

Commodity Futures Trading Commission
CEA CASES

NAME: ULTRADE CORPORATION

DOCKET NUMBER: 180

DATE: NOVEMBER 11, 1971

DOCUMENT TYPE: CEASE AND DESIST ORDER

Docket No. 180

Name: Ultrade Corporation

Effective On or about November 11, 1971

Order

Effective on the date of service of this order upon respondent Ultrade Corporation, it shall cease and desist from engaging as futures commission merchant without meeting the minimum financial requirements prescribed by section 1.17 of the regulations (17 CFR 1.17) issued under the Commodity Exchange Act.

Effective on the date of service of this order upon respondents Omer D. LaBrie and Melvin A. Ross, they shall cease and desist from wilfully causing, aiding, abetting, counseling, commanding or inducing Ultrade Corporation, or any other futures commission merchant, to engage as futures commission merchant without meeting the minimum financial requirements prescribed by section 1.17 of the regulations (17 CFR 1.17) issued under the Commodity Exchange Act.

The complaint is dismissed insofar as it relates to respondent Peter A. Thatcher.

A copy of this Decision and Order shall be served on each of the parties.

Done at Washington, D. C.

NOV 8 1971

(SEE SIGNATURE IN ORIGINAL]

Donald A. Campbell

Judicial Officer

LOAD-DATE: June 16, 2008

