Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	10/31/2023	706,839,060	239,344,598	467,494,462	4,163,298,745	3,622,539,291	540,759,454	350,000,000	164,309,747	128.797.112	35,512,635	15,000,000		0	0	0	(
2 ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2023	490,505,340	289,098,093	201,407,247	7,539,544,599	7,220,539,157	319,005,442	120,000,000	428,999,334	382,507,405	46,491,929	20,000,000	10,344,325	5 0	10,344,325	8,000,000	(
3 ADVANTAGE FUTURES LLC 4 AMP GLOBAL CLEARING LLC	FCM FCM	CME NFA	10/31/2023	35,501,753 12,064,308	11,966,428 1,000,000	23,535,325 11.064.308	553,068,567 77,418,256	536,401,784 64,969,071	16,666,783 12,449,185	9,000,000	58,543,785 2,029,132	54,090,119 1.622,291	4,453,666 406,841	1,000,000		0	0	0	(
5 APEX CLEARING CORPORATION	FCM BD	NFA			35,689,188	414,993,047		191,019,140	27,352,482		2,029,132	1,622,291	406,841	150,000) 0	0	0	
6 BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2023	6,207,750,649	2,289,559,484	3,918,191,165	16,212,428,780	15,835,771,864	376,656,916		3,646,047,577	3,396,909,782	249,137,795	81,000,000	13,273,887,656	12,732,603,474	541,284,182	45,000,000	Č
7 BITNOMIAL CLEARING LLC	FCM	NFA	10/31/2023	1,520,532	1,000,000	520,532	0	0	0	0	0	0	0	((0	0	0	0
8 BNP PARIBAS SECURITIES CORP 9 BOFA SECURITIES INC	FCM BD FCM BD	CBOT	10/31/2023 10/31/2023	2,567,743,144 23,441,474,916	776,158,916 4,202,433,990	1,791,584,228 19,239,040,926	6,585,938,083 27,918,476,573	5,912,741,107 26,557,270,083	673,196,976 1,361,206,490	570,000,000 200,000,000	603,329,484 4,658,281,445	475,135,934 4,422,197,953	128,193,550 236,083,492	98,000,000 150,000,000	2,705,705,676 16,694,221,990	2,528,990,629	176,715,047 229,233,596	145,000,000 150,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD		10/31/2023	435,266,222	1,500,000	433,766,222	5,137,870	20,557,270,065	5,137,870	3,000,000	4,030,201,443	4,422,197,955	230,063,492	130,000,000	10,094,221,990	10,404,900,394	229,233,390	130,000,000	
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	10/31/2023	286,317,860	51,050,457 15,203,069	235,267,403	849,232,422	684,776,573	164,455,849	100,000,000	0	0	0	ĺ	i	0 0	0	0	62,223,571
12 CHS HEDGING LLC	FCM		10/31/2023	72,961,972	15,203,069	57,758,903	183,008,010	120,392,439	62,615,571	24,300,000	1,562,566 4,174,090,987	0	1,562,566 312,300,413	200,000	521,824	1 0	521,824	120,000	0
13 CITIGROUP GLOBAL MARKETS INC 14 COINBASE FINANCIAL MARKETS INC	FCM BD SD FCM	CBOT/NFA	10/31/2023 10/31/2023	16,750,683,302 19,225,750	4,578,866,243 1,000,000	12,171,817,059 18,225,750	16,800,566,841 7,117,534		501,120,903 7,025,990	341,600,000 5,000,000	4,174,090,987	3,861,790,574	312,300,413	158,900,000	33,092,858,605	32,397,224,825	695,633,780	463,400,000	
15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT		8,740,209,846	56,646,023	8,683,563,823	87,251,200	17	87,251,183	1	7,001,934	0	7,001,934		2,475,663	3 0	2,475,663	1	0
16 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	10/31/2023	86,745,923	8,499,684	78,246,239	350,136,740	273,137,162	76,999,578	4,600,000	1,303,573	620,963	682,610	100,000	-,,	0	0	0	0
17 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD		10/31/2023	1,282,170,184	1,541,775	1,280,628,409	2,121,724	0	2,121,724	1 100 000 000	434,817	0	434,817	50,000,000		0	0	0	0
18 DEUTSCHE BANK SECURITIES INC 19 DIRECT ACCESS USA LLC	FCM BD FCM	CBOT	10/31/2023	3,742,618,271 18,047,091	189,104,442 3,770,733	3,553,513,829 14,276,358	2,235,970,171 234,291,777	2,083,744,151 224,763,839	152,226,020 9.527,938	100,000,000	716,382,277 2.273.158	602,877,838 537.823	113,504,439 1,735,335	50,000,000	1) 0	0	0	0
20 DORMAN TRADING LLC	FCM	CME	10/31/2023	18,971,694	4,843,884	14,127,810	322,077,492	315,724,883	6,352,609	2,000,000	2,895,228	1,771,651	1,123,577	200,000		0	i	0	
21 ETRADE FUTURES LLC	FCM	NFA	10/31/2023	58,759,283	6,046,513	52,712,770	163,153,420	106,114,281	57,039,139	11,000,000	0	0	0			0	0	0	
22 FUTU FUTURES INC 23 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	10/31/2023	1,959,146	1,000,000 29 189 735	959,146	0	0	0	0	0	0	0	1		0 0	1 0	0	102 704 712
23 GAIN CAPITAL GROUP LLC 24 GH FINANCIALS LLC	FCMRFD FCM	CME	10/31/2023	52,344,364 33,977,065	29,189,735 14,443,402	23,154,629 19,533,663	189,547,397	170,189,430	19,357,967	6,100,000	8,630,708	6,577,092	2,053,616	600,000	1) 0	0	0	193,794,710
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA		21,185,204,773	4,686,398,394	16,498,806,379	36,570,510,420	35,578,332,020	992,178,400	775,000,000	10,311,437,173	9,721,136,600	590,300,573	475,000,000	19,221,559,564	18,624,618,118	596,941,446	350,000,000	
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	10/31/2023	31,465,617	1,000,000	30,465,617	3,138,544		3,138,544		0	0	0		(0	0	0	
27 HSBC SECURITIES USA INC 28 IG US LLC	FCM BD	CME NFA	10/31/2023	1,281,809,577	486,161,442	795,648,135	4,202,530,144	4,051,485,406	151,044,738	137,000,000	170,366,613	140,098,143	30,268,470	15,000,000	1,939,815,373	1,812,035,449	127,779,924	104,000,000	60,519,832
29 INTERACTIVE BROKERS LLC	RFED FCM BD	CME	10/31/2023	51,862,870 7,477,581,815	22,525,992 756,827,277	29,336,878 6,720,754,538	7.288.726.422	7.074.897.542	213.828.880	155,000,000	737.962.062	615.584.191	122,377,871	80.000.000	1) 0	1	0	36,323,418
30 IRONBEAM INC	FCM	CME	10/31/2023	8,639,237	1,711,185	6,928,052	104,169,522	92,178,535	11,990,987	1,600,000	749,958	480,300	269,658	60,000		0	Ö	0	0,525,110
31 JEFFERIES LLC	FCM BD	NFA	10/31/2023	982,085,688	96,318,556	885,767,132	0	0	0	0	0	0	0	(0 0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD FCM BD	CEI/NFA	10/31/2023	25,493,232,834 9,264,303	5,136,824,924	20,356,407,910 8,264,303	39,687,599,121 46,093	38,565,896,296	1,121,702,825 46.093	732,752,030	6,629,428,944 40.010	6,150,330,341	479,098,603 40,010	215,261,562	21,487,982,297	20,482,380,908	1,005,601,389	430,129,999	0
34 MACOUARIE FUTURES USA LLC	FCM BD FCM	CBOT	10/31/2023	608,980,860	370,651,619	238,329,241	4,257,749,083	4.062.935.072	194,814,011		286,730,976	242.049.894	44,681,082	7,000,000	5,180,380) 0	5,180,380	1,000,000	0
35 MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA		578,071,603	296,598,784	281,472,819	6,671,858,242	6,496,954,285	174,903,957	90,000,000	207,258,003	166,554,844	40,703,159	12,000,000	3/100/500	0	0	0	0
36 MAREX NORTH AMERICA LLC	FCM	NFA	10/31/2023	125,987,857	1,000,000 1,415,326	124,987,857	251,997 430,799,139	0	251,997 23,270,626	1	50,602 2,710,485	0	50,602 2,710,485		. (0 0		0	
37 MCVEAN TRADING & INVESTMENTS LLC 38 MFI FUNDING LLC	FCM FCM	NFA NFA	10/31/2023 10/31/2023	13,178,670 108,074,321	1,415,326 1,000,000	11,763,344 107,074,321	430,799,139	407,528,513	23,270,626	18,000,000	2,710,485	0	2,710,485	1,000,000		0	0	0	0
39 MID CO COMMODITIES INC	FCM	NFA	10/31/2023	16,562,256	5,675,361	10,886,895	54,101,128	37,153,969	16,947,159	5,138,000	0	0	0			0 0	0	0	0
40 MINT BROKERS	FCM BD	NFA	10/31/2023	2,215,645	1,000,000	1,215,645	0	0	0	0	0	0	0	(i	0 0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	10/31/2023	1,446,230,660	456,519,925	989,710,735	9,237,139,392	8,768,096,136	469,043,256	150,000,000	1,650,638,209	1,454,319,842	196,318,367	70,000,000	21,344,146		21,344,146	10,000,000	0
42 Morgan Stanley & CO LLC 43 Nanhua USA LLC	FCM BD SD FCM	CME/NFA CME	10/31/2023 10/31/2023	18,585,736,520 35,643,312	4,205,074,208 21,029,731	14,380,662,312 14,613,581	27,408,292,683 383,270,768	26,829,905,330 351,612,956	578,387,353 31,657,812	235,000,000	8,614,759,602 357,395	8,404,538,319 1.092	210,221,283 356,303	140,000,000	26,499,685,294	26,166,291,719	333,393,575	92,000,000	0
44 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	10/31/2023	549,170,161	1,612,830	547,557,331	7,503,655	351,612,956	7,503,655	5.000,000	357,395	1,092	356,303	100,000) 0	0	0	0
45 NINJATRADER CLEARING LLC	FCM	NFA	10/31/2023	20,517,851	1,000,000	19,517,851	257,346,909	231,806,857	25,540,052	4,000,000	2,919,508	1,665,359	1,254,149	400,000	i	0	Ö	0	0
46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT		1,884,716,408	151,554,086	1,733,162,322	133,817,414	93,273,162	40,544,252	15,000,000	1,250,000	0	1,250,000	1,000,000	(0	0	0	0
47 OANDA CORPORATION 48 PHILLIP CAPITAL INC	FCMRFD FCM BD	NFA CME	10/31/2023	43,539,339 99,779,115	27,914,619 35.084,713	15,624,720 64,694,402	754,207,011	697,557,829	56,649,182	17,500,000	16,300,317	0 12.483.801	3.816.516	1,000,000	(0	0	0	164,406,671
49 PICTET OVERSEAS INC	FCM BD	NFA	10/31/2023	50,972,013	9,132,829	41,839,184	119,348,030	113,654,566	5,693,464		46,467,226	42,943,898	3,523,328	900.000		0 0	0	0	0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	10/31/2023	2,092,016,420	445,753,862	1,646,262,558	4,019,366,294	3,593,270,836	426,095,458	260,000,000	352,750,903	263,849,177	88,901,726	20,000,000	1,995,034,397	1,666,372,233	328,662,164	120,000,000	Ö
51 RJ OBRIEN ASSOCIATES LLC	FCM SD		10/31/2023	318,124,971	233,306,129	84,818,842	6,002,819,600	5,769,283,474	233,536,126	50,000,000	251,611,000	217,969,569	33,641,431	20,000,000		0	0	0	0
52 SANTANDER US CAPITAL MARKETS LLC 53 SCOTIA CAPITAL USA INC	FCM BD FCM BD	NYME	10/31/2023	530,277,149 1 231 592 265	184,310,995 122,632,442	345,966,154 1.108,959,823	2,431,223,630	2,358,888,313	72,335,317	70,000,000	0	0	0	(0	0	0	0
54 SG AMERICAS SECURITIES LLC	FCM BD	CME		5,164,353,866	1,843,009,538	3,321,344,328	19,136,458,293	18,665,034,879	471,423,414	200,000,000	7,417,929,134	7,012,337,715	405,591,419	200,000,000	1,327,814,975	1,105,975,076	221,839,899	80,000,000	0
55 STONEX FINANCIAL INC	FCM BD	CME	10/31/2023	371,630,416	220,698,052	150,932,364	5,877,890,644	5,801,445,827	76,444,817	40,000,000	257,103,609	244,329,901	12,773,708	6,000,000	/ / / /	0	0	0	0
56 STRAITS FINANCIAL LLC	FCM BD	CME	10/31/2023	34,480,893	14,447,383	20,033,510	518,472,699	502,835,133 847,998,891	15,637,566	2,000,000	29,288,207	18,392,357	10,895,850	300,000		0	0	0	0
57 TRADESTATION SECURITIES INC 58 TRADING.COM MARKETS INC	FCM BD RFED	CME NFA	10/31/2023	179,690,263 26,595,131	10,281,377 20,000,000	169,408,886 6,595,131	906,913,668	847,998,891 n	58,914,777 0	9,000,000	23,610,893	19,554,000 0	4,056,893 0	800,000		0	0	0	1.150.417
59 UBS FINANCIAL SERVICES INC	FCM BD	NFA	10/31/2023	1,200,434,260	129,978,988	1,070,455,272	216,932,743		80,810,825	35,000,000	37,868,218	6,012,101	31,856,117	15,000,000		0	i č	0	1,130,417
50 UBS SECURITIES LLC	FCM BD	CBOT	10/31/2023	4,406,555,605	942,842,487	3,463,713,118	7,119,264,944	6,717,354,265	401,910,679	150,000,000	1,923,089,215	1,784,899,246	138,189,969	75,000,000	1,330,549,546	1,129,549,774	200,999,772	125,000,000	
61 WEDBUSH SECURITIES INC 62 WELLS FARGO SECURITIES LLC	FCM BD FCM BD		10/31/2023 10/31/2023	260,191,049 9,870,566,934	65,697,334 1,567,590,854	194,493,715 8,302,976,080	4,102,601,440 6,613,878,441	4,068,943,167 5,987,922,520	33,658,273 625,955,921	19,000,000 115,000,000	44,709,567 479,778,390	37,968,493 376,681,745	6,741,074 103,096,645	1,000,000 30,000,000	14.339.816.505	0 13.723.849.739	615,966,766	285,000,000	0
DZ WELLS FARGO SECURITIES ELC	rCM BD	CIME	10/31/2023	9,0/0,500,934	1,307,390,854	0,302,970,080	0,013,878,441	3,367,322,520	025,955,921	115,000,000	4/9,//8,390	3/0,081,/45	103,090,045	30,000,000	14,339,810,505	13,/23,849,/39	015,900,/66	205,000,000	0
Totals				1			279,216,385,936	268,121,999,451	11,094,386,485	5,446,010,033	53,973,281,971	50,269,617,465	3,703,664,506	1,962,991,565	153,948,798,216	148,834,880,338	5,113,917,878	2,408,650,000	518,418,619
September Web Page Update	62																		
Additions																1			
Additions None		1		 			 	 		1						+		 	
			1	1			1	1								1		1	
Deletions																			
None		1	1	1			1	1								1		1	
Name Changes			1	 			 	 								+		 	
None																			
Ostobov Woh Dago Undate	62	1	1	1			1	1		1				1		1	1	1	
October Web Page Update	62	+	1	 			 	 		1				1	1	+	1	 	
1			1											1		1			

SELECTED FCM FINANCIAL DATA AS OF October 31, 2023 FROM REPORTS FILED BY November 30, 2023

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The D	DSRO for the FCM/RFED is listed first and the DSRO for the	SD is listed second.									
(c): A firm's net capital requirement is the greater of:											
504 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1											
FCM minimum dollar amount (\$1,000,000); or											
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
the amount of capital required by a registered futures association (currently NFA is the only such association); or for securities brokers and dealers, the amount of net capital required by Rule 15:23-1(a) of the Securities and Exchange Commission; or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED's (\$2,00,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFED's (\$2,00,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
• Infilinitial dollar amount for TCH's offering or engaged in retain ofex dialisactions and Ni Lbs (\$20,000,000) plus live percent or the TCH's or Ni Lbs total retain of ex dollaration in excess of \$20,000,000 plus live percent or the TCH's or Ni Lbs total retain for ex dollaration.	\$10,000,000.										
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(U). Excess net capital is adjusted net capital, less the first net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange.	Act										
(2). This represents the total amount of money, sectioned, that property held in segregated accounts for rations and options tastomers in compliance with section to the commonly exchange.	Acti										
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution fac	acility. This is the sum of all accounts that contain a net lic	uidating equity.									
	- ,										
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United	J States in compliance with Part 30 of the Commodity Exch	ange Act.									
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.	li.										
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Expression (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Expression (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Expression (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Expression (m): The complex customers is complex to the complex customers and complex customers are considered as a complex customers.	xchange Act.										
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target a	amount is set by the firm.										

(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.