

Commodity Futures Trading Commission
CEA CASES

NAME: CHARLES E. GILCHRIST

DOCKET NUMBER: STIPULATION 147

DATE: MAY 29, 1974

DOCUMENT TYPE: STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

In re: Charles E. Gilchrist

Stipulation of Compliance Under the Commodity Exchange Act No. 147

The Secretary of Agriculture has reason to believe that Charles E. Gilchrist has violated the provisions of the Commodity Exchange Act (7 U.S.C., Chapter 1) and the regulations of the Secretary of Agriculture promulgated thereunder (17 CFR, Chapter 1). No complaint with respect to such violations has been issued and the said Charles E. Gilchrist is desirous of disposing of the matter by entering into a stipulation of compliance as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, Part 0). Therefore, the said Charles E. Gilchrist stipulates the following facts are true:

(1) Charles E. Gilchrist, an individual whose address is 222 S. Riverside Plaza, Room 520, Chicago, Illinois 60606, is now and was at all times material herein a member of the Chicago Mercantile Exchange, a duly designated contract market under the Commodity Exchange Act.

(2) The registration of Charles E. Gilchrist as floor broker under the Commodity Exchange Act for the calendar year 1973 expired on December 31, 1973, and the said Charles E. Gilchrist did not submit an application for registration as a floor broker under the Act for the calendar year 1974 until January 16, 1974.

(3) During the period from January 1 through January 16, 1974, Charles E. Gilchrist acted as floor broker by executing for another person orders for the purchase or sale of commodities for future delivery on or subject to the rules of the Chicago Mercantile Exchange.

The Commodity Exchange Authority has advised Charles E. Gilchrist that by reason of the acts set forth above, he has violated section 4e of the Act and section 1.8 of the regulations thereunder.

The said Charles E. Gilchrist agrees to desist from such acts and practices in the future. He further understands and agrees that this stipulation is admissible as evidence of such acts and practices in any future proceeding based upon act; or practices in addition to those referred to herein which may be brought against him by the Secretary of Agriculture under the provisions of the said Act. He also understands that it is the practice of the Commodity Exchange Authority to issue press releases on stipulations of compliance and to make them a matter of public record.

Done at Chicago, Illinois

this 29th day of May 1974.

[SEE SIGNATURE IN ORIGINAL]

Charles E. Gilchrist

Witnessed by:

[SEE SIGNATURE IN ORIGINAL]

LOAD-DATE: June 16, 2008

