

Commodity Futures Trading Commission
CEA CASES

NAME: FRONTIER COMMODITIES, INC. AND EDWIN J. SCHUFFMAN

DOCKET NUMBER: 191

DATE: SEPTEMBER 14, 1972

DOCUMENT TYPE: CEASE AND DESIST ORDER

CEA Docket No. 191

Name: Frontier Commodities, Inc. and Edwin J. Schuffman

Effective on or about September 14, 1972.

Order

Respondents and each of them shall cease and desist from:

1. failing to treat and deal with customers' funds as belonging to such customers as required by section 4d of the Commodity Exchange Act (7 U.S.C. 6d) and the regulations thereunder;

2. failing to hold customers' funds in segregated account as required by section 4d of the Commodity Exchange Act (7 U.S.C. 6d) and the regulations thereunder;

3. engaging as futures commission merchant within the meaning of the Commodity Exchange Act without meeting the minimum financial requirements of the Act and the regulations thereunder;

4. failing, while registered as futures commission merchant under the Commodity Exchange Act, to prepare a written computation of net worth at least once each month and failing to notify the Commodity Exchange Authority whenever such respondent knows or has reason to know that its net worth has declined 20% or more from the net worth of such respondent shown in the most recent report of financial condition filed with the Commodity Exchange Authority;

5. failing to file, in accordance with the Commodity Exchange Act and the regulations thereunder, such reports as they are required to file under such Act and regulations; and

6. wilfully causing, aiding, counseling, commanding or inducing any person to engage in any act or practice from which the respondents are directed to cease and desist by this order.

This Order shall become effective on the date of service on the respondents.

Done at Washington, D. C.

SEP 14 1972

[SEE SIGNATURE IN ORIGINAL]

Judicial Officer

LOAD-DATE: June 16, 2008

