SELECTED FCM FINANCIAL DATA AS OF August 31, 2016 FROM REPORTS FILED BY October 2, 2016

А	В	С	D	Е	F	G	Н		J	K	L	M	N	0	Р	Q	R	S	T	U
												Funds in Separate		Excess/Deficient	Target Residual	Funds in Separate	Customer Amount	Excess/Deficient	Target Residual	Total Amount of
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Sea	Target Residual Interest in Seq	Section 30.7	Customer Amount Pt. 30 Required	Funds in Separate Section 30.7	Interest in Section	Cleared Swap	Cleared Swap Seg	Funds in Cleared Swap Seg	Interest in Cleared	Retail Forex
1	Retail Foreign Exchange Dealer			Date	Сарнаі	Requirement	Сарнаі	iii Seg	Required 40(a)(2)	runus in seg	interest in Seg	Accounts	Ft. 30 Required	Accounts	30.7	Segregation	Required	Accounts	Swap Seg	Obligation
2		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
3	ADNI AMBO CI FADINIC CITICACO I I C	ECM DD	CDOT	0/21/2016	CE3 E30 3CE	102 045 552	460 574 713	2 202 420 752	2 074 570 207	220 000 205	170 070 013	150 624 650	121 400 701	27 144 040	12 140 070			0	0	
	ABN AMRO CLEARING CHICAGO LLC ADM INVESTOR SERVICES INC	FCM BD FCM	CBOT	8/31/2016 8/31/2016	652,520,265 319,296,343	182,945,553 198,905,395	469,574,712 120,390,948	3,303,438,752 4,796,492,463	2,974,570,387 4,536,257,663	328,868,365 260,234,800	170,930,012 120,000,000	158,634,650 383,394,129	131,489,701 324,240,827	27,144,949 59,153,302	13,148,970 20,000,000		1,140,690	9,951,948	8,000,000	0
	ADVANTAGE FUTURES LLC	FCM	CME	8/31/2016	24,026,354	13,392,707	10,633,647	550,253,940	534,005,625	16,248,315	9,000,000	33,528,040	31,728,211	1,799,829	1,000,000	11,052,030	0 0	0,551,510	0,000,000	0
7 4	AMP GLOBAL CLEARING LLC	FCM	NFA	8/31/2016	2,461,430	1,500,000	961,430	55,381,099	53,655,364	1,725,735		2,162,971	1,869,978	292,993	150,000	C	0	0	0	0
	APERTURE LLC BARCI AYS CAPITAL INC	FCM BD	NFA NYMF	8/31/2016	12,631,029	1,000,000	11,631,029 5.985.008.405	2,539,138	1,885,662	653,476		0	2,927,163,257	0	0	0	0	0	0	0
	BGC FINANCIAL LP	FCM BD	NEA	8/31/2016 8/31/2016	7,164,656,332 34,671,971	1,179,647,927	33,671,971	5,453,103,222	4,746,872,946 0	706,230,276	261,078,012	3,295,940,379	2,927,163,257	368,777,122	146,943,595	5,893,449,078	5,209,682,966	683,766,112	293,826,119	0
	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	8/31/2016	1,494,549,920	205,226,773	1,289,323,147	2,712,453,528	2,398,637,667	313,815,861	239,863,767	28,207,126	12,000,987	16,206,139	10,000,000	15,887,440	7,786,297	8,101,143	5,000,000	0
	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	8/31/2016	1,638,462,164	214,257,520	1,424,204,644		980,210,550	228,303,911		194,977,841	162,147,139	32,830,702		1,088,462,074	829,763,297	258,698,777	82,976,330	0
	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	8/31/2016	9,183,929	1,000,000	8,183,929	23,463,241	21,868,640		7,	185	0	185	1	. 6	0	6	1	0
	CANTOR FITZGERALD & CO CHS HEDGING LLC	FCM BD FCM	CBOT	8/31/2016 8/31/2016	272,233,423 33,938,288	6,176,812 10,501,652	266,056,611 23,436,636	4,969,921 206,527,403	155,171,388	4,969,921 51,356,015		1,973,116	517.849	1,455,267	545,000	503.912	0	503.912	310.000	0
	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	8/31/2016	7,291,491,355	1,690,587,153	5,600,904,202	8,926,145,296	8,584,905,689	341,239,607		1,407,314,816	1,227,510,529	179,804,287	150,000,000			359,773,571	272,875,000	0
	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	8/31/2016	12,448,435,518	1,437,757,738		6,214,187,457	5,809,346,899	404,840,558		2,758,406,503		251,879,192		12,213,030,396	11,539,024,350	674,006,046	576,951,217	0
	CUNNINGHAM COMMODITIES LLC	FCM RD	CBOT	8/31/2016	5,201,835	1,503,494	3,698,341 602,535,529	74,456,806	70,340,611	4,116,195	3,050,000	228,324	15,927	212,397	100,000	0	0	0	0	0
	DAIWA CAPITAL MARKETS AMERICA INC DEUTSCHE BANK SECURITIES INC	FCM BD	CME	8/31/2016 8/31/2016	608,281,511 13,083,464,099	5,745,982 654,015,781		5,481,143 3,819,594,454	3,528,427,870	5,481,143 291,166,584	200.000.000	572,787 957,391,177	767,470,233	572,787 189,920,944	150,000,000	1,625,307,497	1,334,440,293	290,867,204	250,000,000	0
	DORMAN TRADING LLC	FCM	CME	8/31/2016	9,969,065	2,333,245	7,635,820	177,165,547	173,283,887	3,881,660		4,909,389	4,033,473	875,916	200,000	0	0	0	0	0
	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	8/31/2016	118,714,680	70,319,272	48,395,408	1,837,382,532	1,784,082,585	53,299,947		66,942,652	59,686,710	7,255,942			1,215,733	1,128,762	500,000	0
	E TRADE CLEARING LLC	FCM BD	NFA	8/31/2016	874,658,576	149,992,202	724,666,374	64,529,283	47,799,584	16,729,699		1,370,038	260,824	1,109,214	1,000,000	0	0	0	0	0
	EFL FUTURES LIMITED FOREX CAPITAL MARKETS LLC	FCM FCMRFD SD	CME NFA	8/31/2016 8/31/2016	32,713,434 50,282,674	20,338,437 32,306,811	12,374,997 17,975,863	274,357,051	261,159,085	13,197,966	5,000,000	0	0	0	0		0	0	0	176,508,820
	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	8/31/2016	39,914,565	27,214,296	12,700,269	529,988,082	513,421,783	16,566,299	10,000,000	5,087,660	3,610,476	1,477,184	1,000,000	0	0	0	0	129,493,930
	GH FINANCIALS LLC	FCM	CME	8/31/2016	21,120,002	6,660,029	14,459,973	109,813,191	98,287,938	11,525,253		3,250,049	2,197,518	1,052,531	550,000	-	0	0	0	0
	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	8/31/2016	17,652,135,907	2,579,041,264		22,654,801,368	21,576,618,430	1,078,182,938	875,000,000	10,623,412,532	10,017,899,986	605,512,546	475,000,000	4,180,798,999	3,711,224,573	469,574,426	350,000,000	0
	GOLDMAN SACHS EXECUTION & CLEARING LP HSBC SECURITIES USA INC	FCM BD	CME CME	8/31/2016 8/31/2016	236,780,494 1,103,853,174	1,000,000 228,929,054	235,780,494 874,924,120	1,413,957,249	1,309,702,588	104,254,661	60.000.000	223,555,434	181,712,883	41,842,551	25,000,000	946,933,880	849,054,111	97,879,769	80,000,000	0
	INTERACTIVE BROKERS LLC	FCM BD	CME	8/31/2016	3,116,297,946	269,012,067	2,847,285,879		2,881,338,210	247,594,029		492,202,142		123,300,825			0 049,034,111	97,079,709	0,000,000	32,956,929
	INTL FCSTONE FINANCIAL INC	FCM BD	CME	8/31/2016	129,883,360	88,735,849	41,147,511	2,187,926,734	2,137,223,483	50,703,251				17,098,279	8,000,000	-	0	0	0	0
	IRONBEAM INC	FCM	NFA	8/31/2016	3,368,579	1,199,778	2,168,801	64,165,507	62,760,590	1,404,917	800,000	233,977	109,947	124,030	50,000	C	0	0	0	0
	JEFFERIES LLC JP MORGAN CLEARING CORP	FCM BD FCM BD	NFA NFA	8/31/2016 8/31/2016	1,424,377,796 7,043,046,586	83,695,954 1,617,746,367	1,340,681,842 5,425,300,219	791,370,112	614,346,931	177,023,181	122,869,386	712,205,709	564,071,689	148,134,020	112,814,338	250,000	0	250,000	0	0
	JP MORGAN CELAKING CORP JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	8/31/2016	13,105,934,642	2,646,827,579		19,887,034,734	18,242,857,919	1,644,176,815		4,284,312,897	3,899,421,164	384,891,733	272,959,481			862,194,762	660,537,548	0
37 34	MACQUARIE FUTURES USA LLC	FCM	CBOT	8/31/2016	252,677,546	171,327,593	81,349,953	2,555,267,360	2,375,040,076	180,227,284	100,000,000	44,999,225	13,875,937	31,123,288	7,000,000	26,916,394		18,527,660	1,000,000	0
	MAREX NORTH AMERICA LLC	FCM	CME NFA	8/31/2016	23,540,637	18,362,770	5,177,867	254,214,932	248,372,142	5,842,790		49,055,371	47,826,286	1,229,085	1,000,000		0	0	0	0
	MCVEAN TRADING & INVESTMENTS LLC MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	8/31/2016 8/31/2016	14,061,803 12,838,199,295	2,348,001 1,599,450,149	11,713,802 11,238,749,146	789,150,088 12,984,646,048	768,314,308 12,707,492,788	20,835,780 277,153,260		2,417,861 3,505,696,193	3,258,124,103	2,417,861 247,572,090	400,000 150,000,000		5,627,964,918	731,244,319	150,000,000	0
	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	8/31/2016	3,173,679,557	480,139,273	2,693,540,284	1,736,809,080	1,024,104,625	712,704,455		0	0	0	0	0,555,265,257	0	0	0	0
42 39	MID CO COMMODITIES INC	FCM	NFA	8/31/2016	7,906,568	4,543,303	3,363,265	46,174,506	38,420,816	7,753,690	5,138,000	0	0	0	0	C	0	0	0	0
	MINT BROKERS MIZUHO SECURITIES USA INC	FCM BD SD	NFA CME/NFA	8/31/2016 8/31/2016	5,302,065 577,277,845	1,000,000 259,390,498	4,302,065 317,887,347	3,187,532,308	2,985,101,825	202,430,483	125,000,000	902,014,080	801.674.688	100.339.392	70,000,000	43,047,457	9,671,532	33,375,925	15.000.000	0
	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	8/31/2016	10,754,873,609	1,989,068,497	8,765,805,112	15,158,351,984	14,948,125,568					207,415,692				117,614,083	92,000,000	0
	MUFG SECURITIES AMERICAS INC	FCM BD	NFA	8/31/2016	380,085,408	1,034,830	379,050,578	0	0	0	0	0	0	0	0	0	0	0	0	0
	NANHUA USA LLC	FCM	CME	8/31/2016	19,955,545	3,310,277	16,645,268	63,064,086	49,458,379	13,605,707	3,500,000	0	0	0	0	C	0	0	0	0
	NEUBERGER BERMAN LLC NOMURA SECURITIES INTERNATIONAL INC	FCM BD	NFA CBOT	8/31/2016 8/31/2016	17,613,203 2,817,945,477	1,500,000 83,049,066	16,113,203 2,734,896,411	257,664,906	155,658,761	102,006,145	15,000,000	10 270 145	71,683	18,206,462	1,000,000	0	0	0	0	0
	OANDA CORPORATION	FCMRFD	NFA	8/31/2016	77,649,778	49,537,999	2,/34,896,411	237,004,906	133,038,761	102,006,145	15,000,000	18,278,145	71,083	10,200,402	1,000,000) 0	0	0	135,016,717
51 48	OPTIONSXPRESS INC	FCM BD	NFA	8/31/2016	269,504,696	6,629,090	262,875,606	129,517,762	91,079,966	38,437,796		4,323,266	1,376,324	2,946,942			0	0	0	0
	PHILLIP CAPITAL INC	FCM BD	CME	8/31/2016	33,949,765	10,782,637	23,167,128	177,543,149	156,859,703	20,683,446	7,849,740	23,842,714	17,597,757	6,244,957	879,888	C	0	0	0	0
	PICTET OVERSEAS INC RAND FINANCIAL SERVICES INC	FCM BD FCM	NFA CME	8/31/2016 8/31/2016	16,347,888 84,307,396	1,000,000 15,362,193	15,347,888 68,945,203	261 527 700	217,764,400	43,763,300	6.532.900	38,780,400	15.980.300	22,800,100	479,400	0	0	0	0	0
	RAND FINANCIAL SERVICES INC RBC CAPITAL MARKETS LLC	FCM BD	CME	8/31/2016	1,909,544,660	236,012,586	1,673,532,074	261,527,700 2,302,211,328	2,058,071,980	244,139,348		183,431,792		50,426,220			787,140,581	226,104,174	80,000,000	0
0.0	RBS SECURITIES INC	FCM BD	CBOT	8/31/2016	3,570,751,031	99,483,813	3,471,267,218	1,748,751,338	1,555,416,907	193,334,431		173,143,861	46,687,120	126,456,741			0	0	0	0
	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	8/31/2016	213,314,287	155,861,989	57,452,298		4,212,970,775	97,657,464		282,534,438	257,862,530	24,671,908			0	0	0	0
	ROSENTHAL COLLINS GROUP LLC SANTANDER INVESTMENT SECURITIES INC	FCM BD	CME NYME	8/31/2016 8/31/2016	87,264,666 136,495,980	61,021,740 13,591,593	26,242,926 122,904,387	1,525,872,781 571.023.660	1,499,916,746	25,956,035 5,303,531		32,908,556	20,894,489	12,014,067	8,000,000	0	0	0	0	0
	SCOTIA CAPITAL USA INC	FCM BD	CME	8/31/2016	643,831,855	40,593,725	603,238,130	1,510,520	565,720,129 505,070	1,005,450		750,000	0	750,000	1) 0	0	0	0
	SG AMERICAS SECURITIES LLC	FCM BD	CME	8/31/2016	4,061,501,104	1,303,486,650	2,758,014,454		15,236,056,988					511,525,120	255,000,000		324,707,548	217,255,067	75,000,000	0
	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	8/31/2016	532,210,412	4,154,079		184,945,188	56,393,170	128,552,018	-,,	48,341,914	6,633	48,335,281	15,000,000		0	0	0	0
	STRAITS FINANCIAL LLC	FCM	CME NFA	8/31/2016	23,821,446	9,408,308	14,413,138	318,145,090	300,261,783	17,883,307		52,321,997	45,267,349	7,054,648	300,000	0	0	0	0	0 4F F12 074
	TD AMERITRADE FUTURES & FOREX LLC TRADESTATION SECURITIES INC	FCM BD	CME	8/31/2016 8/31/2016	115,755,758 71,712,810	21,775,699 3,935,142	93,980,059 67,777,668	257,687,640 528,544,469	211,366,959 516,423,598	46,320,681 12,120,871		25,779,013	24.147.556	1,631,457	800,000) 0	0	0	45,513,974 0
0.0	UBS FINANCIAL SERVICES INC	FCM BD	NFA	8/31/2016	1,222,001,315	123,443,028	077777000	114,950,558	80,512,742	34,437,816	-,,	28,041,736	4,419,855	23,621,881	15,000,000	1	0	0	0	0
	UBS SECURITIES LLC	FCM BD	CBOT	8/31/2016	4,197,990,396	936,855,357	3,261,135,039		7,834,188,664				3,945,220,341	436,952,440	300,000,000	1,104,679,251	804,130,247	300,549,004	200,000,000	0
	UOBBF CLEARING LIMITED	FCM PD	NFA	8/31/2016	7,719,981	1,000,000	6,719,981	001 575 457	063.051.636	17.022.021	12.000.000	0	0	2 472 224	1 000 000	0	0	0	0	0
	WEDBUSH SECURITIES INC WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CBOT	8/31/2016 8/31/2016	148,399,428 3,532,078,810	16,654,130 909,667,249	131,745,298 2,622,411,561	981,575,457 3,334,853,772	963,951,626 2,987,113,064	17,623,831 347,740,708		26,684,640 465,978,117	24,211,339 245,972,342	2,473,301 220,005,775	1,000,000 30,000,000		8,057,789,059	868,543,852	600,000,000	0
	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	8/31/2016	5,191,210	1,000,000	4,191,210	43,776,146	38,636,276	5,139,870		2,208,560	1,879,439	329,121	200,000		0,037,703,039	000,513,632	000,000,000	0
72						. ,														
73	Totals							168,799,116,688	157,982,339,808	10,816,776,880	6,559,421,584	44,583,854,258	40,014,585,563	4,569,268,695	2,714,268,204	79,505,413,379	73,275,502,857	6,229,910,522	3,793,976,216	519,490,370

SELECTED FCM FINANCIAL DATA AS OF August 31, 2016 FROM REPORTS FILED BY October 2, 2016

1	В	С	D	Е	F	G	Н	I	J	K	L	M	N	0	Р	Q	R	S	T	U
74																				
75	July Web Page Update	70																		
76	Additions	0															-			
78	Additions NONE	U	-																	
79	NONE																			
80	Deletions	2																		
81	ITG DERIVATIVES LLC																			
82	SANFORD C BERNSTEIN & CO LLC		_																	
84	Name Changes		_																	
85	NONE																			
86																				
87	August Web Page Update	68																		
88	(a). FCM: Fiture Commission Manchest that is resisted		Commondity Fr	A Tradina	Cii															
89	(a): FCM: Futures Commission Merchant that is registed BD: The FCM is also registered with the Securiti																			
91	RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.																			
92	FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																			
93																				
94	(a) 2000 a 1 1 10 10 10 10 10 10 10 10 10 10 10 10																			
95	(b): DSRO: Designated Self-Regulatory Organization. FCM/F	RO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																		
97	(c): A firm's net capital requirement is the greater of	im's net capital requirement is the greater of:																		
98	(c). A limits het capital requirement is the greater of.	Tim's net capital requirement is the greater of:																		
99	FCM minimum dollar amount (\$1,000,000); or	M minimum dollar amount (\$1,000,000); or																		
100	1 CV imminute data discount (47,000,000/7) 88 of total customer risk maintenance margin and 8% of total non-customer risk maintenance marg																			
101	the amount of capital required by a registered futures association (currently NFA is the only such association); or																			
102	or securities brokers and feelers, the anomatic first care depends you feel 553-1(a) of the Section of the Sect																			
104	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																			
105		IRITION HORSE OF INCIDENCE OF THE STORES OF																		
106	(d): Excess net capital is adjusted net capital, less the firm's	Excess net capital is adjusted net capital, less the firm's net capital requirement.																		
107																				
108	(e): This represents the total amount of money, securities, a	s represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																		
110	(f): This represents the total amount of funds that an ECM is	represents the total amount of funds that an ECM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidation equity.																		
111	(1) This represents the total amount of rands that arriver is	represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																		
112	(g): Excess/Deficient funds in segregation is customer asset	ess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.																		
113	(A) This control is the second of the second																			
114	(n): This represents the targeted excess of proprietary funds	is represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.																		
116	(i): This represents the total amount of money, securities, a	and property	held in secured	accounts for fr	utures and options o	ustomers who trade o	n commodity exchang	es located outside th	e United States in o	ompliance with Part 30	of the Commodity Fxo	hange Act.								
117	, securities and securities are securities and securities are securities and securities and securities are securities are securities and securities are secu	. pp -/ ()																		
118	(j): This represents the amount of funds an FCM is required	to set aside	for customers	who trade on c	ommodity exchange	s located outside of the	ne United States.													
119																				
120	(k): Excess/Deficient funds in separate Section 30.7 account	ts is funds in	separate Section	on 30.7 accoun	ts, less the custome	r amount Part 30 requ	irement.													
122	(I): This represents the targeted excess of proprietary funds	denosited in	nto senarate Se	ction 30.7 acco	unts above the custo	omer amount Part 30	requirement This tar	net amount is set hy	the firm											
123	(i) This represents the targeted excess of proprietary funds	, асрозней п	Separate Set	CG 5/1 50.7 dCCC	and above the cust	since difficulty full 30	requirementa Triis tai	get amount is set by	a.c. illiii.											
124	(m): This represents the total amount of money, securities,	and property	y held in cleared	d swap custom	er accounts for clear	ed swap customers in	compliance with Sect	ion 4d(f) of the Com	modity Exchange Ad	t.										
125																				
126	(n): This represents the amount of funds an FCM is required	d to segregat	te for customers	s who trade cle	ared swaps.															
128	(o): Excess/Deficient funds in cleared swap customer accour	nts is funds i	in senarate clea	red swan sear	egation accounts les	s the cleared swap or	stomer segregation re	equirement												
129	10). Excess/Deficient runus in cleared swap customer accoun	iiw is iuiius i	п эсрагаю Сеа	nca swap seqit	.quadri accounts, les	s are cleared swap co	Storier ScyrcyadOff It	Admicilletti.												
130	(p): This represents the targeted excess of proprietary fund	ds deposited	into separate cl	leared swap se	gregation accounts a	bove the cleared swa	p customer segregation	on requirement. This	target amount is s	et by the firm.										
131																				
132	(q): This represents the total amount of funds at an FCM, R	FED, or FCM	RFD that would	d be obtained b	y combining all mon	ey, securities and pro	perty deposited by a r	etail forex customer	into a retail forex ad	count or accounts, adju	sted for the realized a	nd unrealized net pro	fit or loss.							