

Commodity Futures Trading Commission
CEA CASES

NAME: A. E. MOOERS CO., INC. AND FRANK H. TOTMAN, SR.

DOCKET NUMBER: 190

DATE: AUGUST 10, 1972

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 190

Name: A. E. Mooers Co., Inc.

Frank H. Totman, Sr.

Effective On or about Aug. 10, 1972

The respondents, and each of them, shall cease and desist from:

(1) Engaging as futures commission merchant within the meaning of the Commodity Exchange Act without meeting the minimum financial requirements of the Act and the regulations thereunder;

(2) Willfully making any material false or misleading statement in any report or application filed under the Commodity Exchange Act;

(3) Willfully omitting to state any material fact in connection with any such application or report;

(4) Willfully causing, aiding, counselling, commanding or inducing any person to engage in any act or practice from which the respondents are directed to cease and desist by this order.

The period of the denial of trading privileges to the respondents, specified in the first paragraph of this order, shall become effective on the 30th day after the date of this order. The cease and desist provisions of this order, set forth in the second paragraph of this order, shall become effective on the date of service of this order upon the respondents.

A copy of this decision and order shall be served upon each of the parties and on each contract market.

Done at Washington, D. C.

AUG 3 1972

[SEE SIGNATURE IN ORIGINAL]

Judicial Officer

LOAD-DATE: June 16, 2008

