

Commodity Futures Trading Commission
CEA CASES

NAME: SY B. GAIBER & CO., SY B. GAIBER AND MICHAEL R. HEMPEL

DOCKET NUMBER: 165

DATE: APRIL 17, 1972

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 165

Name: Sy B. Gaiber & Co., Sy B. Gaiber and Michael R. Hempel

Effective On or about April 17, 1972

The respondents, individually and collectively, shall cease and desist from:

(1) Engaging as futures commission merchant within the meaning of the Commodity Exchange Act without meeting the minimum financial requirements of the Act and the regulations thereunder;

(2) Willfully making any material, false or misleading statement in any report or application filed under the Commodity Exchange Act;

(3) Willfully omitting to state any material fact in connection with any such application or report;

(4) Willfully failing or refusing to furnish true and correct information and reports as to the contents and meaning of records of transactions, as required under [ILLEGIBLE TEXT]tion 1.35 of the regulations issued under the Commodity Exchange Act (17 CFR 1.35); and

(5) Willfully causing, aiding, counseling, commanding or inducing any person to engage in any act or practice from which the respondents are directed to cease and desist by this Order. The cease and desist provisions of this Order, set forth in the second paragraph of this order, shall become effective upon the date of service of this Order upon the respondents.

A copy of this Decision and Order shall be served on each of the parties and on each contract market.

Done at Washington, D. C.,

April 12, 1972

[SEE SIGNATURE IN ORIGINAL]

Donald A. Campbell

Judicial Officer

[ILLEGIBLE TEXT]

Office of the Secretary

LOAD-DATE: June 16, 2008

