

Commodity Futures Trading Commission
CEA CASES

NAME: PHYLLIS C. DEMPSTER

DOCKET NUMBER: 160

DATE: JULY 15, 1969

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 160

Name: Phyllis C. Dempster

Effective On or about July 15, 1969

Effective on the date of service of this order upon respondent Phyllis C. Dempster, she shall cease and desist from wilfully causing, aiding, abetting, counseling, commanding or inducing American Commodity Brokers, Inc., or any other futures commission merchant, to engage as futures commission merchant without meeting the minimum financial requirements prescribed by section 1.17 of the regulation (34 F.R. 599) issued under the Commodity Exchange Act.

A copy of this Decision and Order shall be served on each of the parties.

Done at Washington, D. C.

JUL 9 1969

[SEE SIGNATURE IN ORIGINAL]

Thomas J. Flavin

Judicial Officer

LOAD-DATE: June 16, 2008

