Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	03/31/2021	594,297,888	259,279,803	335,018,085	4,172,728,000	3,675,256,628	497,471,372	322,460,129	91,517,354	74,749,296	16,768,058	7,474,930	n (0	0	0	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	03/31/2021	413,592,666	303,078,533	110,514,133	5,738,014,118	5,531,763,460	206,250,658	120,000,000	461,708,644	415,639,162	46,069,482	20,000,000	9,807,065	5 0	9,807,065	8,000,000	
3 ADVANTAGE FUTURES LLC	FCM	CME	03/31/2021	21,296,019 6,081,671	10,635,660	10,660,359	337,810,055	317,399,258	20,410,797	12,500,000	35,566,400	31,908,353	3,658,047	1,000,000	0 (0		0	
4 AMP GLOBAL CLEARING LLC	FCM	NFA	03/31/2021		1,000,000	5,081,671	69,248,449		6,485,924		2,014,470	1,640,437	374,033	150,000	0 0	0 0	0	0	
5 APEX CLEARING CORPORATION 6 BAKKT CLEARING LLC	FCM BD FCM	NFA NFA	03/31/2021	387,817,259	41,825,071	345,992,188	70,068,694	46,841,920	23,226,774	7,000,000	0	0	0	0	0 (0	0	0	
7 BARCLAYS CAPITAL INC	FCM BD	NYME	03/31/2021 03/31/2021	4,247,890 6,416,550,016	1,000,000 1,757,922,465	3,247,890 4,658,627,551	8,822,597,702	8,520,871,110	301,726,592	55,000,000	3,510,161,191	3,334,723,355	175,437,836	12,000,000	10,521,986,515	5 10,072,893,781	449,092,734	250,000,000	
B BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	03/31/2021	2,256,546,259	573,338,707	1,683,207,552	4,042,154,615	3,411,566,255	630,588,360	503,000,000	450,816,145	396,548,950	54,267,195	30,000,000	1.810.332.487	7 1,642,259,312	168,073,175	90,000,000	
9 BOFA SECURITIES INC	FCM BD	CBOT	03/31/2021	12,230,428,931	2,918,587,048	9,311,841,883	20,588,162,165	20,155,814,046	432,348,119	200,000,000	5,654,696,827	5,204,123,490	450,573,337	150,000,000			254,457,442	150,000,000	
CANTOR FITZGERALD & CO	FCM BD	CBOT	03/31/2021	473,095,859	2,904,295	470,191,564	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0 (0	0	0	
1 CHARLES SCHWAB & CO INC	FCM BD	NFA	03/31/2021	3,412,998,506	705,700,660	2,707,297,846	366,121,875	290,983,090	75,138,785	49,467,125	50,000	0	50,000	1	1 (0		0	
2 CHS HEDGING LLC 3 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT/NFA	03/31/2021	67,874,621 11,835,353,974	23,177,335 3,689,532,232	44,697,286 8,145,821,742	175,452,419 16,390,418,945	116,049,159 15,673,135,454	59,403,260 717,283,491	14,100,000 543,200,000	1,527,272 4,025,194,831	3,799,368,929	1,527,272 225,825,902	200,000 158,200,000			510,188 666,515,016	120,000 461,300,000	
4 CREDIT SUISSE SECURITIES (USA) I.I.C.	FCM BD SD	CBOT/NFA	03/31/2021	12,579,724,933	1,758,992,338	10.820.732.595	6,943,878,527	6,576,318,349	367.560.178	328.815.917	5,502,258,081	4,990,545,021	511,713,060	249.527.251			704.005.455	461,300,000 646,499,420	
CUNNINGHAM COMMODITIES LLC	FCM	CBOT		5,565,632	1,381,631	4,184,001	86,342,486	82,691,606	3,650,880	3,050,000	1,027,894	596,610	431,284	100,000		0 12,525,500,105	701,003,133	010,133,120	
6 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	03/31/2021	1,253,685,095	2,303,054	1,251,382,041	2,169,477	0	2,169,477	1	469,570	0	469,570	1	1	0	0	0	
7 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	03/31/2021	8,736,851,104	214,623,225	8,522,227,879	2,832,111,269	2,581,888,358	250,222,911	125,000,000	599,841,083	449,810,716	150,030,367	75,000,000	0 (0	0	0	
B DIRECT ACCESS USA LLC	FCM FCM	CME	03/31/2021	11,435,236	2,910,122 3,051,495	8,525,114	41,564,034	29,096,927 225,416,972	12,467,107 7.367.832	2,500,000	0 14 130 557	12 220 221	900,723	200.000	DI C	0	0	0	
9 DORMAN TRADING LLC 0 E D & F MAN CAPITAL MARKETS INC	FCM FCM BD	CME CMF	03/31/2021	15,492,467 208,563,982	3,051,495 131,066,357	12,440,972 77,497,625	232,784,804 2,721,614,111	2,636,238,230	7,367,832 85,375,881	2,000,000	14,130,557 88,643,697	13,229,834 73,517,660	900,723 15,126,037	5,000,000		0	0	0	
1 E*TRADE FUTURES LLC	FCM BD	NFA	03/31/2021	35,416,776	6,136,464	29,280,312	134,850,051	101,006,593	33,843,458	8,000,000	00,0 1 0,09/	/3,31/,000 N	13,120,037 N	3,000,000	0 0	0	0	0	
2 FUTU FUTURES INC	FCM	NFA	03/31/2021	1,966,079	1,000,000	966,079	0	0	0	0	0	0	0	i	ol d	0	Ö	i	
GAIN CAPITAL GROUP LLC	FCMRFD	NFA	03/31/2021	68,082,682	29,942,067	38,140,615	298,670,631	280,696,574	17,974,057	10,000,000	4,108,743	2,338,122	1,770,621	1,000,000		0	0	0	208,841,34
4 GH FINANCIALS LLC	FCM	CME	03/31/2021	27,128,270	8,174,351	18,953,919	162,820,270	143,076,542	19,743,728	4,100,000	6,474,201	5,121,150	1,353,051	400,000		0	0	0	
5 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA		20,013,231,632	4,028,974,768	15,984,256,864	35,724,626,216	34,841,884,554	882,741,662	675,000,000	11,068,699,876	10,495,680,431	573,019,445	475,000,000	12,834,074,189	12,364,195,161	469,879,028	350,000,000	
6 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD FCM BD	NFA CME	03/31/2021	8,754,157 1,167,684,380	1,000,000 412,741,516	7,754,157 754,942,864	3,386,538,270	3,257,261,289	129,276,981	117,000,000	0 151.623.694	125,392,944	26,230,750	15,000,000	1,366,431,775	0 5 1,285,167,144	81,264,631	71,000,000	
7 HSBC SECURITIES USA INC 8 HUATAI FINANCIAL USA INC	FCM BD	NFA	03/31/2021 03/31/2021	2,075,900	1,000,000	754,942,864 1,075,900	3,386,538,270 8,861,704	3,257,261,289 7,643,167	1,218,537	117,000,000	151,623,694 293,220	125,392,944	26,230,750 293,159	15,000,000		1,285,167,144	81,264,631	/1,000,000	
9 IG US LLC	RFED	NFA	03/31/2021	34,799,622	20,946,598	13,853,024	0,001,704	7,043,107	1,210,337	000,000	293,220	0	293,139	150,000	0 (0 0	0	0	28.931.95
D INTERACTIVE BROKERS LLC	FCM BD	CME	03/31/2021	5,210,884,668	753,409,135	4,457,475,533	6,654,790,852	6,449,122,230	205,668,622	155,000,000	597,318,533	476,451,796	120,866,737	80,000,000	0 0	0 0	Ö	Ö	28,931,95 67,779,988
1 IRONBEAM INC	FCM	NFA	03/31/2021	5,973,899	1,000,000	4,973,899	70,644,827	65,335,853	5,308,974	1,600,000	300,614	100,294	200,320	60,000	0 (0	0	0	
2 JEFFERIES LLC	FCM BD	NFA		1,391,482,162	113,633,987	1,277,848,175 20,942,230,001	0		0	0	0	0	0	0	0 (0 0	0		
3 JP MORGAN SECURITIES LLC 4 MACQUARIE FUTURES USA LLC	FCM BD SD FCM	CEI/NFA CBOT		25,907,853,209 321,293,992	4,965,623,208 283 983 205	20,942,230,001 37.310.787	45,193,126,824 3,354,459,532	43,852,509,213 3,172,413,778	1,340,617,611 182,045,754	877,050,184 100.000.000	7,216,997,845 62,000,346	6,684,143,943 49,150,784	532,853,902 12,849,562	233,945,038		18,574,281,011 6,740,264	981,160,089 16,375,643	371,485,620	
MAREX NORTH AMERICA LLC	FCM FCM	CROT	03/31/2021	321,293,992 159,892,904	139,376,193	37,310,787 20,516,711	3,354,459,532 2,285,835,651	2,256,397,236	182,045,754 29,438,415	20,330,000	62,000,346 87,672,517	49,150,784 72,657,412	12,849,562 15,015,105	10,250,000		7 6,740,264 0	16,3/5,643	1,000,000	
6 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	03/31/2021	15,170,010	1.468.951	13,701,059	438.029.139	416,704,719	21,324,420	18.000.000	2,567,861	72,037,712	2,567,861	1.000.000	0 0) 0	0	0	
7 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	03/31/2021	5,855,824,939	1,416,329,487	4,439,495,452	2,193,064,596	1,187,435,501	1,005,629,095	300,000,000	0	0	0	2,000,000	0 0	0 0	Ö	Ö	(
8 MID CO COMMODITIES INC	FCM	NFA	03/31/2021	14,374,515	9,307,011	5,067,504	87,411,633	52,981,333	34,430,300	5,138,000	0	0	0	C	0 (0	0	0	
9 MINT BROKERS	FCM BD	NFA	03/31/2021	2,675,074	1,000,000	1,675,074	0	0	0	0	0	0	0	0	0 (0	0	0	(
MIZUHO SECURITIES USA LLC	FCM BD	CME	03/31/2021	1,278,123,052	411,366,384	866,756,668	6,201,756,487	5,903,846,059	297,910,428	150,000,000	938,577,719	816,655,148	121,922,571	70,000,000		2 880,720	21,122,032	10,000,000	(
1 MORGAN STANLEY & CO LLC 2 NANHUA USA LLC	FCM BD SD FCM	CME/NFA CME	03/31/2021 03/31/2021	15,981,962,314 14,882,355	3,815,200,017 6,067,233	12,166,762,297 8,815,122	26,186,290,044 110,172,876	25,804,527,280 99,458,540	381,762,764 10,714,336	235,000,000 3,500,000	7,262,935,036 435,997	7,046,778,399 85,052	216,156,637 350,945	140,000,000 100,000		25,622,752,283	414,326,518	92,000,000	(
3 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT		672,092,401	1,119,185	670,973,216	33,792,150	99,458,540	33,792,150	20,000,000	3,146,672	85,052	3,146,672	2,000,000) 0		0	
4 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	03/31/2021	3,258,489,743	189,936,933	3,068,552,810	398,935,643	255,726,945	143,208,698	26,000,000	17,801,644	0	17,801,644	1,000,000		0 0	0	0	
5 OANDA CORPORATION	FCMRFD	NFA	03/31/2021	45,124,734	32,069,972	13,054,762	0	0	0	0	0	0	0	C	0	0	0	0	193,467,707
6 PHILLIP CAPITAL INC	FCM BD	CME	03/31/2021	74,688,317	40,957,749	33,730,568	843,958,110	822,318,374	21,639,736	11,500,000	15,801,494	12,559,050	3,242,444	1,500,000		0	0	0	
7 PICTET OVERSEAS INC	FCM BD	NFA CME		52,003,913 2,991,247,158	12,617,863	39,386,050	173,104,829 3,707,749,284	168,647,660	4,457,169 487,983,891	2,750,000	32,126,513	29,281,078 168,308,493	2,845,435 61,211,345	1,250,000	0 (0	0	80,000,000	
8 RBC CAPITAL MARKETS LLC 9 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD		03/31/2021	2,991,247,158	374,808,737 236,736,045	2,616,438,421 54,715,539	5,359,855,955	3,219,765,393 5,195,901,374	487,983,891 163,954,581	50,000,000	229,519,838 230,987,614	201,436,438	29,551,176	20,000,000	1,141,774,646	857,572,358	284,202,288	80,000,000	
O SANTANDER INVESTMENT SECURITIES INC	FCM BD		03/31/2021	291,451,584 446,004,660	154,415,350	291,589,310	1,951,620,119		72,163,529	70,000,000	230,367,614 N	201,436,438 N	73,331,176 V	20,000,000	0 (0 0		0	
1 SCORE PRIORITY CORP	FCM BD	NFA	03/31/2021	10,246,637	1,000,000	9,246,637	1,551,620,119	0	, 2,103,323	0	0	0	0	Č	Ď Ö	0	Ö	Ö	
2 SCOTIA CAPITAL USA INC	FCM BD	CME	03/31/2021	1,428,480,262	136,210,191	1,292,270,071	0	0	0	0	0	0	0	<u> </u>	0 0	0 0	0	0	
3 SG AMERICAS SECURITIES LLC	FCM BD	CME	03/31/2021	4,112,898,933	1,910,715,884	2,202,183,049	15,050,843,436		659,394,412	500,000,000	6,943,773,564	6,340,289,331	603,484,233	355,000,000			221,158,391	75,000,000	
4 STONEX FINANCIAL INC 5 STRAITS FINANCIAL LLC	FCM BD FCM	CME	03/31/2021 03/31/2021	288,416,847 27,813,565	163,595,774	124,821,073	3,266,642,534 377,197,775	3,205,593,551 360,320,904	61,048,983	50,000,000 2,000,000	205,738,146 30,160,418	193,243,027 20,976,433	12,495,119 9,183,985	6,000,000 300,000	3,030,972	59,432	2,971,540	1,000,000	
6 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM	CME NEA	03/31/2021	27,813,565 184,878,682	11,418,792 35,334,747	16,394,773 149,543,935	377,197,775 526,578,349	360,320,904 421,443,829	16,876,871 105,134,520	2,000,000	5U,16U,418	20,976,433	9,183,985	300,000) (0	0	0	62,213,019
7 TRADESTATION SECURITIES INC	FCM BD	CME	03/31/2021	147,925,037	11,397,409	136,527,628	814,911,555	801,861,587	13,049,968	9,000,000	19,001,407	15,821,155	3,180,252	800,000	0 0) 0	0	0	02,213,01
8 TRADING.COM MARKETS INC	RFED	NFA	03/31/2021	24,858,328	20,000,000	4,858,328	02.,511,555	0	0	0 0	0	0	0	0	0 (o o	Ö	ŏ	
9 UBS FINANCIAL SERVICES INC	FCM BD	NFA		1,142,837,903	138,444,132	1,004,393,771	138,906,552	107,911,794	30,994,758	22,000,000	48,026,837	11,838,362	36,188,475	15,000,000	0 0	0	0	0	
UBS SECURITIES LLC	FCM BD	CBOT		5,452,130,468	725,342,771	4,726,787,697	6,743,393,554	6,243,214,782	500,178,772	400,000,000	1,646,871,575	1,376,557,003	270,314,572	200,000,000		841,669,328	239,931,458	125,000,000	
1 WEDBUSH SECURITIES INC 2 WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CME	03/31/2021 03/31/2021	210,740,697	64,745,067	145,995,630	2,072,038,328 5,220,715,597	2,043,798,852	28,239,476	19,000,000	29,741,925 689,037,020	24,732,686 500,941,629	5,009,239 188,095,391	1,000,000 40,000,000	0 14,688,317,631	0 1 13,763,605,207	924,712,424	450,000,000	
3 YORK BUSINESS ASSOCIATES LLC	FCM BD	NFA	03/31/2021	9,621,785,232 4,698,966	1,430,172,199	8,191,613,033 3,698,966	5,220,715,597	4,660,989,367 34,795,055	559,726,230 3,652,204	265,000,000	1,346,274	500,941,629 853,786	188,095,391	200.000	14,088,317,631	13,/03,003,20/	92 4 ,/12,424	430,000,000	
TOTAL DODINESS ROSSOCIATES EEC	TCM	MA	03/31/2021	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1,000,000	3,030,300	22//222	, ,	-,,	, , , , , , , , , , , , , , , , , , , ,	1		. ,	200/000	١	, U			
Totals							252,848,852,298	241,639,588,889	11,209,263,409	6,755,811,356	57,982,711,159	53,457,795,820	4,524,915,339	2,406,807,221	148,068,885,950	142,159,320,833	5,909,565,117	3,232,405,040	561,234,011
February Web Page Update	64																		
Additions			+	1				1		1					+	1			
None			1							1						1			
			1	1				1		1					1	1			
Deletions																			
BOCI COMMODITIES & FUTURES USA LLC												-							
		l	1																
Name Changes			-	1				l								1			
None			+	 				1		1					+	1		-	
March Web Page Update	63		+	1				1							+				

SELECTED FCM FINANCIAL DATA AS OF March 31, 2021 FROM REPORTS FILED BY April 23, 2021

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(1) 2000 D. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the SD is listed first and the DSRO for the SD is listed second.											
(A) A Bardy and marked an arrivant fields a resolution of											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
* FCM minimum double anitodit (\$2,000,000,0) of * isk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
1st, based caption requirement, but south or 10 year to total customer task manifestance margin, but 1st anount of capting larquired by a registered future association (currently NFA is the only such association); or											
tue amount or capital required by a respected nature association (reliefly NPA is the control of the capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or											
in Securities understand usates, one almount or net capital required by your EDS-124 of one Securities and EXCHARGE CONTINUES and EXCHARGE CONTINUES AND A minimum dollar annual for EMS, offering or gengaged in retail finest propagation and EMS (\$470,000,000) or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
(1-1)											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.											
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.											
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.											
(m). This proposed the total amount of many, cognitive, and propose hold in closed sum sustances assessed sum sustances in consilions with Costina Add 0 of the Commodity European Add											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
[1]. This represents the annual or runs and the sequence for customers who have been supposed for customers and have been supposed for customers and have been supposed for the sequence of th	-										
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.	-										
[7] Execusive funds in electric strap costering accounts a section at a strain and accounts a september of the section and accounts a september of the section and the section	-										
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.	-										
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(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.