SELECTED FCM FINANCIAL DATA AS OF
March 31, 2024
FROM REPORTS FILED BY
May 7, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	03/31/2024	670,508,888	247,689,892	422,818,996	4,583,507,114	3,960,078,175	623,428,939	350,000,000	172,642,784	137,440,348	35,202,436	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	03/31/2024	441,065,671	308,652,094	132,413,577	7,507,245,269	7,259,238,707	248,006,562	120,000,000	420,489,507	373,257,592	47,231,915	20,000,000	10,548,985	0	10,548,985	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	03/31/2024	38,518,597	20,525,619	17,992,978	618,623,313	602,095,709	16,527,604	8,500,000	76,324,086	71,882,555	4,441,531	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	03/31/2024	13,483,977	1,000,000	12,483,977	82,342,840	68,637,696	13,705,144	900,000	1,939,257	1,492,215	447,042	150,000	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	03/31/2024	469,467,328	33,037,811	436,429,517	230,663,739	203,398,122	27,265,617	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	03/31/2024	7,000,277,467	2,654,634,340	4,345,643,127	17,681,367,779	17,310,229,566	371,138,213	187,000,000	5,110,388,612	4,860,365,180	250,023,432	81,000,000	15,855,355,211	15,363,276,555	492,078,656	45,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	03/31/2024	1,544,630	1,000,000	544,630	654,762	145,944	508,818	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	03/31/2024	2,547,953,821	904,793,672	1,643,160,149	7,323,247,388	6,624,350,302	698,897,086	570,000,000	1,041,304,498	765,533,462	275,771,036	98,000,000	2,767,596,753	2,565,192,262	202,404,491	140,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	03/31/2024	20,287,516,750	4,344,136,064	15,943,380,686	28,846,786,804	27,771,325,964	1,075,460,840	200,000,000	5,138,882,533	4,885,988,444	252,894,089	150,000,000	17,401,658,593	16,908,303,376	493,355,217	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	03/31/2024	339,662,047	2,525,763	337,136,284	5,249,912	0	5,249,912	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	03/31/2024	334,841,286	46,848,058	287,993,228	868,778,593	705,014,159	163,764,434	100,000,000	0	0	0	0	0	0	0	0	62,417,888
12	CHS HEDGING LLC	FCM	CBOT	03/31/2024	77,294,277	9,899,821	67,394,456	137,184,359	74,562,517	62,621,842	24,300,000	1,583,821	0	1,583,821	200,000	528,922	0	528,922	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	03/31/2024	18,811,102,405	4,366,871,413	14,444,230,992	17,222,007,130	16,650,845,156	571,161,974	381,500,000	4,347,308,212	4,010,200,539	337,107,673	163,100,000	30,493,088,223	29,833,331,896	659,756,327	442,400,000	0
14	CLEAR STREET LLC	FCM BD	CME	03/31/2024	588,373,875	39,579,758	548,794,117	0	0	0	0	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	03/31/2024	18,435,066	1,000,000	17,435,066	22,564,454	14,884,817	7,679,637	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	03/31/2024	3,473,876,873	46,268,214	3,427,608,659	3,031,765	0	3,031,765	1	2,824,014	0	2,824,014	1	1,375,282	0	1,375,282	1	0
17	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	03/31/2024	114,793,984	8,579,240	106,214,744	360,838,442	272,720,972	88,117,470	4,900,000	1,316,526	545,388	771,138	100,000	0	0	0	0	0
18	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	03/31/2024	1,301,834,593	2,008,593	1,299,826,000	2,121,893	0	2,121,893	1	444,113	0	444,113	1	0	0	0	0	0
19	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	03/31/2024	4,680,242,522	223,255,608	4,456,986,914	2,415,016,638	2,264,101,951	150,914,687	100,000,000	854,277,012	753,497,921	100,779,091	50,000,000	0	0	0	0	0
20	DIRECT ACCESS USA LLC	FCM	CME	03/31/2024	18,054,330	7,798,917	10,255,413	229,197,416	220,335,392	8,862,024	2,500,000	2,269,223	500,067	1,769,156	1,000,000	0	0	0	0	0
21	DORMAN TRADING LLC	FCM	CME	03/31/2024	18,303,628	4,321,216	13,982,412	310,644,476	304,809,011	5,835,465	2,000,000	2,834,091	1,823,005	1,011,086	200,000	0	0	0	0	0
22	ETRADE FUTURES LLC	FCM	NFA	03/31/2024	60,868,486	5,207,889	55,660,597	155,263,934	96,155,451	59,108,483	11,000,000	0	0	0	0	0	0	0	0	0
23	FUTU FUTURES INC	FCM	NFA	03/31/2024	1,980,189	1,000,000	980,189	0	0	0	0	0	0	0	0	0	0	0	0	0
24	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	03/31/2024	50,572,482	29,921,226	20,651,256	0	0	0	0	0	0	0	0	0	0	0	0	208,424,525
25	GH FINANCIALS LLC	FCM	CME	03/31/2024	30,564,588	11,534,942	19,029,646	188,165,666	171,031,108	17,134,558	6,100,000	7,514,177	5,289,383	2,224,794	500,000	0	0	0	0	0
26	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	03/31/2024	21,147,290,778	5,213,504,288	15,933,786,490	37,388,524,013	36,398,684,147	989,839,866	775,000,000	11,186,467,748	10,586,982,812	599,484,936	475,000,000	21,056,141,342	20,206,256,254	849,885,088	350,000,000	0
27	HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	03/31/2024	31,406,288	1,000,000	30,406,288	8,616,693	407,818	8,208,875	3,860,807	0	0	0	0	0	0	0	0	0
28	HSBC SECURITIES USA INC	FCM BD	CME	03/31/2024	1,196,013,828	451,918,011	744,095,817	4,552,229,116	4,402,954,276	149,274,840	117,000,000	181,127,836	148,107,360	33,020,476	15,000,000	1,813,296,196	1,698,319,761	114,976,435	92,000,000	0
29	IG US LLC	RFED	NFA	03/31/2024	57,773,437	22,531,202	35,242,235	0	0	0	0	0	0	0	0	0	0	0	0	60,624,035
30	INTERACTIVE BROKERS LLC	FCM BD	CME	03/31/2024	7,764,510,558	975,552,058	6,788,958,500	7,816,287,286	7,518,856,008	297,431,278	155,000,000	852,517,782	726,582,533	125,935,249	80,000,000	0	0	0	0	32,168,386
31	IRONBEAM INC	FCM	CME	03/31/2024	13,260,266	4,286,191	8,974,075	201,186,539	188,721,165	12,465,374	1,600,000	905,475	669,117	236,358	60,000	0	0	0	0	0
32	JEFFERIES LLC	FCM BD	NFA	03/31/2024	1,178,896,895	116,274,507	1,062,622,388	0	0	0	0	0	0	0	0	0	0	0	0	0
33	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	03/31/2024	25,460,811,968	5,440,256,654	20,020,555,314	41,594,948,834	40,489,056,178	1,105,892,656	769,292,067	7,113,980,469	6,714,930,060	399,050,409	181,303,112	23,168,699,908	22,137,023,399	1,031,676,509	686,247,725	0
34	LIME TRADING CORP	FCM BD	NFA	03/31/2024	10,977,250	1,000,000	9,977,250	46,094	0	46,094	20,000	40,010	0	40,010	20,000	0	0	0	0	0
35	MACQUARIE FUTURES USA LLC	FCM	CBOT	03/31/2024	561,904,797	370,570,553	191,334,244	4,142,334,600	3,954,336,632	187,997,968	100,000,000	341,763,198	299,072,469	42,690,729	7,000,000	5,180,383	0	5,180,383	1,000,000	0
36	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	03/31/2024	558,774,519	337,628,334	221,146,185	7,339,022,145	7,160,354,025	178,668,120	90,000,000	213,872,047	182,792,817	31,079,230	12,000,000	0	0	0	0	0
37	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	03/31/2024	14,478,198	1,229,537	13,248,661	425,845,118	402,694,859	23,150,259	18,000,000	2,767,371	0	2,767,371	1,000,000	0	0	0	0	0
38	MFI FUNDING LLC	FCM	NFA	03/31/2024	110,836,968	1,000,000	109,836,968	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MID CO COMMODITIES INC	FCM	NFA	03/31/2024	16,470,204	3,157,199	13,313,005	38,176,399	21,614,520	16,561,879	5,138,000	0	0	0	0	0	0	0	0	0
40	MINT BROKERS	FCM BD	NFA	03/31/2024	2,243,119	1,000,000	1,243,119	0	0	0	0	0	0	0	0	0	0	0	0	0
41	MIZUHO SECURITIES USA LLC	FCM BD	CME	03/31/2024	1,657,585,474	546,680,777	1,110,904,697	10,393,840,826	9,990,705,765	403,135,061	150,000,000	1,674,253,481	1,495,029,570	179,223,911	70,000,000	21,728,433	0	21,728,433	10,000,000	0
42	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	03/31/2024	18,627,911,209	4,482,819,148	14,145,092,061	28,202,430,047	27,653,224,542	549,205,505	235,000,000	10,185,836,446	9,984,647,447	201,188,999	140,000,000	28,080,840,074	27,768,742,802	312,097,272	92,000,000	0
43	NANHUA USA LLC	FCM	CME	03/31/2024	37,915,550	28,367,204	9,548,346	501,792,413	470,097,559	31,694,854	3,500,000	357,395	1,092	356,303	100,000	0	0	0	0	0
44	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	03/31/2024	612,647,045	2,108,426	610,538,619	7,504,225	0	7,504,225	5,000,000	0	0	0	0	0	0	0	0	0
45	NINJATRADER CLEARING LLC	FCM	NFA	03/31/2024	16,446,784	1,000,000	15,446,784	261,625,688	240,078,619	21,547,069	4,000,000	2,930,458	1,496,613	1,433,845	400,000	0	0	0	0	0
46	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	03/31/2024	2,384,290,057	179,327,174	2,204,962,883	123,146,628	79,499,104	43,647,524	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
47	OANDA CORPORATION	FCMRFD	NFA	03/31/2024	49,145,344	28,944,028	20,201,316	0	0	0	0	0	0	0	0	0	0	0	0	183,994,482
48	PHILLIP CAPITAL INC	FCM BD	CME	03/31/2024	106,294,273	49,713,971	56,580,302	873,886,309	824,836,701	49,049,608	15,500,000	27,740,571	24,216,100	3,524,471	1,000,000	0	0	0	0	0
49	PICTET OVERSEAS INC	FCM BD	NFA	03/31/2024	37,434,712	7,233,560	30,201,152	111,060,083	105,022,947	6,037,136	1,500,000	24,708,814	20,907,688	3,801,126	900,000	0	0	0	0	0
50	RBC CAPITAL MARKETS LLC	FCM BD	CME	03/31/2024	1,847,340,103	490,725,467	1,356,614,636	4,178,724,882	3,743,048,260	435,676,622	260,000,000	561,711,345	458,271,723	103,439,622	40,000,000	1,938,280,089	1,579,221,933	359,058,156	120,000,000	0
51	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	03/31/2024	331,926,694	238,380,173	93,546,521	6,251,576,188	6,013,032,960	238,543,228	50,000,000	323,393,390	290,984,271	32,409,119	20,000,000	0	0	0	0	0
52	ROBINHOOD DERIVATIVES LLC	FCM	NFA	03/31/2024	50,201,695	1,000,000	49,201,695	101	0	101	1	51	0	51	1	0	0	0	0	0
53	SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	03/31/2024	619,341,141	149,271,527	470,069,614	2,136,514,217	2,058,411,125	78,103,092	70,000,000	0	0	0	0	0	0	0	0	0
54	SCOTIA CAPITAL USA INC	FCM BD	CME	03/31/2024	1,245,999,882	122,247,891	1,123,751,991	0	0	0	0	0	0	0	0	0	0	0	0	0
55	SG AMERICAS SECURITIES LLC	FCM BD	CME	03/31/2024	5,282,411,437	1,896,998,886	3,385,412,551	20,126,097,077	19,639,192,375	486,904,702	200,000,000	8,246,034,895	7,861,759,507	384,275,388	200,000,000	1,862,805,494	1,641,546,868	221,258,626	80,000,000	0
56	STONEX FINANCIAL INC	FCM BD	CME	03/31/2024	351,232,292	257,165,272	94,067,020	6,446,259,771	6,377,887,684	68,372,087	40,000,000	297,357,782	278,485,036	18,872,746	6,000,000	0	0	0	0	0
57	STRAITS FINANCIAL LLC	FCM	CME	03/31/2024	36,886,545	15,350,977	21,535,568	611,232,283	595,013,271	16,219,012	2,000,000	41,083,469	29,826,993	11,256,476	300,000	0	0	0	0	0
58	TRADESTATION SECURITIES INC	FCM BD	CME	03/31/2024	218,092,524	13,474,553	204,617,971	892,428,673	813,678,917	78,749,756	9,000,000	16,523,069	12,539,183	3,983,886	800,000	0	0	0	0	0
59	TRADING.COM MARKETS INC	RFED	NFA	03/31/2024	25,039,620	20,000,000	5,039,620	0	0	0	0	0	0	0	0	0	0	0	0	1,759,867
60	UBS FINANCIAL SERVICES INC	FCM BD	NFA	03/31/2024	864,165,619	140,330,480	723,835,139	221,008,267	140,473,607	80,534,660	35,000,000	38,141,081	4,815,572	33,325,509	15,000,000	0	0	0	0	0
61	UBS SECURITIES LLC	FCM BD	CBOT	03/31/2024	4,154,595,409	1,023,810,830	3,130,784,579	7,376,066,382	7,071,051,670	305,014,712	150,000,000	2,191,803,220	2,065,287,726	126,515,494	75,000,000	1,399,119,508	1,171,435,713	227,683,795	125,000,000	0
62	WEBULL FINANCIAL LLC	FCM BD	NFA	03/31/2024	146,840,520	2,161,950	144,678,570	2,897,074	1,195,236	1,701,838	150,000	0	0	0	0	0	0	0	0	0
63	WEDBUSH SECURITIES INC	FCM BD SD	CBOT/NFA	03/31/2024	261,418,580	106,320,021	155,098,559	3,939,176,397	3,903,734,584	35,441,813	25,000,000	56,166,489	47,685,908	8,480,581	1,000,000	0	0	0	0	0
64	WELLS FARGO SECURITIES LLC	FCM BD	CME	03/31/2024	9,654,757,144	1,697,165,212	7,957,591,932	6,332,931,279	5,598,681,182	734,250,097	180,000,000	378,727,251	268,787,225	109,940,026	30,000,000	17,007,301,695	16,257,055,338	750,246,357	380,000,000	0
	Totals							**291,291,919,333**	**280,430,506,455**	**10,861,412,878**	**5,572,360,877**	**61,143,803,609**	**57,371,694,921**	**3,772,108,688**	**1,953,133,115**	**162,883,545,091**	**157,129,706,157**	**5,753,838,934**	**2,721,767,726**	**549,389,183**

February Web Page Update 64

Additions
None

Deletions
None

Name Changes
None

March Web Page Update 64

SELECTED FCM FINANCIAL DATA AS OF
March 31, 2024
FROM REPORTS FILED BY
May 7, 2024

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.