

Commodity Futures Trading Commission
CEA CASES

NAME: WALKER & CO.

DOCKET NUMBER: 201

DATE: NOVEMBER 3, 1972

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 201

Name: Walker & Co.

Effective on November 3, 1972.

Order

Effective upon the date of service of this order upon the respondent Walker & Co., it shall cease and desist from: (1) failing to treat and deal with customers' funds as belonging to such customers as required by section 4d of the Commodity Exchange Act (7 U.S.C. 6d); and (2) failing to prepare and maintain (a) an accurate record as of the close of the market on each business day of the amount of money, securities and property which must be in segregated account in order to comply with the requirements of section 4d of the Commodity Exchange Act (7 U.S.C. 6d) and the regulations thereunder, and (b) such other books and records relating to dealing in commodity futures in such form and manner and for such period as may be required by the Secretary of Agriculture.

A copy of this Decision and Order shall be served on each of the parties.

Done at Washington, D. C.

NOV 3 1972

[SEE SIGNATURE IN ORIGINAL]

Judicial Officer

LOAD-DATE: June 16, 2008

