

SELECTED FCM FINANCIAL DATA AS OF  
August 31, 2010  
FROM REPORTS FILED BY  
September 30, 2010

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required
		(a)	(b)			(c)	(d)	(e)	(f)
1	ABN AMRO CLEARING CHICAGO LLC	Y	CBOT	08/31/2010	226,014,838	66,576,535	159,438,303	1,370,085,945	168,140,188
2	ADM INVESTOR SERVICES INC	N	CBOT	08/31/2010	188,364,836	114,910,770	73,454,066	2,110,032,154	61,609,678
3	ADVANCED MARKETS LLC	N	NFA	08/31/2010	20,770,340	20,000,000	770,340	0	0
4	ADVANTAGE FUTURES LLC	N	CME	08/31/2010	22,550,280	8,849,097	13,701,183	300,750,758	51,850,313
5	ADVANTAGE SECURITIES LLC	Y	NFA	08/31/2010	4,196,416	1,000,000	3,196,416	0	0
6	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC*	Y	NFA	06/30/2010	53,644,953	9,189,114	44,455,839	0	0
7	ALPARI (US) LLC	N	NFA	08/31/2010	20,487,317	20,000,000	487,317	0	0
8	AMERIPRISE FINANCIAL SERVICES INC	Y	NFA	08/31/2010	147,789,610	1,000,000	146,789,610	0	0
9	AMP GLOBAL CLEARING LLC	N	NFA	08/31/2010	1,311,655	1,000,000	311,655	0	0
10	BARCLAYS CAPITAL INC	Y	NYME	08/31/2010	6,192,039,500	689,343,390	5,502,696,110	4,714,558,886	1,891,946,249
11	BGC FINANCIAL LP	Y	NFA	08/31/2010	31,424,318	1,000,000	30,424,318	0	0
12	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	08/31/2010	348,220,517	183,232,499	164,988,018	1,334,430,877	377,661,510
13	BNP PARIBAS SECURITIES CORP	Y	CBOT	08/31/2010	1,718,651,508	72,263,759	1,646,387,749	357,452,751	0
14	BNY MELLON CLEARING LLC	N	CME	08/31/2010	28,759,396	4,150,790	24,608,606	0	0
15	CANTOR FITZGERALD & CO	Y	CBOT	08/31/2010	144,209,669	2,411,718	141,797,951	13,014,307	0
16	CAPITAL MARKET SERVICES LLC	N	NFA	08/31/2010	27,036,027	20,255,052	6,780,975	0	0
17	CIBC WORLD MARKETS CORP	Y	CME	08/31/2010	670,526,986	2,159,640	668,367,346	0	0
18	CITADEL SECURITIES LLC	Y	CME	08/31/2010	384,025,513	6,093,730	377,931,783	0	0
19	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	08/31/2010	9,433,048,663	597,385,627	8,835,663,036	10,939,422,780	1,415,292,225
20	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	08/31/2010	1,527,083	1,000,000	527,083	0	0
21	COMPASS PROFESSIONAL SERVICES LLC	Y	NFA	08/31/2010	3,248,185	1,000,000	2,248,185	0	0
22	COUNTRY HEDGING INC	N	NFA	08/31/2010	19,146,975	14,825,395	4,321,580	177,054,409	9,963
23	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	08/31/2010	11,093,331,028	836,531,862	10,256,799,166	4,728,240,693	1,859,257,374
24	CROSSLAND LLC	N	CBOT	08/31/2010	7,932,993	3,862,362	4,070,631	52,916,583	29,644,615
25	CUNNINGHAM COMMODITIES LLC	N	CBOT	08/31/2010	3,356,696	1,000,000	2,356,696	41,645,094	44,782
26	CX CAPITAL MARKETS LLC	N	NFA	08/31/2010	2,425,649	1,000,000	1,425,649	24,541,550	0
27	DAIWA CAPITAL MARKETS AMERICA INC	Y	CME	08/31/2010	192,802,765	1,052,627	191,750,138	8,411,535	40,979
28	DEUTSCHE BANK SECURITIES INC	Y	CBOT	08/31/2010	6,971,988,639	529,182,343	6,442,806,296	11,136,926,859	1,129,877,112
29	DORMAN TRADING LLC	N	CME	08/31/2010	6,664,321	1,000,000	5,664,321	97,155,435	390,170
30	EAGLE MARKET MAKERS INC	N	CBOT	08/31/2010	5,770,838	1,000,000	4,770,838	3,681,107	0
31	EASY FOREX US LTD	N	NFA	08/31/2010	2,985,657	1,000,000	1,985,657	0	0
32	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	08/31/2010	8,272,427	1,000,000	7,272,427	0	0
33	ENSKILDA FUTURES LTD	N	CME	08/31/2010	26,538,014	17,313,710	9,224,304	259,086,981	0
34	FARR FINANCIAL INC	N	NFA	08/31/2010	1,736,121	1,000,000	736,121	36,487,717	34,920
35	FCSTONE LLC	N	CME	08/31/2010	68,453,963	47,632,486	20,821,477	1,111,854,851	12,517,712
36	FOREX CAPITAL MARKETS LLC	N	NFA	08/31/2010	70,608,910	23,669,568	46,939,342	0	0
37	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	08/31/2010	21,778,192	20,000,000	1,778,192	0	0
38	FRIEDBERG MERCANTILE GROUP INC	N	NFA	08/31/2010	3,383,880	1,000,000	2,383,880	6,038,620	0
39	FRONTIER FUTURES INC	N	NFA	08/31/2010	1,758,207	1,111,802	646,405	30,750,526	1,112
40	FUTURES TECH LLC	N	NFA	08/31/2010	1,605,514	1,000,000	605,514	0	0
41	FX SOLUTIONS LLC	N	NFA	08/31/2010	36,411,636	20,316,403	16,095,233	0	0

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42	FXDIRECTDEALER LLC	N	NFA	08/31/2010	36,691,871	21,821,579	14,870,292	0	0
43	GAIN CAPITAL GROUP LLC	N	NFA	08/31/2010	74,378,256	25,971,612	48,406,644	0	0
44	GENESIS FUTURES LLC	N	NFA	08/31/2010	1,519,109	1,000,000	519,109	0	0
45	GILDER GAGNON HOWE & CO LLC	Y	NFA	08/31/2010	15,768,201	1,000,000	14,768,201	0	0
46	GLOBAL FUTURES & FOREX LTD	N	NFA	08/31/2010	79,666,373	26,357,388	53,308,985	212,116	190,557
47	GOLDMAN SACHS & CO	Y	CBOT	08/31/2010	10,812,220,908	1,887,227,215	8,924,993,693	18,980,918,530	6,517,538,551
48	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	08/31/2010	1,887,835,814	104,956,784	1,782,879,030	1,326,344,344	27,387,760
49	HSBC SECURITIES USA INC	Y	CME	08/31/2010	1,073,318,736	103,749,892	969,568,844	881,942,909	69,802,137
50	ICAP FUTURES LLC	N	NFA	08/31/2010	8,407,341	1,000,000	7,407,341	0	0
51	IG MARKETS INC	N	NFA	08/31/2010	30,322,079	20,000,000	10,322,079	49,959	0
52	IKON GLOBAL MARKETS INC	N	NFA	08/31/2010	21,831,871	20,243,477	1,588,394	14,886,440	108,434
53	INSTINET LLC	Y	NFA	08/31/2010	122,379,772	1,000,000	121,379,772	0	0
54	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	08/31/2010	1,845,018	1,000,000	845,018	0	0
55	INTERACTIVE BROKERS LLC	Y	NFA	08/31/2010	1,060,578,108	114,821,069	945,757,039	546,300,514	165,296,503
56	INTERBANK FX LLC	N	NFA	08/31/2010	28,923,806	21,461,949	7,461,857	0	0
57	ITG DERIVATIVES LLC	Y	NFA	08/31/2010	4,390,609	1,000,000	3,390,609	0	0
58	JP MORGAN CLEARING CORP	Y	CME	08/31/2010	5,194,975,201	1,597,405,767	3,597,569,434	553,170,897	352,961,353
59	JP MORGAN FUTURES INC	N	NYME	08/31/2010	2,436,830,770	1,259,095,797	1,177,734,973	16,276,732,883	1,848,765,129
60	JP MORGAN SECURITIES INC	Y	NFA	08/31/2010	6,878,433,385	549,852,691	6,328,580,694	0	0
61	LEK SECURITIES CORPORATION	Y	NFA	08/31/2010	10,372,267	1,500,000	8,872,267	319,771	0
62	LIMESTONE TRADING LLC	N	NFA	08/31/2010	10,038,420	1,000,000	9,038,420	0	0
63	LINN GROUP THE	N	NFA	08/31/2010	1,994,961	1,000,000	994,961	30,225,488	51,287
64	LPL FINANCIAL CORPORATION	Y	NFA	08/31/2010	123,343,698	5,286,660	118,057,038	0	0
65	MACQUARIE FUTURES USA INC	N	CBOT	08/31/2010	82,736,405	46,093,725	36,642,680	778,443,797	11,247,208
66	MAREX USA LIMITED	N	NFA	08/31/2010	3,155,775	1,000,000	2,155,775	0	0
67	MB TRADING FUTURES INC	N	NFA	08/31/2010	24,510,457	20,000,000	4,510,457	0	0
68	MBF CLEARING CORP	N	NYME	08/31/2010	11,357,783	1,191,186	10,166,597	71,337,169	5,566,655
69	MCVEAN TRADING & INVESTMENTS LLC	N	NFA	08/31/2010	11,266,463	3,707,291	7,559,172	616,196,489	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	08/31/2010	5,480,563,052	681,558,150	4,799,004,902	9,079,897,424	1,897,494,876
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	08/31/2010	2,289,128,807	163,092,904	2,126,035,903	740,196,889	42,431,571
72	MF GLOBAL INC	Y	CME	08/31/2010	564,098,014	411,498,120	152,599,894	8,430,629,003	550,606,597
73	MG FINANCIAL LLC	N	NFA	08/31/2010	4,497,764	1,000,000	3,497,764	0	0
74	MID CO COMMODITIES INC	N	NFA	08/31/2010	9,361,927	4,481,894	4,880,033	45,471,867	0
75	MITSUBISHI UFJ SECURITIES USA INC	Y	NFA	08/31/2010	69,891,506	1,749,548	68,141,958	0	0
76	mitsui BUSSAN COMMODITIES USA INC	N	NYME	08/31/2010	9,070,207	5,083,155	3,987,052	0	0
77	MIZUHO SECURITIES USA INC	Y	CME	08/31/2010	439,450,720	57,853,742	381,596,978	716,578,606	310,533,898
78	MORGAN KEEGAN & COMPANY INC	Y	NFA	08/31/2010	414,583,858	9,566,239	405,017,619	0	0
79	MORGAN STANLEY & CO INCORPORATED	Y	CME	08/31/2010	7,804,359,491	1,074,753,415	6,729,606,076	4,947,562,874	1,905,116,528
80	MORGAN STANLEY SMITH BARNEY LLC	Y	NFA	08/31/2010	1,306,194,569	38,036,988	1,268,157,581	625,950,864	1,384,715,476
81	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	08/31/2010	223,737,352	1,000,000	222,737,352	0	0
82	NEUBERGER BERMAN LLC	Y	NFA	08/31/2010	67,484,891	1,500,000	65,984,891	0	0
83	NEWEDGE USA LLC	Y	NYME	08/31/2010	2,434,976,084	1,261,608,975	1,173,367,109	19,290,439,969	6,143,953,272

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84	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	08/31/2010	1,032,349,525	8,000,985	1,024,348,540	111,930,349	231,230
85	OANDA CORPORATION	N	NFA	08/31/2010	166,482,821	29,634,993	136,847,828	0	0
86	OPEN E CRY LLC	N	NFA	08/31/2010	3,406,123	1,011,111	2,395,012	82,760,420	786,321
87	OPPENHEIMER & CO INC	Y	NFA	08/31/2010	153,326,199	24,606,091	128,720,108	18,414,788	0
88	OPTIONSPRESS INC	Y	NFA	08/31/2010	117,386,453	13,254,260	104,132,193	77,772,691	771,909
89	PENSON GHCO	N	CBOT	08/31/2010	25,517,166	19,312,689	6,204,477	551,263,487	26,475,966
90	PEREGRINE FINANCIAL GROUP INC	N	NFA	08/31/2010	34,712,180	20,000,000	14,712,180	411,426,428	22,798,986
91	PIONEER FUTURES INC	N	NFA	08/31/2010	2,226,886	1,000,000	1,226,886	0	0
92	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	08/31/2010	211,917,000	176,688,320	35,228,680	2,530,424,000	235,918,000
93	RAND FINANCIAL SERVICES INC	N	CME	08/31/2010	70,325,486	17,088,853	53,236,633	171,835,500	37,264,800
94	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	08/31/2010	379,016,743	27,195,983	351,820,760	0	0
95	RBC CAPITAL MARKETS CORPORATION	Y	CME	08/31/2010	930,351,544	83,539,306	846,812,238	425,042,254	81,985,636
96	RBS SECURITIES INC	Y	CBOT	08/31/2010	1,858,762,000	177,825,000	1,680,937,000	1,517,179,000	42,942,000
97	RJ OBRIEN ASSOCIATES LLC	N	CME	08/31/2010	114,431,302	74,236,997	40,194,305	1,857,562,736	56,628,227
98	ROSENTHAL COLLINS GROUP LLC	N	CME	08/31/2010	60,564,822	37,899,047	22,665,775	1,291,947,602	14,102,263
99	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	08/31/2010	46,976,629	1,000,000	45,976,629	0	0
100	SANFORD C BERNSTEIN & CO LLC	Y	NFA	08/31/2010	157,079,226	13,993,275	143,085,951	0	0
101	SANTANDER INVESTMENT SECURITIES INC	Y	NFA	08/31/2010	106,266,543	1,000,000	105,266,543	0	0
102	SMW TRADING COMPANY INC	N	CME	08/31/2010	22,992,128	1,000,000	21,992,128	3,537,712	0
103	STATE STREET GLOBAL MARKETS LLC	Y	CME	08/31/2010	370,402,732	1,000,000	369,402,732	2,072,756	27,595
104	STEPHENS	Y	NFA	08/31/2010	81,025,561	13,228,671	67,796,890	0	0
105	STERLING COMMODITIES CORP	N	NYME	08/31/2010	7,952,503	1,594,622	6,357,881	53,144,091	297,959
106	TCA FUTURES LLC	N	NFA	08/31/2010	1,599,764	1,000,000	599,764	0	0
107	TD AMERITRADE INC	Y	NFA	08/31/2010	166,041,144	1,000,000	165,041,144	0	0
108	TENCO INC	N	CBOT	08/31/2010	53,084,227	7,413,196	45,671,031	263,330,019	0
109	TERRA NOVA FINANCIAL LLC	Y	NFA	08/31/2010	9,766,767	1,500,000	8,266,767	0	0
110	TIMBER HILL LLC	Y	CME	08/31/2010	969,218,582	39,525,936	929,692,646	489,943,959	0
111	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	08/31/2010	4,121,005	1,000,000	3,121,005	0	0
112	TRADELINK LLC	Y	CBOT	08/31/2010	27,065,922	1,000,000	26,065,922	0	0
113	TRADESTATION SECURITIES INC	Y	NFA	08/31/2010	75,313,178	3,402,607	71,910,571	394,997,861	850,839
114	TRADITION SECURITIES AND FUTURES INC	N	NFA	08/31/2010	1,565,211	1,000,000	565,211	0	0
115	TRILAND USA INC	N	NYME	08/31/2010	22,511,765	18,759,720	3,752,045	238,084,367	16,997,972
116	UBS FINANCIAL SERVICES INC	Y	NFA	08/31/2010	1,197,484,103	96,314,163	1,101,169,940	215,190,724	1,806,231
117	UBS SECURITIES LLC	Y	CBOT	08/31/2010	11,259,139,243	973,090,046	10,286,049,197	9,842,480,539	4,110,741,214
118	VELOCITY FUTURES LLC	N	NFA	08/31/2010	1,733,882	1,000,000	733,882	53,227,371	5,435,121
119	VISION FINANCIAL MARKETS LLC	Y	CME	08/31/2010	23,314,543	7,771,770	15,542,773	355,876,441	4,978,980
120	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Y	NFA	08/31/2010	24,401,913	3,563,974	20,837,939	0	0
121	WELLS FARGO ADVISORS LLC	Y	NFA	08/31/2010	457,911,580	1,500,000	456,411,580	0	0
122	WELLS FARGO SECURITIES LLC	Y	CME	08/31/2010	1,249,525,782	10,359,771	1,239,166,011	0	0
123	WHITE COMMERCIAL CORPORATION	N	NFA	08/31/2010	2,692,778	1,000,000	1,692,778	0	0
124	WSD FINANCIAL USA INC	N	NFA	08/31/2010	1,196,996	1,000,000	196,996	0	0
125	YORK BUSINESS ASSOCIATES LLC	N	NFA	08/31/2010	2,656,161	1,000,000	1,656,161	59,924,392	1,252,023

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<b>Futures Commission Merchant</b>								
<b>Totals</b>							<b>143,827,718,707</b>	<b>32,894,379,966</b>



