

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1		ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	2/28/2014	441,370,171	155,790,159	285,580,012	2,954,782,281	2,704,133,110	250,649,171	130,573,142	159,792,315	115,092,525	44,699,790	17,263,879	0	0	0	0	0
2		ADM INVESTOR SERVICES INC	FCM	CBOT	2/28/2014	282,300,423	171,436,709	110,863,714	3,339,616,548	3,083,337,866	256,278,682	120,000,000	239,356,625	177,535,564	61,821,061	20,000,000	16,069,508	7,153,718	8,915,790	8,000,000	0
3		ADVANTAGE FUTURES LLC	FCM	CME	2/28/2014	11,128,837	11,809,907	435,230,864	422,220,393	13,010,471	3,500,000	44,142,327	41,707,932	2,434,395	1,000,000	0	0	0	0	0	0
4		ALPARI (US) LLC	FCM	NFA	2/28/2014	3,641,289	1,000,000	2,641,289	4,308,403	0	4,308,403	25	0	0	0	0	0	0	0	0	32,854
5		AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	2/28/2014	117,599,915	1,000,000	116,599,915	0	0	0	0	0	0	0	0	0	0	0	0	0
6		AMP GLOBAL CLEARING LLC	FCM	NFA	2/28/2014	2,563,702	1,500,000	1,063,702	54,779,765	52,992,499	1,787,266	900,000	713,467	498,476	214,991	150,000	0	0	0	0	0
7		BARCLAYS CAPITAL INC	FCM BD	NYME	2/28/2014	6,052,220,910	1,384,782,046	4,667,438,864	7,242,166,959	6,817,065,823	425,101,136	136,341,316	4,235,859,467	4,035,235,448	200,624,019	121,057,063	5,506,679,169	4,638,015,993	868,663,176	510,181,759	0
8		BGC FINANCIAL LP	FCM BD	NFA	2/28/2014	68,072,298	2,660,052	65,412,246	0	0	0	0	0	0	0	0	0	0	0	0	0
9		BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	2/28/2014	3,333,577,717	221,779,869	3,111,797,848	3,609,508,825	3,285,510,890	323,997,935	328,551,089	18,812,951	2,900,752	15,912,199	10,000,000	5,100,000	0	5,100,000	5,000,000	0
10		BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	2/28/2014	1,734,241,791	184,164,675	1,550,077,116	1,140,257,155	928,627,783	211,629,372	185,725,557	32,875,578	12,524,832	20,350,746	1,252,483	242,195,394	182,004,637	60,190,757	18,200,464	0
11		BNY MELLON CLEARING LLC	FCM	CME	2/28/2014	281,960,125	20,000,000	261,960,125	99,603,113	3,648,951	95,954,162	50,000,000	20,746,934	0	20,746,934	5,000,000	117,136,841	28,615,697	88,521,144	5,000,000	0
12		BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	2/28/2014	4,138,372	1,000,000	3,138,372	0	0	0	0	0	0	0	0	0	0	0	0	0
13		CANTOR FITZGERALD & CO	FCM BD	CBOT	2/28/2014	287,372,950	8,834,181	278,538,769	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
14		CAPITAL MARKET SERVICES LLC	FCM	NFA	2/28/2014	1,481,584	1,000,000	481,584	0	0	0	0	0	0	0	0	0	0	0	0	0
15		CHS HEDGING INC	FCM	CBOT	2/28/2014	23,405,440	13,383,162	10,022,278	154,099,753	129,289,058	24,810,695	13,100,000	969,311	248,939	720,372	235,000	1,341,141	700,191	640,950	310,000	0
16		CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	2/28/2014	5,451,853,612	898,942,935	4,552,910,677	5,287,023,440	5,026,214,778	260,808,662	236,000,000	1,197,352,818	992,114,187	205,238,631	180,000,000	4,936,395,059	4,490,714,863	445,680,196	272,231,202	0
17		CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	2/28/2014	7,363,704,604	2,357,760,156	5,005,944,448	10,126,915,775	8,339,815,803	1,787,099,972	416,990,790	3,561,767,785	2,740,459,496	821,308,289	137,022,975	5,665,584,833	4,219,683,332	1,445,901,501	210,984,167	0
18		CROSSLAND LLC	FCM	CBOT	2/28/2014	10,000,362	1,924,549	8,075,813	80,524,157	78,290,393	2,233,764	1,000,000	6,903,400	5,952,446	950,954	500,000	0	0	0	0	0
19		CUNNINGHAM COMMODITIES LLC	FCM	CBOT	2/28/2014	3,677,701	1,312,111	2,365,590	65,574,635	64,256,196	1,318,439	600,000	530,133	361,251	168,882	100,000	0	0	0	0	0
20		DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	2/28/2014	518,208,784	9,673,598	508,535,186	9,406,483	3,924,049	5,482,434	3,924,049	631,896	0	631,896	1	0	0	0	0	0
21		DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	2/28/2014	8,667,152,506	664,079,960	8,003,072,546	13,772,342,781	13,088,406,225	683,936,556	500,000,000	1,254,296,481	943,902,631	310,393,850	200,000,000	1,551,326,902	1,240,917,485	310,409,417	250,000,000	0
22		DORMAN TRADING LLC	FCM	CME	2/28/2014	11,589,225	1,607,394	9,981,831	140,046,033	137,055,594	2,990,439	2,000,000	3,309,542	2,948,745	360,797	200,000	0	0	0	0	0
23		E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	2/28/2014	33,743,925	8,795,850	24,948,075	261,354,993	245,701,946	15,653,047	2,000,000	6,903,400	5,952,446	4,319,310	215,965	0	0	0	0	0
24		E TRADE CLEARING LLC	FCM BD	NFA	2/28/2014	719,256,950	156,131,858	563,125,092	49,492,434	38,047,879	11,444,555	10,000,000	1,577,246	571,350	1,005,896	1,000,000	0	0	0	0	0
25		EFL FUTURES LIMITED	FCM	CME	2/28/2014	40,238,154	20,058,563	20,179,591	273,445,276	260,509,827	12,935,449	5,000,000	0	0	0	0	0	0	0	0	0
26		FCSTONE LLC	FCM	CME	2/28/2014	108,098,687	82,742,129	25,356,558	1,740,751,793	1,712,943,005	27,808,788	20,000,000	52,427,035	36,511,804	15,915,231	14,000,000	0	0	0	0	0
27		FIXI AMERICAS LLC	FCM	NFA	2/28/2014	1,501,957	1,000,000	501,957	0	0	0	0	0	0	0	0	0	0	0	0	0
28		FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	2/28/2014	46,017,605	27,701,270	18,316,335	0	0	0	0	0	0	0	0	0	0	0	0	164,025,398
29		FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	2/28/2014	3,120,446	1,000,000	2,120,446	4,669,941	3,619,488	1,050,453	1,000,000	1,205,914	186,263	1,019,651	1,000,000	0	0	0	0	0
30		FRONTIER FUTURES INC	FCM	NFA	2/28/2014	1,774,941	1,000,000	774,941	20,254,573	19,490,273	764,300	263,427	0	0	0	0	0	0	0	0	0
31		FXDIRECTDEALER LLC	FCMRFD SD	NFA	2/28/2014	22,463,235	20,850,821	1,612,414	0	0	0	0	0	0	0	0	0	0	0	0	27,016,412
32		GAIN CAPITAL GROUP LLC	FCMRFD	NFA	2/28/2014	43,643,137	24,619,786	19,023,351	154,148,907	147,440,352	6,708,555	2,500,000	6,806,481	5,596,027	1,210,454	312,500	0	0	0	0	102,395,716
33		GH FINANCIALS LLC	FCM	CME	2/28/2014	9,937,882	1,000,000	8,937,882	6,955,832	5,494,561	1,461,271	250,000	948,738	696,579	252,159	75,000	0	0	0	0	0
34		GLOBAL FUTURES & FOREX LTD	FCM SD	NFA	2/28/2014	6,810,874	1,000,000	5,810,874	0	0	0	0	0	0	0	0	0	0	0	0	0
35		GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	2/28/2014	17,267,938,759	2,251,896,226	15,016,042,533	21,582,534,364	21,041,968,307	540,566,057	475,000,000	8,766,583,286	8,215,897,362	550,685,924	475,000,000	2,648,138,802	2,298,130,368	350,008,434	150,000,000	0
36		GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	2/28/2014	1,703,877,957	130,519,821	1,573,358,136	1,293,571,624	717,732,648	575,838,976	540,000,000	65,488,821	19,319,543	46,169,278	40,000,000	0	0	0	0	0
37		GUGGENHEIM SECURITIES LLC	FCM BD	NFA	2/28/2014	88,825,385	1,500,292	87,325,093	0	0	0	0	0	0	0	0	0	0	0	0	0
38		HSBC SECURITIES USA INC	FCM BD	CME	2/28/2014	1,002,187,181	143,364,938	858,822,243	1,206,972,870	1,115,585,146	91,387,724	50,000,000	158,512,654	98,322,610	60,190,044	19,664,522	202,114,545	145,893,901	56,220,644	30,000,000	0
39		IBFX INC	RFED SD	NFA	2/28/2014	29,289,139	22,967,968	6,321,164	0	0	0	0	0	0	0	0	0	0	0	0	66,852,051
40		INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	2/28/2014	33,598,142	20,000,000	13,598,142	51,809,080	50,587,124	1,221,956	500,000	714,224	254,016	460,208	250,000	0	0	0	0	9,158,503
41		INTERACTIVE BROKERS LLC	FCM BD	NFA	2/28/2014	2,166,484,727	315,765,758	1,850,718,969	2,234,510,398	2,030,503,481	204,006,917	150,000,000	410,083,707	308,885,534	101,198,173	80,000,000	0	0	0	0	39,578,753
42		IRONBEAM INC	FCM	NFA	2/28/2014	2,278,764	1,000,000	1,278,764	35,240,801	33,927,176	1,313,625	800,000	111,516	4,060	107,456	50,000	0	0	0	0	0
43		ITG DERIVATIVES LLC	FCM BD	NFA	2/28/2014	5,253,728	1,000,000	4,253,728	0	0	0	0	0	0	0	0	0	0	0	0	0
44		JEFFERIES BACHE LLC	FCM	CBOT	2/28/2014	185,061,000	141,276,880	43,784,120	2,322,123,000	2,215,518,000	106,605,000	90,000,000	204,326,000	136,119,000	68,207,000	50,000,000	74,554,000	52,851,000	21,703,000	5,000,000	0

