

SELECTED FCM FINANCIAL DATA AS OF
December 31, 2009
FROM REPORTS FILED BY
January 31, 2010

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ACM USA LLC	N	NFA	12/31/2009	772,316	500,000	272,316	0	0
2	ADM INVESTOR SERVICES INC	N	CBOT	12/31/2009	167,311,573	91,397,173	75,914,400	1,844,005,556	101,036,182
3	ADVANCED MARKETS LLC	N	NFA	12/31/2009	20,480,496	20,000,000	480,496	0	0
4	ADVANTAGE FUTURES LLC	N	CME	12/31/2009	17,492,246	8,357,656	9,134,590	275,563,880	29,300,531
5	AIG CLEARING CORPORATION	N	NYME	12/31/2009	224,973,524	500,000	224,473,524	0	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	12/31/2009	28,024,917	8,706,179	19,318,738	0	0
7	ALPARI (US) LLC	N	NFA	12/31/2009	21,948,817	20,000,000	1,948,817	0	0
8	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	12/31/2009	78,968,216	500,000	78,468,216	0	0
9	BANC OF AMERICA SECURITIES LLC	Y	CME	12/31/2009	2,427,447,674	134,009,871	2,293,437,803	0	0
10	BARCLAYS CAPITAL INC	Y	NYME	12/31/2009	7,062,927,478	631,289,412	6,431,638,066	3,880,192,105	1,891,532,805
11	BGC FINANCIAL LP	Y	NFA	12/31/2009	26,683,407	500,000	26,183,407	0	0
12	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	12/31/2009	304,309,685	166,986,429	137,323,256	1,476,757,166	451,657,125
13	BNP PARIBAS SECURITIES CORP	Y	CBOT	12/31/2009	1,590,062,547	62,397,779	1,527,664,768	377,446,138	0
14	CADENT FINANCIAL SERVICES LLC	N	NFA	12/31/2009	568,214	350,000	218,214	0	0
15	CANTOR FITZGERALD & CO	Y	CBOT	12/31/2009	164,504,573	3,066,696	161,437,877	11,155,860	0
16	CAPITAL MARKET SERVICES LLC	N	NFA	12/31/2009	25,813,783	21,311,555	4,502,228	0	0
17	CIBC WORLD MARKETS CORP	Y	CME	12/31/2009	1,398,216,713	1,500,000	1,396,716,713	0	0
18	CITADEL SECURITIES LLC	Y	CME	12/31/2009	313,905,623	1,000,000	312,905,623	0	0
19	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	12/31/2009	10,886,418,135	668,692,748	10,217,725,387	10,221,862,252	2,392,257,726
20	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	12/31/2009	1,070,832	500,000	570,832	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	12/31/2009	3,278,016	500,000	2,778,016	0	0
22	COUNTRY HEDGING INC	N	NFA	12/31/2009	15,651,723	7,725,086	7,926,637	106,459,092	47,397
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	12/31/2009	8,672,003,478	759,012,886	7,912,990,592	3,438,679,178	1,419,130,650
24	CROSSLAND LLC	N	CBOT	12/31/2009	7,113,481	5,132,131	1,981,350	74,334,067	30,291,361
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	12/31/2009	3,662,255	967,675	2,694,580	35,672,719	39,510
26	CX CAPITAL MARKETS LLC	N	NFA	12/31/2009	1,038,141	500,000	538,141	9,033,756	0
27	DAIWA SECURITIES AMERICA INC	Y	CME	12/31/2009	281,777,964	1,000,000	280,777,964	3,417,611	40,979
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	12/31/2009	7,779,245,053	390,885,364	7,388,359,689	17,669,257,079	1,416,275,938
29	DORMAN TRADING LLC	N	CME	12/31/2009	7,728,691	749,043	6,979,648	85,430,126	78,193
30	EAGLE MARKET MAKERS INC	N	CBOT	12/31/2009	5,659,610	500,000	5,159,610	3,652,907	0
31	EASY FOREX US LTD	N	NFA	12/31/2009	20,581,271	20,000,000	581,271	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	12/31/2009	9,643,877	500,000	9,143,877	0	0
33	ENSKILDA FUTURES LTD	N	CME	12/31/2009	26,044,509	16,006,100	10,038,409	251,290,207	0
34	FARR FINANCIAL INC	N	NFA	12/31/2009	1,205,639	573,523	632,116	34,982,572	10,178
35	FCSTONE LLC	N	CME	12/31/2009	72,167,847	36,462,619	35,705,228	830,296,178	6,056,564
36	FOREX CAPITAL MARKETS LLC	N	NFA	12/31/2009	63,823,536	25,009,102	38,814,434	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	12/31/2009	20,804,494	20,000,000	804,494	0	0
38	FORTIS CLEARING AMERICAS LLC	Y	CBOT	12/31/2009	218,392,536	50,453,635	167,938,901	1,029,754,793	90,205,566
39	FRIEDBERG MERCANTILE GROUP INC	N	NFA	12/31/2009	3,331,913	500,000	2,831,913	5,232,964	0
40	FRONTIER FUTURES INC	N	NFA	12/31/2009	1,352,215	758,384	593,831	24,795,288	0
41	FUTURES TECH LLC	N	NFA	12/31/2009	852,385	500,000	352,385	0	0

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42	FX SOLUTIONS LLC	N	NFA	12/31/2009	44,826,160	20,623,173	24,202,987	0	0
43	FXDIRECTDEALER LLC	N	NFA	12/31/2009	37,079,144	25,631,331	11,447,813	0	0
44	GAIN CAPITAL GROUP LLC	N	NFA	12/31/2009	89,583,772	26,001,260	63,582,512	0	0
45	GENESIS FUTURES LLC	N	NFA	12/31/2009	761,717	500,000	261,717	0	0
46	GFS FOREX & FUTURES INC	N	NFA	12/31/2009	1,648,905	500,000	1,148,905	0	0
47	GILDER GAGNON HOWE & CO LLC	Y	NFA	12/31/2009	11,830,694	500,000	11,330,694	0	0
48	GLOBAL FUTURES & FOREX LTD	N	NFA	12/31/2009	81,588,107	25,576,614	56,011,493	273,769	209,508
49	GOLDMAN SACHS & CO	Y	CBOT	12/31/2009	13,654,951,093	1,846,967,521	11,807,983,572	16,868,382,742	6,670,375,410
50	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	12/31/2009	1,973,950,959	110,588,745	1,863,362,214	1,110,625,130	19,251,475
51	HG CLEARING SERVICES LLC	N	NFA	12/31/2009	599,547	500,000	99,547	0	0
52	HSBC SECURITIES USA INC	Y	CME	12/31/2009	1,177,213,503	67,992,039	1,109,221,464	725,430,044	111,480,434
53	I TRADE FX LLC	N	NFA	12/31/2009	512,417	500,000	12,417	0	0
54	ICAP FUTURES LLC	N	NFA	12/31/2009	13,602,814	500,000	13,102,814	0	0
55	IG MARKETS INC	N	NFA	12/31/2009	30,233,214	20,000,000	10,233,214	0	0
56	IKON GLOBAL MARKETS INC	N	NFA	12/31/2009	20,965,254	20,586,644	378,610	1,750,732	972,476
57	INSTINET LLC	Y	NFA	12/31/2009	111,374,732	1,500,000	109,874,732	0	0
58	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	12/31/2009	1,361,636	500,000	861,636	0	0
59	INTERACTIVE BROKERS LLC	Y	NFA	12/31/2009	787,396,003	85,101,725	702,294,278	454,391,783	144,045,200
60	INTERBANK FX LLC	N	NFA	12/31/2009	33,148,577	21,450,664	11,697,913	0	0
61	ITG DERIVATIVES LLC	Y	NFA	12/31/2009	3,343,737	500,000	2,843,737	0	0
62	JP MORGAN CLEARING CORP	Y	CME	12/31/2009	5,178,908,488	1,542,797,075	3,636,111,413	428,101,810	254,291,815
63	JP MORGAN FUTURES INC	N	NYME	12/31/2009	2,276,085,447	1,010,002,944	1,266,082,503	13,880,864,861	1,808,047,840
64	JP MORGAN SECURITIES INC	Y	NFA	12/31/2009	7,414,789,982	538,370,983	6,876,418,999	0	0
65	LADENBURG THALMANN & CO INC	Y	NFA	12/31/2009	2,671,858	500,000	2,171,858	0	0
66	LEK SECURITIES CORPORATION	Y	NFA	12/31/2009	12,817,061	1,500,000	11,317,061	209,264	0
67	LIMESTONE TRADING LLC	N	NFA	12/31/2009	3,411,389	500,000	2,911,389	0	0
68	LINN GROUP THE	N	NFA	12/31/2009	2,520,377	542,726	1,977,651	30,770,374	4,335
69	LPL FINANCIAL CORPORATION	Y	NFA	12/31/2009	65,194,494	6,221,169	58,973,325	0	0
70	MACQUARIE FUTURES USA INC	N	CBOT	12/31/2009	84,683,611	32,089,576	52,594,035	584,696,390	2,211,240
71	MAREX USA LIMITED	N	NFA	12/31/2009	669,961	500,000	169,961	0	0
72	MB TRADING FUTURES INC	N	NFA	12/31/2009	22,386,555	20,000,000	2,386,555	0	0
73	MBF CLEARING CORP	N	NYME	12/31/2009	11,253,992	980,540	10,273,452	75,407,278	8,279,589
74	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	12/31/2009	9,380,645	1,919,868	7,460,777	538,497,374	0
75	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	12/31/2009	5,283,800,106	527,105,875	4,756,694,231	7,440,642,194	897,009,153
76	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	12/31/2009	1,655,804,231	223,079,313	1,432,724,918	857,517,105	24,415,683
77	MF GLOBAL INC	Y	CME	12/31/2009	562,942,321	331,584,975	231,357,346	7,262,470,019	444,829,315
78	MG FINANCIAL LLC	N	NFA	12/31/2009	5,060,949	500,000	4,560,949	0	0
79	MID CO COMMODITIES INC	N	NFA	12/31/2009	11,307,724	2,561,588	8,746,136	26,697,707	0
80	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	12/31/2009	87,541,971	1,379,465	86,162,506	0	0
81	MITSUMI BUSSAN COMMODITIES USA INC	N	NYME	12/31/2009	8,078,460	2,555,414	5,523,046	0	0
82	MIZUHO SECURITIES USA INC	Y	CME	12/31/2009	312,667,000	34,367,496	278,299,504	599,912,041	230,473,661
83	MORGAN KEEGAN & COMPANY INC	Y	NFA	12/31/2009	417,136,285	19,175,841	397,960,444	0	0

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84	MORGAN STANLEY & CO INCORPORATED	Y	CME	12/31/2009	7,970,395,918	1,095,824,330	6,874,571,588	4,476,939,304	3,489,113,477
85	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	12/31/2009	395,724,596	500,000	395,224,596	0	0
86	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	12/31/2009	215,024,783	500,000	214,524,783	0	0
87	NEUBERGER BERMAN LLC	Y	NFA	12/31/2009	119,203,341	1,500,000	117,703,341	0	0
88	NEWEDGE USA LLC	Y	NYME	12/31/2009	2,393,490,941	1,133,098,033	1,260,392,908	17,623,503,395	6,576,417,461
89	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	12/31/2009	997,408,234	21,478,523	975,929,711	0	0
90	OANDA CORPORATION	N	NFA	12/31/2009	165,401,463	28,788,020	136,613,443	0	0
91	OPEN E CRY LLC	N	NFA	12/31/2009	3,313,815	638,284	2,675,531	78,081,180	720,516
92	OPPENHEIMER & CO INC	Y	NFA	12/31/2009	157,791,512	23,004,829	134,786,683	31,825,551	0
93	OPTIONSPRESS INC	Y	NFA	12/31/2009	97,502,879	17,906,316	79,596,563	57,064,811	742,565
94	PENSON GHCO	N	CBOT	12/31/2009	22,958,655	14,955,821	8,002,834	408,663,597	25,616,942
95	PEREGRINE FINANCIAL GROUP INC	N	NFA	12/31/2009	31,346,477	20,000,000	11,346,477	342,572,309	29,629,532
96	PIONEER FUTURES INC	N	NYME	12/31/2009	1,093,640	500,000	593,640	0	0
97	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	12/31/2009	209,511,000	162,696,280	46,814,720	2,742,205,000	257,554,000
98	RAND FINANCIAL SERVICES INC	N	CME	12/31/2009	60,069,863	8,154,193	51,915,670	87,937,000	35,350,900
99	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	12/31/2009	399,283,445	28,655,599	370,627,846	0	0
100	RBC CAPITAL MARKETS CORPORATION	Y	CME	12/31/2009	1,120,091,272	99,586,764	1,020,504,508	884,169,121	385,190,948
101	RBS SECURITIES INC	Y	CBOT	12/31/2009	1,521,400,000	166,762,000	1,354,638,000	1,130,439,000	65,814,000
102	RJ OBRIEN ASSOCIATES LLC	N	CME	12/31/2009	108,330,628	58,495,837	49,834,791	1,989,879,576	35,693,675
103	ROBBINS FUTURES INC	N	NFA	12/31/2009	556,090	500,000	56,090	3,360,241	0
104	ROSENTHAL COLLINS GROUP LLC	N	CME	12/31/2009	53,496,118	33,177,396	20,318,722	1,192,813,945	16,111,388
105	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	12/31/2009	53,764,153	735,933	53,028,220	0	0
106	SANFORD C BERNSTEIN & CO LLC	Y	NFA	12/31/2009	163,491,635	11,501,709	151,989,926	0	0
107	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	12/31/2009	62,211,230	500,000	61,711,230	0	0
108	SHAY GRAIN CLEARING COMPANY	N	KCBT	12/31/2009	2,549,959	735,868	1,814,091	14,715,514	0
109	SMW TRADING COMPANY INC	N	CME	12/31/2009	27,163,338	500,000	26,663,338	3,984,508	0
110	STATE STREET GLOBAL MARKETS LLC	Y	NFA	12/31/2009	273,851,567	500,000	273,351,567	0	0
111	STEPHENS INC	Y	NFA	12/31/2009	66,553,698	12,630,941	53,922,757	0	0
112	STERLING COMMODITIES CORP	N	NYME	12/31/2009	7,353,423	1,047,123	6,306,300	36,873,659	865,983
113	TCA FUTURES LLC	N	NFA	12/31/2009	821,719	500,000	321,719	0	0
114	TD AMERITRADE INC	Y	NFA	12/31/2009	344,983,823	500,000	344,483,823	0	0
115	TENCO INC	N	CBOT	12/31/2009	47,985,417	5,696,212	42,289,205	155,303,619	0
116	TERRA NOVA FINANCIAL LLC	Y	NFA	12/31/2009	10,394,818	1,500,000	8,894,818	0	0
117	TIMBER HILL LLC	Y	CME	12/31/2009	1,108,813,968	37,634,093	1,071,179,875	387,676,283	0
118	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	12/31/2009	3,673,121	500,000	3,173,121	0	0
119	TRADELINK LLC	Y	CBOT	12/31/2009	28,034,954	500,000	27,534,954	0	0
120	TRADESTATION SECURITIES INC	Y	NFA	12/31/2009	85,586,716	1,797,596	83,789,120	0	0
121	TRADITION SECURITIES AND FUTURES INC	N	NFA	12/31/2009	774,346	500,000	274,346	0	0
122	TRANSMARKET GROUP LLC	Y	CBOT	12/31/2009	11,225,409	500,000	10,725,409	0	0
123	TRILAND USA INC	N	NYME	12/31/2009	33,731,042	11,299,460	22,431,582	144,792,469	6,844,178
124	UBS FINANCIAL SERVICES INC	Y	NFA	12/31/2009	1,303,357,969	88,885,791	1,214,472,178	192,208,656	1,345,772
125	UBS SECURITIES LLC	Y	CBOT	12/31/2009	13,687,349,342	820,215,902	12,867,133,440	9,148,218,349	5,416,495,175

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126	VELOCITY FUTURES LLC	N	NFA	12/31/2009	1,120,606	500,000	620,606	36,758,073	906,024
127	VISION FINANCIAL MARKETS LLC	Y	CME	12/31/2009	20,198,108	6,851,490	13,346,618	341,509,789	4,128,931
128	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	12/31/2009	24,245,472	2,134,953	22,110,519	0	0
129	WELLS FARGO ADVISORS LLC	Y	NFA	12/31/2009	210,242,483	1,500,000	208,742,483	0	0
130	WELLS FARGO SECURITIES LLC	Y	CME	12/31/2009	1,438,686,506	1,032,883	1,437,653,623	0	0
131	WHITE COMMERCIAL CORPORATION	N	NFA	12/31/2009	2,570,577	500,000	2,070,577	0	0
132	WSD FINANCIAL USA INC	N	NFA	12/31/2009	1,211,397	500,000	711,397	0	0
133	YORK BUSINESS ASSOCIATES LLC	N	NFA	12/31/2009	1,725,521	500,000	1,225,521	49,151,765	1,196,884
	Totals							134,136,558,825	34,793,596,190

