

SELECTED FCM FINANCIAL DATA AS OF
September 30, 2007
FROM REPORTS FILED BY
October 31, 2007

		B/D?	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount
		(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required
								(e)	(f)
1	3D FOREX, LLC	N	NFA	09/30/2007	6,630,468	500,000	6,130,468	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	09/30/2007	145,521,375	23,533,020	121,988,355	332,470,720	0
3	ADM INVESTOR SERVICES INC	N	CBOT	09/30/2007	155,178,109	53,884,977	101,293,132	1,110,155,563	37,542,882
4	ADVANCED MARKETS INC	N	NFA	09/30/2007	1,216,212	1,000,000	216,212	0	0
5	ADVANTAGE FUTURES LLC	N	CME	09/30/2007	19,521,554	3,504,082	16,017,472	152,577,725	17,540,756
6	AG EDWARDS & SONS INC	Y	CBOT	09/30/2007	997,250,657	34,193,771	963,056,886	244,233,003	891,179
7	AIG CLEARING CORPORATION	N	NYME	09/30/2007	300,872,290	40,892,880	259,979,410	11,744,605	0
8	ALARON TRADING CORPORATION	N	CME	09/30/2007	7,807,023	3,029,848	4,777,175	151,168,605	7,447,326
9	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	Y	NFA	09/30/2007	36,989,082	5,365,210	31,623,872	0	0
10	BACERA CORPORATION	N	NFA	09/30/2007	2,883,775	1,000,000	1,883,775	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	09/28/2007	3,293,438,605	386,014,360	2,907,424,245	1,740,028,794	14,221,244
12	BARCLAYS CAPITAL INC	Y	NYME	09/30/2007	1,253,150,039	340,116,786	913,033,253	3,402,956,889	1,994,732,407
13	BEAR STEARNS & CO INC	Y	NFA	09/30/2007	(g)	547,800,545	(g)	0	0
14	BEAR STEARNS SECURITIES CORP	Y	CME	09/30/2007	4,890,009,089	1,178,902,945	3,711,106,144	3,287,481,158	1,431,497,741
15	BGC SECURITIES	Y	NFA	09/30/2007	4,255,764	500,000	3,755,764	0	0
16	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	09/30/2007	160,855,485	90,183,294	70,672,191	984,600,986	331,636,858
17	BNP PARIBAS SECURITIES CORP	Y	CBOT	09/30/2007	792,659,112	30,324,439	762,334,673	236,354,917	0
18	CADENT FINANCIAL SERVICES LLC	N	CME	09/30/2007	6,039,487	4,830,655	1,208,832	201,794,312	1,481,435
19	CALYON FINANCIAL INC	Y	CME	09/30/2007	731,872,908	399,284,621	332,588,287	6,589,361,345	1,139,498,362
20	CANTOR FITZGERALD & CO	Y	CBOT	09/30/2007	202,048,113	2,166,733	199,881,380	5,677,409	0
21	CAPITAL MARKET SERVICES LLC	N	NFA	09/30/2007	10,779,665	4,112,637	6,667,028	0	0
22	CIBC WORLD MARKETS CORP	Y	CME	09/28/2007	1,201,213,182	17,771,671	1,183,441,511	0	0
23	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	09/30/2007	(g)	883,931,227	(g)	9,588,559,470	326,210,741
24	CLIFF LARSON COMPANY THE	N	NFA	09/30/2007	921,709	500,000	421,709	3,162,778	0
25	CMC MARKETS (US) LLC	N	NFA	09/30/2007	2,001,200	1,000,000	1,001,200	0	0
26	COMTRUST INC	N	NFA	09/30/2007	1,001,615	556,095	445,520	12,968,681	0
27	COUNTRY HEDGING INC	N	NFA	09/30/2007	17,336,840	10,545,405	6,791,435	140,852,806	0
28	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	09/30/2007	6,167,085,327	214,158,696	5,952,926,631	1,651,265,746	769,095,797
29	CROSSLAND LLC	N	CBOT	09/30/2007	3,496,603	500,000	2,996,603	11,909,569	0
30	CUNNINGHAM COMMODITIES LLC	N	CBOT	09/30/2007	3,312,260	528,541	2,783,719	23,607,665	91,211
31	DAIWA SECURITIES AMERICA INC	Y	CME	09/30/2007	238,183,783	1,371,790	236,811,993	5,346,326	2,493,473
32	DEUTSCHE BANK SECURITIES INC	Y	CBOT	09/30/2007	3,786,479,412	238,204,961	3,548,274,451	4,199,873,486	996,059,112
33	DIRECT FOREX LLC	N	NFA	09/30/2007	762,926	1,000,000	-237,074	0	0
34	DORMAN TRADING LLC	N	CME	09/30/2007	10,262,181	500,000	9,762,181	42,317,664	79,288
35	DUNAVANT COMMODITY CORP	N	NFA	09/30/2007	36,969,209	1,155,874	35,813,335	0	0
36	E FX OPTIONS LLC	N	NFA	09/30/2007	3,752,008	1,000,000	2,752,008	0	0
37	EAGLE MARKET MAKERS INC	N	CBOT	09/28/2007	5,364,042	500,000	4,864,042	8,886,994	0
38	EASY FOREX US LTD	N	NFA	09/30/2007	4,351,570	2,000,000	2,351,570	0	0
39	ED & F MAN COMMODITY ADVISORS INC	N	NFA	09/30/2007	1,498,018	500,000	998,018	0	0
40	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	09/30/2007	11,500,019	500,000	11,000,019	0	0
41	ENSKILDA FUTURES LTD	N	CME	09/30/2007	20,162,744	8,915,988	11,246,756	213,710,005	0
42	FARR FINANCIAL INC	N	NFA	09/30/2007	1,708,130	563,438	1,144,692	32,817,209	179,242
43	FC STONE LLC	N	CME	09/30/2007	88,390,919	37,545,659	50,845,260	957,386,855	3,970,844
44	FIMAT USA LLC	Y	NYME	09/28/2007	666,605,147	444,401,332	222,203,815	6,542,205,072	1,944,173,410
45	FIRST CAPITOL GROUP LLC	N	NFA	09/30/2007	6,122,146	2,168,493	3,953,653	74,789,408	101,599
46	FOREX CAPITAL MARKETS LLC	N	NFA	09/30/2007	56,170,101	12,504,582	43,665,519	0	0

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47	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	09/30/2007	3,989,815	1,000,000	2,989,815	0	0
48	FOREX LIQUIDITY LLC	N	NFA	09/30/2007	36,781,811	1,000,000	35,781,811	0	0
49	FORTIS CLEARING AMERICAS LLC	Y	CBOT	09/30/2007	163,806,808	33,676,970	130,129,838	717,969,736	76,129,784
50	FRIEDBERG MERCANTILE GROUP INC	N	NFA	09/30/2007	7,779,136	5,000,000	2,779,136	4,305,156	74,941
51	FRONTIER FUTURES INC	N	NFA	09/30/2007	1,308,652	602,011	706,641	23,192,857	0
52	FUTURES TECH LLC	N	NFA	09/30/2007	857,876	500,000	357,876	0	0
53	FX SOLUTIONS LLC	N	NFA	09/30/2007	12,712,376	1,738,344	10,974,032	0	0
54	FXCM LLC	N	NFA	09/30/2007	771,101	500,000	271,101	0	0
55	GAIN CAPITAL GROUP LLC	N	NFA	09/30/2007	36,971,588	4,319,606	32,651,982	0	0
56	GATEWAY CAPITAL L.L.C.	N	NFA	09/30/2007	558,231	500,000	58,231	0	0
57	GELBER GROUP LLC	N	CBOT	09/30/2007	57,905,877	864,274	57,041,603	56,640,983	11,923
58	GFS FOREX & FUTURES INC	N	NFA	09/30/2007	3,078,868	1,000,000	2,078,868	0	0
59	GILDER GAGNON HOWE AND CO LLC	Y	NFA	09/30/2007	32,570,663	500,000	32,070,663	0	0
60	GLOBAL FUTURES & FOREX	N	NFA	09/30/2007	56,057,140	7,540,863	48,516,277	0	0
61	GLOBAL FUTURES LLC	N	NFA	09/30/2007	8,211,461	500,000	7,711,461	177,962	0
62	GOLDMAN SACHS & CO	Y	CBOT	09/28/2007	(g)	1,709,929,109	(g)	13,432,890,316	8,792,926,184
63	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	09/28/2007	1,082,351,456	59,583,547	1,022,767,909	851,639,600	7,541,695
64	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	09/30/2007	90,034,409	8,723,055	81,311,354	0	0
65	HAGERTY GRAIN CO INC	N	CBOT	09/30/2007	4,080,836	500,000	3,580,836	4,906,948	0
66	HAMILTON WILLIAMS LLC	N	NFA	09/30/2007	1,453,196	1,000,000	453,196	0	0
67	HOTSPOT FXR LLC	N	NFA	09/30/2007	5,813,196	1,000,000	4,813,196	0	0
68	HSBC SECURITIES USA INC	Y	CME	09/30/2007	909,514,125	69,518,149	839,995,976	817,923,801	50,619,997
69	I TRADE FX LLC	N	NFA	09/30/2007	9,101,403	1,404,076	7,697,327	0	0
70	ICAP FUTURES LLC	N	CBOT	09/30/2007	5,665,036	500,000	5,165,036	0	0
71	IFSCL USA INC	N	NFA	09/30/2007	7,562,097	5,000,000	2,562,097	1,196,433	156,909
72	IFX MARKETS INC	N	NFA	09/30/2007	7,863,746	2,387,983	5,475,763	0	0
73	IG FINANCIAL MARKETS INC.	N	NFA	09/30/2007	1,017,560	500,000	517,560	0	0
74	INSTINET LLC	Y	NFA	09/30/2007	103,137,339	4,149,566	98,987,773	0	0
75	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	09/30/2007	1,788,870	1,000,000	788,870	742,973	0
76	INTERACTIVE BROKERS LLC	Y	EUXUS	09/30/2007	366,926,113	40,562,034	326,364,079	208,317,487	75,946,053
77	INTERBANK FX LLC	N	NFA	09/30/2007	26,695,339	4,088,721	22,606,618	0	0
78	IOWA GRAIN CO	N	CBOT	09/30/2007	15,974,680	10,006,610	5,968,070	270,057,353	640,009
79	ITAU SECURITIES INC	Y	NFA	09/30/2007	19,506,928	500,000	19,006,928	0	0
80	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	09/30/2007	120,211,070	500,000	119,711,070	0	0
81	JP MORGAN FUTURES INC	N	NYME	09/30/2007	1,189,325,522	696,604,922	492,720,600	9,958,486,695	1,913,914,793
82	KOTTKE ASSOCIATES LLC	N	CBOT	09/30/2007	15,538,021	500,000	15,038,021	36,238,424	2,860
83	LADENBURG THALMANN & CO INC	Y	NFA	09/30/2007	21,405,748	500,000	20,905,748	0	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	09/30/2007	929,204	500,000	429,204	0	0
85	LEHMAN BROTHERS INC	Y	CBOT	09/30/2007	(g)	551,445,000	(g)	6,054,694,000	191,705,000
86	LIMESTONE TRADING LLC	N	NFA	09/30/2007	19,452,095	500,000	18,952,095	0	0
87	LINN GROUP (THE)	N	NFA	09/30/2007	1,737,327	500,000	1,237,327	158,189	0
88	LINSICO/PRIVATE LEDGER CORP	Y	NFA	09/30/2007	51,038,932	8,057,507	42,981,425	0	0
89	LOEB PARTNERS CORPORATION	Y	NFA	09/30/2007	24,666,982	500,000	24,166,982	0	0
90	MACQUARIE FUTURES USA INC	N	NFA	09/30/2007	8,328,603	2,644,157	5,684,446	18,637,155	0
91	MASTER CAPITAL GROUP CORPORATION	N	NFA	09/30/2007	1,653,204	2,000,000	-346,796	0	0
92	MB TRADING FUTURES INC.	N	NFA	09/30/2007	5,190,435	1,167,012	4,023,423	0	0
93	MBF CLEARING CORP	N	NYME	09/30/2007	24,818,927	2,327,874	22,491,053	137,411,868	3,357,651

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94	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	09/30/2007	6,659,193	2,243,853	4,415,340	557,030,946	28,910
95	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	09/28/2007	(g)	634,594,600	(g)	8,183,033,425	949,906,284
96	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	09/28/2007	1,615,510,205	130,054,169	1,485,456,036	1,832,999,055	57,706,985
97	MF GLOBAL INC	N	CME	09/30/2007	563,978,860	365,662,417	198,316,443	9,233,025,913	749,289,503
98	MID-CO COMMODITIES INC	N	NFA	09/30/2007	4,979,878	2,978,527	2,001,351	47,300,498	0
99	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	09/30/2007	6,186,090	672,639	5,513,451	0	0
100	MIZUHO SECURITIES USA INC	Y	CME	09/30/2007	130,618,103	32,363,709	98,254,394	424,852,018	97,304,205
101	MONEY GARDEN CORP	N	NFA	09/30/2007	5,507,560	1,000,000	4,507,560	0	0
102	MORGAN KEEGAN & COMPANY INC	Y	NFA	09/30/2007	343,025,672	12,581,039	330,444,633	0	0
103	MORGAN STANLEY & CO INCORPORATED	Y	CME	09/30/2007	(g)	1,580,614,762	(g)	4,292,888,527	4,768,526,843
104	NEUBERGER BERMAN LLC	Y	NFA	09/30/2007	336,575,824	5,000,000	331,575,824	6,476,373	0
105	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	09/30/2007	1,119,328,507	8,251,775	1,111,076,732	50,808,373	27,603,264
106	OANDA CORPORATION	N	NFA	09/30/2007	157,957,902	8,643,030	149,314,872	0	0
107	ODL SECURITIES INC	N	NFA	09/30/2007	9,391,348	1,554,189	7,837,159	0	0
108	ONE WORLD CAPITAL GROUP LLC	N	NFA	09/30/2007	1,408,503	1,000,000	408,503	657,434	0
109	OPEN E CRY LLC	N	NFA	09/30/2007	1,400,432	500,000	900,432	30,615,751	447,833
110	OPPENHEIMER & CO INC	Y	NFA	09/28/2007	184,261,923	24,776,965	159,484,958	25,158,172	0
111	OPTIONSPRESS INC	Y	NFA	09/30/2007	81,919,041	4,700,515	77,218,526	5,462,783	0
112	PENSON FINANCIAL FUTURES INC	N	NFA	09/30/2007	2,087,519	500,000	1,587,519	0	0
113	PENSON GHCO	N	CBOT	09/30/2007	12,736,421	3,584,948	9,151,473	127,047,384	8,359,604
114	PEREGRINE FINANCIAL GROUP INC	N	NFA	09/30/2007	15,215,431	5,380,937	9,834,494	208,532,160	11,364,431
115	PIONEER FUTURES INC	N	NYME	09/30/2007	1,146,152	500,000	646,152	0	0
116	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	09/30/2007	140,976,000	119,881,280	21,094,720	2,105,297,000	180,053,000
117	PUMA FINANCIAL LLC	N	NFA	09/30/2007	812,145	500,000	312,145	3,140,137	0
118	RAND FINANCIAL SERVICES INC	N	CME	09/30/2007	53,587,605	14,644,918	38,942,687	149,508,800	23,236,200
119	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	09/30/2007	332,872,898	30,344,222	302,528,676	0	0
120	RBC CAPITAL MARKETS CORPORATION	Y	CME	09/28/2007	384,102,265	85,060,261	299,042,004	136,715,516	32,243,605
121	RBC DAIN RAUSCHER INC	Y	NFA	09/30/2007	516,434,100	34,640,107	481,793,993	0	0
122	RBS GREENWICH CAPITAL INC	Y	CBOT	09/30/2007	1,040,548,000	93,655,000	946,893,000	757,108,000	19,365,000
123	RJ OBRIEN & ASSOCIATES LLC	N	CME	09/28/2007	79,337,739	64,241,479	15,096,260	1,928,079,225	23,696,954
124	ROBBINS FUTURES INC	N	NFA	09/30/2007	541,805	500,000	41,805	3,240,222	0
125	ROSENTHAL COLLINS GROUP LLC	N	CME	09/30/2007	46,314,382	24,559,791	21,754,591	734,637,047	12,717,607
126	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	09/30/2007	12,571,367	500,000	12,071,367	0	0
127	ROYAL FOREX TRADING LLC	N	NFA	09/30/2007	1,388,079	1,000,000	388,079	0	0
128	SANFORD C BERNSTEIN & CO LLC	Y	NFA	09/28/2007	145,453,064	48,383,946	97,069,118	0	0
129	SHATKIN ARBOR INC	N	CBOT	09/30/2007	5,128,181	988,667	4,139,514	63,740,428	22,055
130	SHAY GRAIN CLEARING COMPANY	N	KCBT	09/30/2007	1,149,947	979,199	170,748	16,811,462	0
131	SMW TRADING COMPANY INC	N	CME	09/30/2007	19,463,839	500,000	18,963,839	8,050,500	0
132	SNC INVESTMENTS INC	N	NFA	09/30/2007	1,301,483	1,000,000	301,483	0	0
133	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	09/30/2007	2,101,170	1,000,000	1,101,170	0	0
134	STEPHENS INC	Y	NFA	09/28/2007	86,894,507	11,690,767	75,203,740	0	0
135	STERLING COMMODITIES CORP	N	NYME	09/30/2007	9,050,814	1,276,360	7,774,454	31,051,987	2,594,248
136	TCA FUTURES LLC	N	NFA	09/30/2007	834,126	500,000	334,126	0	0
137	TENCO INC	N	CBOT	09/30/2007	41,093,247	5,593,658	35,499,589	106,987,198	0
138	TERRA NOVA TRADING LLC	Y	NFA	09/30/2007	16,595,689	1,500,000	15,095,689	0	0
139	TIMBER HILL LLC	Y	CME	09/30/2007	810,759,269	12,844,098	797,915,171	195,865,766	0
140	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	09/30/2007	2,847,654	500,000	2,347,654	0	0

