violations has been issued and Earl A. Hogan Company and Earl A. Hogan are desirous of disposing of the matter by entering into a stipulation of compliance as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, 0.0-0.28). Therefore, Earl A. Hogan Company and Earl A. Hogan stipulate the following facts are true:

(1) Earl A. Hogan Company is and was at all times mentioned herein a Missouri partnership and a registered futures commission merchant under the Commodity Exchange Act with an office and principal place of business at 832 Board of Trade Building, Kansas City 5, Missouri.

(2) Earl A. Hogan is and was at all times mentioned herein a partner in the firm of Earl A. Hogan Company and a member of the Kansas City Board of Trade.

(3) The acts and practices on the part of Earl A. Hogan Company hereinafter described were carried out by Earl A. Hogan acting in his capacity as floor broker and partner of the firm of Earl A. Hogan Company.

(4) During the period January 2, 1964, through June 4, 1964, Earl A. Hogan entered into transactions on the Kansas City Board of Trade in Kansas City wheat futures for the account of Earl A. Hogan Company in the amount of 4,000,000 bushels purchased and 4,000,000 bushels sold. All such transactions were made with Ardeis H. Myers, Sr., who gave up Myers and Company as the opposite clearing member.

The Secretary of Agriculture has advised Earl A. Hogan Company and Earl A. Hogan that such transactions with Ardeis H. Myers, Sr., whose trading, for the account of Uhlmann & Co., Inc., was for the purpose of paying commissions to Myers and Company by Uhlmann & Co., Inc., were, or were of the character of, wash trades or accommodation trades in violation of section 4c(A).

Earl A. Hogan Company and Earl A. Hogan agree to desist from such acts and practices in the future. They further understand and agree that this stipulation shall be admissible as evidence of such acts and practices in any future proceeding based upon acts and practices other than those referred to herein which may be brought by the Secretary of Agriculture against either of them under the

provisions of the said act. They also understand that it is the practice of the
Commodity Exchange Authority to make stipulations of compliance a matter of
public record.

Done at Kansas City, Missouri, this 19th day of November, 1964

EARL A. HOGAN COMPANY

By [SEE SIGNATURE IN ORIGINAL]

[SEE SIGNATURE IN ORIGINAL]

Earl A. Hogan

Witnesses:

[SEE SIGNATURE IN ORIGINAL]

LOAD-DATE: June 16, 2008

