

Commodity Futures Trading Commission
CEA CASES

NAME: RODGER HARRIS

DOCKET NUMBER: 170

DATE: DECEMBER 16, 1970

DOCUMENT TYPE: SUMMARY OF CASE

UNITED STATES DEPARTMENT OF AGRICULTURE

Stults DU 8-6077

McDavid DU 8-4026

Washington, Dec. 16, 1970

Sanctions Imposed for Violations of the Commodity Exchange Act:

The U. S. Department of Agriculture today announced issuance of an order imposing sanctions on Rodger Harris of Chicago, Illinois for violations of the Commodity Exchange Act. The order prohibits the respondent from trading on regulated commodity exchanges for a period of 60 days beginning Jan. 14, 1971.

A complaint issued Mar. 12, 1970, charged that on Apr. 23 and 25, 1968, Mr. Harris cheated and defrauded a customer by placing potato future transactions in the customer's account without the customer's knowledge or consent.

Mr. Harris did not file an answer to the complaint and did not request a hearing. Such failure to answer constituted an admission of the allegations contained in the complaint and a waiver of hearing, USDA's officials said.

The order which was issued by USDA's Judicial Officer, Thomas J. Flavin on Dec. 15, 1970, adopts the recommendations of USDA's referee, John G. Liebert.

LOAD-DATE: June 16, 2008

