SELECTED FCM FINANCIAL DATA AS OF
May 31, 2012
FROM REPORTS FILED BY
July 03, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
7	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	5/31/2012	314,615,296	156,750,927	157,864,369	2,446,349,131	2,324,546,876	121,802,255	496,362,205	457,992,185	38,370,020	0
8	2	ADM INVESTOR SERVICES INC	FCM	CBOT	5/31/2012	270,105,683	142,205,338	127,900,345	2,940,588,453	2,706,550,799	234,037,654	237,390,832	180,616,887	56,773,945	107,982
9	3	ADVANCED MARKETS LLC	FCMRFD	NFA	5/31/2012	20,410,329	20,000,000	410,329	0	0	0	0	0	0	701,084
10	4	ADVANTAGE FUTURES LLC	FCM	CME	5/31/2012	20,832,710	13,314,392	7,518,318	478,381,800	466,273,980	12,107,820	49,322,877	46,189,620	3,133,257	0
11	5	ALPARI (US) LLC	FCMRFD	NFA	5/31/2012	27,932,207	20,265,110	7,667,097	2,862,000	586,863	2,275,137	0	0	0	15,302,208
12	6	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	5/31/2012	131,107,388	1,000,000	130,107,388	0	0	0	0	0	0	0
13	7	AMP GLOBAL CLEARING LLC	FCM	NFA	5/31/2012	2,488,451	1,500,000	988,451	35,614,714	34,199,896	1,414,818	265,513	4,553	260,960	0
14	8	BARCLAYS CAPITAL INC	FCM BD	NYME	5/31/2012	5,353,348,418	979,430,790	4,373,917,628	6,247,344,008	6,051,087,474	196,256,534	3,694,123,595	3,517,660,857	176,462,738	0
15	9	BGC FINANCIAL LP	FCM BD	NFA	5/31/2012	53,013,705	1,067,625	51,946,080	0	0	0	0	0	0	0
16	10	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	5/31/2012	2,248,010,343	305,313,980	1,942,696,363	1,643,678,458	1,501,612,720	142,065,738	701,265,223	574,158,971	127,106,252	0
17	11	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	5/31/2012	1,902,949,805	105,105,247	1,797,844,558	514,441,404	426,459,091	87,982,313	0	0	0	0
18	12	BNY MELLON CLEARING LLC	FCM	CME	5/31/2012	262,399,243	4,731,689	257,667,554	291,369,336	143,328,519	148,040,817	1,733,988	441,507	1,292,481	0
19	13	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	5/31/2012	4,991,483	1,000,000	3,991,483	0	0	0	0	0	0	0
20	14	CANTOR FITZGERALD & CO	FCM BD	CBOT	5/31/2012	208,526,675	5,973,142	202,553,533	11,132,132	6,188,745	4,943,387	0	0	0	0
21	15	CAPITAL MARKET SERVICES LLC	FCM	NFA	5/31/2012	2,663,213	1,000,000	1,663,213	0	0	0	0	0	0	0
22	16	CIBC WORLD MARKETS CORP	FCM BD	CME	5/31/2012	491,184,495	1,500,000	489,684,495	0	0	0	0	0	0	0
23	17	CITADEL SECURITIES LLC	FCM BD	CME	5/31/2012	393,451,858	1,000,000	392,451,858	0	0	0	0	0	0	0
24	18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	5/31/2012	7,214,312,252	731,942,664	6,482,369,588	9,109,174,009	8,859,925,981	249,248,028	1,892,273,207	1,503,702,589	388,570,618	0
25	19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	5/31/2012	1,590,595	1,000,000	590,595	0	0	0	0	0	0	0
26	20	COUNTRY HEDGING INC	FCM	NFA	5/31/2012	16,608,582	13,065,856	3,542,726	197,557,540	180,130,336	17,427,204	859,904	715,901	144,003	0
27	21	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	5/31/2012	6,265,605,098	1,188,581,938	5,077,023,160	6,594,184,921	5,967,071,054	627,113,867	2,528,588,875	1,951,715,562	576,873,313	0
28	22	CROSSLAND LLC	FCM	CBOT	5/31/2012	7,825,382	2,854,422	4,970,960	86,927,800	83,511,728	3,416,072	19,951,845	18,631,558	1,320,287	0
29	23	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	5/31/2012	3,086,464	1,518,335	1,568,129	67,772,732	66,460,681	1,312,051	1,214,418	1,131,069	83,349	0
30	24	CX CAPITAL MARKETS LLC	FCM BD	NFA	5/31/2012	3,368,065	1,000,000	2,368,065	30,629,749	28,691,962	1,937,787	0	0	0	0
31	25	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	5/31/2012	298,952,460	3,596,652	295,355,808	10,359,356	5,709,213	4,650,143	1,026,479	0	1,026,479	0
32	26	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	5/31/2012	8,633,246,021	535,280,975	8,097,965,046	13,694,078,905	13,239,692,891	454,386,014	1,245,800,808	1,034,286,587	211,514,221	0
33	27	DORMAN TRADING LLC	FCM	CME	5/31/2012	8,751,485	1,799,753	6,951,732	130,815,508	129,092,339	1,723,169	3,356,123	1,952,593	1,403,530	0
34	28	EAGLE MARKET MAKERS INC	FCM	CBOT	5/31/2012	5,832,105	1,000,000	4,832,105	772,177	369,074	403,103	0	0	0	0
35	29	EASY FOREX US LTD	FCM	NFA	5/31/2012	1,565,173	1,000,000	565,173	0	0	0	0	0	0	0
36	30	ENSKILDA FUTURES LTD	FCM	CME	5/31/2012	32,799,351	16,865,719	15,933,632	258,629,816	252,269,941	6,359,875	0	0	0	0
37	31	FCSTONE LLC	FCM	CME	5/31/2012	101,973,786	65,896,042	36,077,744	1,568,621,876	1,515,014,985	53,606,891	84,240,088	72,476,165	11,763,923	0
38	32	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	5/31/2012	42,731,671	26,889,581	15,842,090	0	0	0	0	0	0	147,791,624
39	33	FOREX CLUB LLC	FCMRFD	NFA	5/31/2012	23,076,201	22,000,000	1,076,201	0	0	0	0	0	0	3,349,961
40	34	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	5/31/2012	2,793,574	1,000,000	1,793,574	11,205,186	8,615,948	2,589,238	958,765	806,945	151,820	0
41	35	FRONTIER FUTURES INC	FCM	NFA	5/31/2012	1,901,227	1,000,000	901,227	31,107,577	30,216,925	890,652	0	0	0	0
42	36	FX SOLUTIONS LLC	FCMRFD	NFA	5/31/2012	26,327,006	20,373,188	5,953,818	0	0	0	0	0	0	17,463,768
43	37	FXDIRECTDEALER LLC	FCMRFD	NFA	5/31/2012	24,931,286	21,507,332	3,423,954	0	0	0	0	0	0	40,146,634
44	38	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	5/31/2012	41,154,509	24,506,521	16,647,988	0	0	0	0	0	0	100,130,419
45	39	GH FINANCIALS LLC	FCM	CME	5/31/2012	8,613,888	1,000,000	7,613,888	770,582	650,098	120,484	0	0	0	0
46	40	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	5/31/2012	63,862,026	23,761,419	40,100,607	437,847	97,892	339,955	0	0	0	85,228,372
47	41	GOLDMAN SACHS & CO	FCM BD	CBOT	5/31/2012	13,538,688,284	1,800,068,612	11,738,619,672	18,926,689,219	18,406,125,577	520,563,642	8,649,043,110	8,154,270,799	494,772,311	0
48	42	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	5/31/2012	2,089,948,010	130,122,456	1,959,825,554	1,412,446,671	779,240,612	633,206,059	84,710,989	38,283,169	46,427,820	0
49	43	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	5/31/2012	68,825,405	4,870,997	63,954,408	0	0	0	0	0	0	0
50	44	HSBC SECURITIES USA INC	FCM BD	CME	5/31/2012	1,124,381,306	90,650,414	1,033,730,892	839,671,691	771,640,423	68,031,268	122,373,109	88,786,844	33,586,265	0
51	45	IBFX, INC	RFED	NFA	5/31/2012	26,250,081	23,010,478	3,239,603	0	0	0	0	0	0	70,209,562
52	46	ICAP CORPORATES LLC	FCM BD	NFA	5/31/2012	50,188,275	1,060,004	49,128,271	0	0	0	0	0	0	0
53	47	IKON GLOBAL MARKETS INC	FCM	NFA	5/31/2012	10,284,678	1,000,000	9,284,678	5,763,878	4,802,851	961,027	0	0	0	0
54	48	INSTINET LLC	FCM BD	NFA	5/31/2012	117,051,836	1,039,091	116,012,745	0	0	0	0	0	0	0
55	49	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	5/31/2012	21,918,038	20,221,554	1,696,484	0	0	0	0	0	0	14,431,077
56	50	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	5/31/2012	1,324,702	1,000,000	324,702	1,058	0	1,058	0	0	0	0
57	51	INTERACTIVE BROKERS LLC	FCM BD	NFA	5/31/2012	1,442,062,645	186,299,641	1,255,763,004	1,648,000,538	1,445,115,723	202,884,815	470,675,501	364,172,158	106,503,343	37,523,627
58	52	IRONBEAM INC	FCM	NFA	5/31/2012	2,974,298	1,000,000	1,974,298	43,967,980	42,273,614	1,694,366	117,024	21,331	95,693	0
59	53	ITG DERIVATIVES LLC	FCM BD	NFA	5/31/2012	3,864,079	1,000,000	2,864,079	0	0	0	0	0	0	0
60	54	JEFFERIES & COMPANY INC	FCM BD	NFA	5/31/2012	770,625,222	46,178,585	724,446,637	0	0	0	0	0	0	0
61	55	JEFFERIES BACHE LLC	FCM	CBOT	5/31/2012	225,615,000	151,928,800	73,686,200	2,340,664,000	2,219,418,000	121,246,000	464,638,000	384,506,000	80,132,000	0
62	56	JP MORGAN CLEARING CORP	FCM BD	NFA	5/31/2012	7,401,278,547	2,073,959,174	5,327,319,373	773,457,454	524,036,054	249,421,400	527,939,919	322,455,425	205,484,494	0

SELECTED FCM FINANCIAL DATA AS OF
May 31, 2012
FROM REPORTS FILED BY
July 03, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
63	57	JP MORGAN SECURITIES LLC	FCM BD	CEI	5/31/2012	11,240,604,463	1,453,526,761	9,787,077,702	18,650,994,359	16,907,133,515	1,743,860,844	4,215,454,096	3,795,112,045	420,342,052	0
64	58	KNIGHT EXECUTION AND CLEARING SERVICES LLC	FCM BD	CME	5/31/2012	119,072,012	20,000,000	99,072,012	0	0	0	0	0	0	0
65	59	LEK SECURITIES CORPORATION	FCM BD	NFA	5/31/2012	9,857,173	1,500,000	8,357,173	5,070,935	4,009,929	1,061,006	0	0	0	0
66	60	LINN GROUP THE	FCM	NFA	5/31/2012	2,815,586	1,593,538	1,222,048	71,333,708	68,288,479	3,045,229	316,376	99,764	216,612	0
67	61	LIQUID FUTURES LLC	FCM	NFA	5/31/2012	1,438,106	1,027,000	411,106	0	0	0	0	0	0	0
68	62	LPL FINANCIAL LLC	FCM BD	NFA	5/31/2012	132,196,165	5,915,040	126,281,125	0	0	0	0	0	0	0
69	63	MACQUARIE FUTURES USA LLC	FCM	CBOT	5/31/2012	135,602,202	54,962,657	80,639,545	760,804,231	647,029,535	113,774,696	105,849,019	76,028,924	29,820,095	0
70	64	MAREX NORTH AMERICA LLC	FCM	CME	5/31/2012	13,486,376	8,335,494	5,150,882	134,069,450	125,353,308	8,716,142	7,199,784	6,610,609	589,175	0
71	65	MAREX USA LIMITED	FCM	NFA	5/31/2012	2,778,627	1,000,000	1,778,627	0	0	0	0	0	0	0
72	66	MB TRADING FUTURES INC	FCMRFD	NFA	5/31/2012	23,358,991	21,146,596	2,212,395	0	0	0	0	0	0	32,931,920
73	67	MBF CLEARING CORP	FCM	NFA	5/31/2012	1,486,662	1,000,000	486,662	0	0	0	0	0	0	0
74	68	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	5/31/2012	14,366,566	3,133,384	11,233,182	905,268,041	883,732,305	21,535,736	391,789	0	391,789	0
75	69	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	5/31/2012	10,834,447,265	700,766,253	10,133,681,012	8,532,060,133	8,057,763,925	474,296,208	2,544,406,429	2,280,445,946	263,960,483	0
76	70	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	5/31/2012	1,628,442,907	200,838,597	1,427,604,310	1,518,530,785	879,242,908	639,287,877	147,907,476	46,169,524	101,737,952	0
77	71	MID CO COMMODITIES INC	FCM	NFA	5/31/2012	9,993,161	4,379,604	5,613,557	48,143,355	38,192,086	9,951,269	538,692	133,421	405,271	0
78	72	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	5/31/2012	235,113,488	1,452,403	233,661,085	0	0	0	0	0	0	0
79	73	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	5/31/2012	3,108,730	1,000,000	2,108,730	0	0	0	0	0	0	0
80	74	MIZUHO SECURITIES USA INC	FCM BD	CME	5/31/2012	368,738,103	116,884,366	251,853,737	947,191,676	833,401,786	113,789,890	617,538,108	523,363,308	94,174,800	0
81	75	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	5/31/2012	245,065,185	8,026,596	237,038,589	0	0	0	0	0	0	0
82	76	MORGAN STANLEY & CO LLC	FCM BD	CME	5/31/2012	8,293,714,588	1,279,317,112	7,014,397,476	6,683,412,612	6,575,089,933	108,322,679	1,752,830,861	1,646,670,583	106,160,278	0
83	77	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	5/31/2012	2,021,927,538	83,340,170	1,938,587,368	660,121,503	604,568,311	55,553,192	885,802,554	854,646,695	31,155,859	0
84	78	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	5/31/2012	359,892,089	1,500,000	358,392,089	0	0	0	0	0	0	0
85	79	NEUBERGER BERMAN LLC	FCM BD	NFA	5/31/2012	54,507,051	1,500,000	53,007,051	0	0	0	0	0	0	0
86	80	NEWEDGE USA LLC	FCM BD	NYME	5/31/2012	1,891,477,765	1,229,298,554	662,179,211	17,069,335,884	16,464,136,011	605,199,873	6,637,398,845	6,023,417,147	613,981,698	0
87	81	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	5/31/2012	1,977,776,543	34,509,114	1,943,267,429	97,730,143	61,111,764	36,618,379	1,687,841	201,277	1,486,564	0
88	82	OANDA CORPORATION	FCMRFD	NFA	5/31/2012	153,489,312	29,336,667	124,152,645	0	0	0	0	0	0	196,733,340
89	83	OPEN E CRY LLC	FCM	NFA	5/31/2012	1,974,199	1,029,720	944,479	101,110,118	97,531,246	3,578,872	3,182,660	2,511,176	671,484	0
90	84	OPPENHEIMER & CO INC	FCM BD	NFA	5/31/2012	140,171,682	24,638,648	115,533,034	33,308,938	19,502,377	13,806,561	0	0	0	0
91	85	OPTIONSXPRESS INC	FCM BD	NFA	5/31/2012	75,255,228	5,762,268	69,492,960	95,354,951	82,156,598	13,198,353	6,460,059	3,746,322	2,713,737	0
92	86	PENSON FINANCIAL SERVICES INC	FCM BD	CBOT	5/31/2012	100,672,571	26,007,019	74,665,552	428,284,547	410,813,189	17,471,358	18,106,835	9,063,779	9,043,056	23,179,482
93	87	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	5/31/2012	31,256,900	21,136,983	10,119,917	376,523,865	371,453,743	5,070,122	33,156,559	31,370,119	1,786,440	32,739,674
94	88	PHILLIP FUTURES INC	FCM	CME	5/31/2012	8,998,214	5,270,275	3,727,939	86,971,578	77,992,585	8,978,993	99,995	0	99,995	0
95	89	PIONEER FUTURES INC	FCM	NFA	5/31/2012	1,756,712	1,000,000	756,712	0	0	0	0	0	0	0
96	90	RAND FINANCIAL SERVICES INC	FCM	CME	5/31/2012	75,241,526	14,195,085	61,046,441	145,061,000	105,050,600	40,010,400	56,741,700	35,166,400	21,575,300	0
97	91	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	5/31/2012	332,713,852	32,028,011	300,685,841	0	0	0	0	0	0	0
98	92	RBC CAPITAL MARKETS LLC	FCM BD	CME	5/31/2012	1,320,072,770	87,354,510	1,232,718,260	691,569,232	644,384,766	47,184,466	107,888,840	79,424,238	28,464,602	0
99	93	RBS SECURITIES INC	FCM BD	CBOT	5/31/2012	3,678,271,066	238,844,084	3,439,426,982	2,013,462,467	1,878,205,131	135,257,336	186,328,412	70,592,546	115,735,866	0
100	94	RJ OBRIEN ASSOCIATES LLC	FCM	CME	5/31/2012	194,786,928	125,595,798	69,191,130	3,666,804,561	3,571,763,227	95,041,334	230,573,222	192,960,814	37,612,408	1,984,070
101	95	ROSENTHAL COLLINS GROUP LLC	FCM	CME	5/31/2012	81,672,323	60,709,573	20,962,750	1,686,340,667	1,664,953,359	21,387,308	78,741,619	68,662,694	10,078,925	0
102	96	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	5/31/2012	146,254,051	16,360,726	129,893,325	0	0	0	0	0	0	0
103	97	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	5/31/2012	104,908,111	10,502,533	94,405,578	469,729,679	467,341,168	2,388,511	0	0	0	0
104	98	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	5/31/2012	455,190,531	6,632,422	448,558,109	263,156,223	71,398,146	191,758,077	52,255,253	12,893,310	39,361,943	0
105	99	STEPHENS INC	FCM BD	NFA	5/31/2012	91,887,838	13,866,152	78,021,686	0	0	0	0	0	0	0
106	100	STRAITS FINANCIAL LLC	FCM	CME	5/31/2012	6,531,241	1,524,351	5,006,890	47,876,475	44,101,473	3,775,002	7,198,937	5,517,425	1,681,512	0
107	101	TD AMERITRADE INC	FCM BD	NFA	5/31/2012	208,307,217	5,209,450	203,097,767	85,561,770	65,510,993	20,050,777	0	0	0	0
108	102	TENCO INC	FCM	CBOT	5/31/2012	55,728,244	7,519,122	48,209,122	238,309,407	218,856,536	19,452,871	394,352	66,563	327,789	0
109	103	TIMBER HILL LLC	FCM BD	CME	5/31/2012	769,824,460	39,509,761	730,314,699	487,008,176	484,482,498	2,525,678	0	0	0	0
110	104	TRADELINK LLC	FCM BD	CBOT	5/31/2012	17,323,506	1,000,000	16,323,506	0	0	0	0	0	0	0
111	105	TRADESTATION SECURITIES INC	FCM BD	NFA	5/31/2012	49,599,044	3,007,399	46,591,645	424,769,235	414,550,017	10,219,218	13,447,788	12,378,798	1,068,990	0
112	106	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	5/31/2012	1,514,809	1,000,000	514,809	0	0	0	0	0	0	0
113	107	UBS FINANCIAL SERVICES INC	FCM BD	NFA	5/31/2012	1,186,853,533	110,464,459	1,076,389,074	156,102,897	128,097,479	28,005,418	25,707,508	2,899,974	22,807,534	0
114	108	UBS SECURITIES LLC	FCM BD	CBOT	5/31/2012	12,320,178,288	948,974,395	11,371,203,893	9,673,104,326	9,035,410,824	637,693,502	4,363,194,343	3,963,265,593	399,928,750	0
115	109	VELOCITY FUTURES LLC	FCM	NFA	5/31/2012	1,699,275	1,000,000	699,275	61,694,982	60,825,682	869,300	13,917,048	13,003,392	913,656	0
116	110	VISION FINANCIAL MARKETS LLC	FCM BD	CME	5/31/2012	18,614,189	12,118,082	6,496,107	494,121,671	474,355,102	19,766,569	8,806,478	6,374,298	2,432,180	0
117	111	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	5/31/2012	42,799,259	1,000,000	41,799,259	0	0	0	0	0	0	0
118	112	WELLS FARGO ADVISORS LLC	FCM BD	NFA	5/31/2012	1,192,753,166	1,500,000	1,191,253,166	0	0	0	0	0	0	0

SELECTED FCM FINANCIAL DATA AS OF
May 31, 2012
FROM REPORTS FILED BY
July 03, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
119	113	WELLS FARGO SECURITIES LLC	FCM BD	CME	5/31/2012	1,980,046,651	18,137,315	1,961,909,336	0	0	0	0	0	0	0
120	114	WHITE COMMERCIAL CORPORATION	FCM	NFA	5/31/2012	2,365,734	1,000,000	1,365,734	0	0	0	0	0	0	0
121	115	XPRESSTRADE LLC	RFED	NFA	5/31/2012	22,986,326	20,000,000	2,986,326	0	0	0	0	0	0	1,034,923
122	116	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	5/31/2012	2,125,580	1,000,000	1,125,580	72,805,291	70,616,345	2,188,946	2,407,422	1,614,068	793,354	0
123															
124															
125		**Totals**							**150,278,568,377**	**140,829,415,744**	**9,449,152,633**	**43,404,163,297**	**38,479,390,027**	**4,924,773,271**	**820,989,727**
126															
127		**April Web Page Update**	**116**												
128															
129		**Additions**	**1**												
130		KNIGHT EXECUTION AND CLEARING SERVICES LLC													
131															
132		**Deletions**	**1**												
133		STERLING COMMODITIES CORP													
134															
135		**Name Change**													
136		TRADESTATION FOREX INC to IBFX, INC													
137															
138		**May Web Page Update**	**116**												
139															

SELECTED FCM FINANCIAL DATA AS OF
May 31, 2012
FROM REPORTS FILED BY
July 03, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3		Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
4														Accounts	
5			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
140		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;													
141		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;													
142		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;													
143		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.													
144															
145		(b): DSRO: Designated Self-Regulatory Organization.													
146															
147		(c): A firm's net capital requirement is the greater of:													
148															
149		• FCM minimum dollar amount ($1,000,000); or													
150		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or													
151		• the amount of capital required by a registered futures association (currently NFA is the only such association); or													
152		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or													
153		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or													
154		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.													
155															
156		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.													
157															
158		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.													
159															
160		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all													
161															
162		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.													
163															
164		(h): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with													
165															
166		(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.													
167															
168		(j): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.													
169															
170		(k): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts,													