

Commodity Futures Trading Commission
CEA CASES

NAME: VINCENT W. ROONEY

DOCKET NUMBER: 75

DOCUMENT TYPE: ISSUANCE OF COMPLAINT

UNITED STATES DEPARTMENT OF AGRICULTURE

Commodity Exchange Authority

Washington 25, D. C.

ISSUANCE OF COMPLAINT - CEA DOCKET NO. 75

(Administrative Hearing under the Commodity Exchange Act)

A complaint and notice of hearing has been signed by the Acting Secretary of Agriculture, charging violation of the Commodity Exchange Act by:

Vincent W. Rooney

327 South LaSalle Street

Chicago 4, Illinois

The complaint charges that the respondent, a floor broker on the Chicago Board of Trade, became a buyer with respect to selling orders of customers without their prior consent, filled customers' orders by offset, failed to execute futures trades openly and competitively and caused prices to be recorded which were not true and bona fide prices.

The issuance of a complaint under the Commodity Exchange Act does not constitute proof of violation of the law. Such violation is established only when the Judicial Officer of the Department has made a determination that the evidence substantiates allegations in the complaint, Complaints are filed in the Office of the Hearing Clerk of the Department of Agriculture and are a matter of public record. Pending disposition by the Judicial Officer, it is the policy of the Commodity Exchange Authority not to comment or elaborate on charges contained in complaints or to discuss evidence on which they are based.

A public hearing on the complaint is scheduled to be held before a referee at 10:00 a.m., central standard time, on February 5, 1957, at Chicago, Illinois.

LOAD-DATE: June 12, 2008

