

Commodity Futures Trading Commission
CEA CASES

NAME: I. USISKIN & COMPANY, INC., HOWARD S. FISHER, I. USISKIN & COMPANY,
ISADORE USISKIN, AND SIDNEY FASHENA

DOCKET NUMBER: 101

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 101

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Secretary of
Agriculture, charging violation of the Commodity Exchange Act by:

I. Usiskin & Company, Inc.

Howard S. Fisher

(Chicago, Illinois)

I. Usiskin & Company

Isadore Usiskin

Sidney Fashena

(New York, New York)

The complaint charges the respondents with improper handling of customers' funds, alleging that they failed to treat customers' funds as belonging to such customers, failed to keep such funds separate from those of the firm, and used the funds of certain customers to margin and guarantee the trades of other customers. It also alleges that the respondents failed to maintain records required under the Commodity Exchange Act.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, October 10, 1961, in Chicago, Illinois.

LOAD-DATE: June 12, 2008

