

SELECTED FCM FINANCIAL DATA AS OF
April 30, 2012
FROM REPORTS FILED BY
June 04, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3								in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation	
4														Accounts	
5			(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	
7	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	4/30/2012	289,577,908	158,554,140	131,023,768	2,329,878,225	2,266,475,854	63,402,371	466,956,585	451,606,140	15,350,445	0
8	2	ADM INVESTOR SERVICES INC	FCM	CBOT	4/30/2012	269,956,721	149,261,409	120,695,312	3,074,720,878	2,714,760,793	359,960,085	206,844,913	151,658,025	55,186,888	107,982
9	3	ADVANCED MARKETS LLC	FCMRFD	NFA	4/30/2012	20,388,886	20,000,000	388,886	0	0	0	0	0	0	747,614
10	4	ADVANTAGE FUTURES LLC	FCM	CME	4/30/2012	21,838,030	11,951,930	9,886,100	455,170,685	440,488,855	14,681,830	44,471,927	42,730,624	1,741,303	0
11	5	ALPARI (US) LLC	FCMRFD	NFA	4/30/2012	21,059,569	20,137,205	922,364	609,729	384,477	225,252	0	0	0	12,744,095
12	6	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	4/30/2012	94,323,740	1,000,000	93,323,740	0	0	0	0	0	0	0
13	7	AMP GLOBAL CLEARING LLC	FCM	NFA	4/30/2012	2,324,952	1,500,000	824,952	35,999,638	34,608,060	1,391,578	315,479	10,804	304,675	0
14	8	BARCLAYS CAPITAL INC	FCM BD	NYME	4/30/2012	5,684,245,751	1,015,929,928	4,668,315,823	6,399,441,605	6,182,797,013	216,644,592	3,859,807,631	3,679,344,989	180,462,642	0
15	9	BGC FINANCIAL LP	FCM BD	NFA	4/30/2012	60,717,568	1,000,000	59,717,568	0	0	0	0	0	0	0
16	10	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	4/30/2012	2,218,924,472	312,827,696	1,906,096,776	1,640,077,255	1,441,231,211	198,846,044	645,771,689	586,365,113	59,406,576	0
17	11	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	4/30/2012	1,980,470,420	98,078,575	1,882,391,845	530,349,961	441,774,687	88,575,274	0	0	0	0
18	12	BNY MELLON CLEARING LLC	FCM	CME	4/30/2012	263,182,380	5,113,112	258,069,268	277,442,781	129,325,236	148,117,545	1,898,932	602,465	1,296,467	0
19	13	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	4/30/2012	4,991,483	1,000,000	3,991,483	0	0	0	0	0	0	0
20	14	CANTOR FITZGERALD & CO	FCM BD	CBOT	4/30/2012	209,707,360	8,858,305	200,849,055	10,130,308	5,206,675	4,923,633	0	0	0	0
21	15	CAPITAL MARKET SERVICES LLC	FCM	NFA	4/30/2012	2,356,540	1,000,000	1,356,540	0	0	0	0	0	0	0
22	16	CIBC WORLD MARKETS CORP	FCM BD	CME	4/30/2012	500,688,865	1,500,000	499,188,865	0	0	0	0	0	0	0
23	17	CITADEL SECURITIES LLC	FCM BD	CME	4/30/2012	376,817,295	1,000,000	375,817,295	0	0	0	0	0	0	0
24	18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	4/30/2012	7,295,350,818	765,569,574	6,529,781,244	9,010,752,785	8,767,846,376	242,906,409	1,949,669,593	1,555,074,597	394,594,996	0
25	19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	4/30/2012	1,835,161	1,000,000	835,161	0	0	0	0	0	0	0
26	20	COUNTRY HEDGING INC	FCM	NFA	4/30/2012	20,516,459	13,148,124	7,368,335	168,805,173	150,051,336	18,753,837	689,685	546,487	143,198	0
27	21	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	4/30/2012	8,202,173,337	1,199,079,736	7,003,093,601	6,649,193,379	5,958,360,405	690,832,974	2,524,202,688	2,114,223,993	409,978,695	0
28	22	CROSSLAND LLC	FCM	CBOT	4/30/2012	7,462,789	4,799,048	2,663,741	97,121,127	94,048,859	3,072,268	25,318,621	23,880,407	1,438,214	0
29	23	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	4/30/2012	2,701,047	1,586,618	1,114,429	70,294,379	68,958,499	1,335,880	1,286,967	1,207,554	79,413	0
30	24	CX CAPITAL MARKETS LLC	FCM BD	NFA	4/30/2012	3,376,794	1,000,000	2,376,794	30,808,469	28,919,350	1,889,119	0	0	0	0
31	25	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	4/30/2012	316,425,264	3,927,264	312,498,000	11,445,691	6,820,616	4,625,075	1,066,778	0	1,066,778	0
32	26	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	4/30/2012	8,192,369,152	633,449,295	7,558,919,857	15,042,461,471	14,566,659,072	475,802,399	1,720,544,768	1,413,907,882	306,636,886	0
33	27	DORMAN TRADING LLC	FCM	CME	4/30/2012	9,511,102	1,854,524	7,656,578	133,116,222	131,565,059	1,551,163	4,081,182	2,230,554	1,850,628	0
34	28	EAGLE MARKET MAKERS INC	FCM	CBOT	4/30/2012	6,202,123	1,000,000	5,202,123	716,704	348,067	368,637	0	0	0	0
35	29	EASY FOREX US LTD	FCM	NFA	4/30/2012	1,599,908	1,000,000	599,908	0	0	0	0	0	0	0
36	30	ENSKILDA FUTURES LTD	FCM	CME	4/30/2012	40,681,534	18,685,399	21,996,135	254,272,694	248,204,508	6,068,186	0	0	0	0
37	31	FCSTONE LLC	FCM	CME	4/30/2012	103,286,065	67,306,388	35,979,677	1,439,114,238	1,390,904,626	48,209,612	75,395,417	66,835,634	8,559,783	0
38	32	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	4/30/2012	37,300,522	27,138,188	10,162,334	0	0	0	0	0	0	152,763,756
39	33	FOREX CLUB LLC	FCMRFD	NFA	4/30/2012	22,049,314	20,000,000	2,049,314	0	0	0	0	0	0	3,260,876
40	34	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	4/30/2012	2,785,157	1,000,000	1,785,157	12,732,779	10,166,049	2,566,730	844,698	693,560	151,138	0
41	35	FRONTIER FUTURES INC	FCM	NFA	4/30/2012	1,918,962	1,000,000	918,962	30,279,859	29,405,730	874,129	0	0	0	0
42	36	FX SOLUTIONS LLC	FCMRFD	NFA	4/30/2012	26,479,415	20,391,798	6,087,617	0	0	0	0	0	0	17,835,963
43	37	FXDIRECTDEALER LLC	FCMRFD	NFA	4/30/2012	23,640,224	21,487,175	2,153,049	0	0	0	0	0	0	39,743,498
44	38	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	4/30/2012	49,636,362	24,706,718	24,929,644	0	0	0	0	0	0	104,134,362
45	39	GH FINANCIALS LLC	FCM	CME	4/30/2012	8,796,823	1,000,000	7,796,823	755,531	647,745	107,786	0	0	0	0
46	40	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	4/30/2012	69,468,438	23,857,358	45,611,080	447,684	107,723	339,961	0	0	0	87,147,164
47	41	GOLDMAN SACHS & CO	FCM BD	CBOT	4/30/2012	13,416,695,211	1,788,399,650	11,628,295,561	19,458,248,932	18,954,132,123	504,116,809	9,510,075,446	9,010,112,055	499,963,391	0
48	42	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	4/30/2012	2,162,051,933	140,310,647	2,021,741,286	1,365,506,950	774,074,874	591,432,076	80,663,032	34,598,998	46,064,034	0
49	43	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	4/30/2012	65,675,259	2,211,732	63,463,527	0	0	0	0	0	0	0
50	44	HSBC SECURITIES USA INC	FCM BD	CME	4/30/2012	1,166,571,037	96,062,643	1,070,508,394	866,726,838	799,707,003	67,019,835	138,826,038	107,684,674	31,141,364	0
51	45	ICAP CORPORATES LLC	FCM BD	NFA	4/30/2012	50,202,954	1,695,833	48,507,121	0	0	0	0	0	0	0
52	46	IKON GLOBAL MARKETS INC	FCM	NFA	4/30/2012	9,907,867	1,000,000	8,907,867	3,885,426	2,999,118	886,308	0	0	0	0
53	47	INSTINET LLC	FCM BD	NFA	4/30/2012	118,371,672	1,740,848	116,630,824	0	0	0	0	0	0	0
54	48	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	4/30/2012	21,385,148	20,122,252	1,262,896	0	0	0	0	0	0	12,445,037
55	49	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	4/30/2012	1,313,961	1,000,000	313,961	1,058	0	1,058	0	0	0	0
56	50	INTERACTIVE BROKERS LLC	FCM BD	NFA	4/30/2012	1,533,980,125	199,733,745	1,334,246,380	1,671,123,770	1,465,608,763	205,515,007	491,578,292	385,555,743	106,022,549	43,190,941
57	51	IRONBEAM INC	FCM	NFA	4/30/2012	3,010,532	1,000,000	2,010,532	45,844,017	44,202,261	1,641,756	113,866	13,057	100,809	0
58	52	ITG DERIVATIVES LLC	FCM BD	NFA	4/30/2012	3,880,320	1,000,000	2,880,320	0	0	0	0	0	0	0
59	53	JEFFERIES & COMPANY INC	FCM BD	NFA	4/30/2012	770,359,683	48,866,834	721,492,849	0	0	0	0	0	0	0
60	54	JEFFERIES BACHE LLC	FCM	CBOT	4/30/2012	232,742,000	148,756,240	83,985,760	2,367,996,000	2,242,050,000	125,946,000	467,861,000	395,280,000	72,581,000	0
61	55	JP MORGAN CLEARING CORP	FCM BD	NFA	4/30/2012	7,411,663,112	2,126,923,340	5,284,739,772	772,223,579	524,999,087	247,224,492	508,256,963	302,268,641	205,988,322	0
62	56	JP MORGAN SECURITIES LLC	FCM BD	CEI	4/30/2012	11,399,444,315	1,484,773,773	9,914,670,542	18,824,073,117	17,070,726,985	1,753,346,132	4,209,567,393	3,832,979,609	376,587,784	0

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
6															
124															
125		Totals							152,008,677,193	142,017,410,734	9,991,266,459	46,854,667,938	41,791,949,603	5,062,718,335	835,686,221
126															
127		March Web Page Update	116												
128															
129		Additions	0												
130															
131															
132		Deletions	0												
133															
134															
135		Name Change													
136		None													
137															
138		April Web Page Update	116												
139															
140		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;													
141		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;													
142		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;													
143		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.													
144															
145		(b): DSRO: Designated Self-Regulatory Organization.													
146															
147		(c): A firm's net capital requirement is the greater of:													
148															
149		• FCM minimum dollar amount (\$1,000,000); or													
150		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or													
151		• the amount of capital required by a registered futures association (currently NFA is the only such association); or													
152		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or													
153		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or													
154		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.													
155															
156		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.													
157															
158		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.													
159															
160		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.													
161															
162		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.													
163															
164		(h): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.													
165															
166		(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.													
167															
168		(j): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.													
169															
170		(k): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.													