

Commodity Futures Trading Commission
CEA CASES

NAME: DOUGLAS STEEN

DOCKET NUMBER: 104

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT -- CEA DOCKET NO. 104

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Assistant Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Douglas Steen

(Sherman Oaks, California)

The complaint charges the respondent with fraud in connection with commodity futures transactions. It alleges that after he was notified of the death of a person whose account he controlled he caused certain futures transactions to be placed in the account.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint. Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., local time, December 12, 1961, in New York, New York.

LOAD-DATE: June 12, 2008

