

## SELECTED FCM FINANCIAL DATA AS OF

July 31, 2011

FROM REPORTS FILED BY

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	Futures Commission Merchant / Retail Foreign Exchange Dealer	Reg As	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2)	Customer Amount Pt. 30 Required	Total Amount of Retail Forex Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	07/31/2011	240,052,233	108,064,625	131,987,608	2,040,306,758	270,884,565	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	07/31/2011	264,207,197	139,583,098	124,624,099	2,714,553,749	80,934,143	0
3	ADVANCED MARKETS LLC	FCMRFD	NFA	07/31/2011	20,334,655	20,000,000	334,655	0	0	1,675,783
4	ADVANTAGE FUTURES LLC	FCM	CME	07/29/2011	19,864,362	11,686,179	8,178,183	428,991,786	34,635,702	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	06/30/2011	84,773,980	4,227,112	80,546,868	0	0	0
6	ALPARI (US) LLC	FCMRFD	NFA	07/31/2011	25,394,755	20,000,000	5,394,755	44,544	0	9,656,590
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	07/31/2011	154,717,338	1,000,000	153,717,338	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	07/31/2011	1,479,172	1,000,000	479,172	30,821,753	109,953	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	07/31/2011	5,422,277,016	768,866,049	4,653,410,967	5,178,583,151	2,674,473,846	0
10	BGC FINANCIAL LP	FCM BD	NFA	07/31/2011	60,151,271	1,000,000	59,151,271	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	07/31/2011	357,774,665	235,971,667	121,802,998	1,502,812,974	615,402,397	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	07/31/2011	1,747,018,270	61,972,341	1,685,045,929	301,067,709	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	07/31/2011	307,437,956	5,282,282	302,155,674	45,365,896	0	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	07/31/2011	253,684,295	8,340,983	245,343,312	1,629,767	0	0
15	CAPITAL MARKET SERVICES LLC	FCM	NFA	07/31/2011	2,486,474	1,000,000	1,486,474	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	07/31/2011	478,598,126	1,500,000	477,098,126	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	07/29/2011	417,334,512	1,000,000	416,334,512	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	07/31/2011	7,408,736,610	698,755,348	6,709,981,262	10,795,137,953	1,714,820,349	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	07/31/2011	1,097,160	1,000,000	97,160	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	07/31/2011	4,225,724	1,000,000	3,225,724	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	07/31/2011	24,834,865	17,415,577	7,419,288	248,672,913	229,973	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	07/31/2011	8,600,101,633	1,042,030,487	7,558,071,146	5,048,013,571	1,834,948,706	0
23	CROSSLAND LLC	FCM	CBOT	07/31/2011	12,687,818	5,286,122	7,401,696	75,247,675	41,722,404	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	07/31/2011	3,241,129	1,000,000	2,241,129	54,154,086	64,242	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	07/31/2011	3,551,690	1,000,000	2,551,690	26,000,269	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/31/2011	283,414,816	1,000,000	282,414,816	3,966,162	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	07/31/2011	6,987,857,067	625,614,680	6,362,242,387	15,954,068,325	1,484,869,866	0
28	DORMAN TRADING LLC	FCM	CME	07/31/2011	10,022,341	1,000,000	9,022,341	104,137,842	1,252,940	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	07/29/2011	6,895,391	1,000,000	5,895,391	3,352,016	0	0
30	EASY FOREX US LTD	FCM	NFA	07/31/2011	1,793,699	1,000,000	793,699	0	0	0
31	ENSKILDA FUTURES LTD	FCM	CME	07/29/2011	29,712,539	19,034,204	10,678,335	237,920,419	0	0
32	FCSTONE LLC	FCM	CME	07/31/2011	110,332,706	62,892,303	47,440,403	1,341,380,624	21,299,442	4,352,390
33	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	07/31/2011	60,062,804	26,202,001	33,860,803	0	0	134,040,016
34	FOREX CLUB LLC	FCMRFD	NFA	07/29/2011	22,778,697	22,000,000	778,697	0	0	2,997,656
35	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	07/31/2011	3,394,545	1,000,000	2,394,545	11,513,852	534,011	0
36	FRONTIER FUTURES INC	FCM	NFA	07/31/2011	1,905,319	1,000,000	905,319	31,804,535	0	0
37	FUTURES TECH LLC	FCM	NFA	07/31/2011	1,604,600	1,000,000	604,600	0	0	0
38	FX SOLUTIONS LLC	FCMRFD	NFA	07/31/2011	38,321,287	20,361,295	17,959,992	0	0	17,225,895
39	FXDIRECTDEALER LLC	FCMRFD	NFA	07/31/2011	31,505,961	21,330,975	10,174,986	0	0	36,619,513
40	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	07/31/2011	69,931,964	24,404,290	45,527,674	0	0	98,085,796
41	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	07/31/2011	78,430,220	24,184,476	54,245,744	332,253	220,801	93,689,526
42	GOLDMAN SACHS & CO	FCM BD	CBOT	07/31/2011	11,520,881,535	1,917,414,250	9,603,467,285	19,877,854,542	7,958,023,893	0
43	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	07/31/2011	1,768,708,384	120,298,125	1,648,410,259	1,166,334,534	19,697,749	0
44	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	07/31/2011	84,367,140	2,379,769	81,987,371	0	0	0
45	HSBC SECURITIES USA INC	FCM BD	CME	07/31/2011	1,050,930,223	107,155,200	943,775,023	1,273,992,979	99,555,278	0

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		(a)	(b)			(c)	(d)	(e)	(f)	(g)
46	ICAP CORPORATES LLC	FCM BD	NFA	07/31/2011	51,301,032	1,000,000	50,301,032	0	0	0
47	ICAP FUTURES LLC	FCM	NFA	07/31/2011	7,783,642	1,000,000	6,783,642	0	0	0
48	IKON GLOBAL MARKETS INC	FCM	NFA	07/31/2011	16,537,384	1,000,000	15,537,384	9,882,050	108,434	0
49	INSTINET LLC	FCM BD	NFA	07/31/2011	118,697,394	2,550,230	116,147,164	0	0	0
50	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	07/31/2011	21,020,003	20,000,000	1,020,003	0	0	1,170,601
51	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	07/31/2011	1,609,878	1,000,000	609,878	0	0	0
52	INTERACTIVE BROKERS LLC	FCM BD	NFA	07/31/2011	1,310,911,678	197,619,349	1,113,292,329	793,502,830	257,307,898	0
53	INTERBANK FX LLC	FCMRFD	NFA	07/31/2011	25,385,414	21,075,838	4,309,576	0	0	31,516,768
54	IRONBEAM INC	FCM	NFA	07/31/2011	3,285,813	1,000,000	2,285,813	44,881,417	242,924	0
55	ITG DERIVATIVES LLC	FCM BD	NFA	07/31/2011	2,277,500	1,000,000	1,277,500	0	0	0
56	JEFFERIES & COMPANY INC	FCM BD	NFA	07/29/2011	598,362,961	65,387,732	532,975,229	0	0	0
57	JEFFERIES BACHE LLC	FCM	CBOT	07/31/2011	248,646,000	186,258,400	62,387,600	2,939,400,000	309,319,000	0
58	JP MORGAN CLEARING CORP	FCM BD	CME	07/31/2011	7,048,533,493	1,887,558,117	5,160,975,376	444,517,214	443,163,158	0
59	JP MORGAN SECURITIES LLC	FCM BD	CEI	07/31/2011	11,741,582,599	1,546,530,927	10,195,051,672	16,413,844,453	2,749,180,721	0
60	LEK SECURITIES CORPORATION	FCM BD	NFA	07/31/2011	10,156,234	1,500,000	8,656,234	1,252,085	0	0
61	LIMESTONE TRADING LLC	FCM	NFA	07/31/2011	4,572,982	1,000,000	3,572,982	0	0	0
62	LINN GROUP THE	FCM	NFA	07/31/2011	2,532,242	1,000,000	1,532,242	39,695,871	119,240	0
63	LPL FINANCIAL LLC	FCM BD	NFA	07/31/2011	121,362,179	6,347,384	115,014,795	0	0	0
64	MACQUARIE FUTURES USA INC	FCM	CBOT	07/31/2011	130,109,327	66,279,648	63,829,679	772,935,998	51,261,792	0
65	MAREX NORTH AMERICA LLC	FCM	CME	07/31/2011	9,195,543	4,938,681	4,256,862	70,609,865	2,008,465	0
66	MAREX USA LIMITED	FCM	NFA	07/31/2011	1,716,374	1,000,000	716,374	0	0	0
67	MB TRADING FUTURES INC	FCMRFD	NFA	07/31/2011	27,009,464	21,146,753	5,862,711	0	0	32,935,057
68	MBF CLEARING CORP	FCM	NYME	07/31/2011	8,422,290	1,000,000	7,422,290	72,646,644	4,715,593	0
69	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	07/31/2011	14,392,180	2,143,246	12,248,934	856,139,375	0	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	07/31/2011	9,524,074,203	801,636,338	8,722,437,865	8,443,585,899	3,282,224,090	0
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	07/31/2011	2,088,286,511	234,170,289	1,854,116,222	779,734,512	51,478,519	0
72	MF GLOBAL INC	FCM BD	CME	07/29/2011	285,219,373	435,788,650	-150,569,277	8,813,407,681	890,930,453	0
73	MID CO COMMODITIES INC	FCM	NFA	07/31/2011	13,252,373	6,383,236	6,869,137	73,181,761	0	0
74	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	07/31/2011	95,411,822	1,227,081	94,184,741	0	0	0
75	MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	07/31/2011	2,955,815	1,000,000	1,955,815	0	0	0
76	MIZUHO SECURITIES USA INC	FCM BD	CME	07/31/2011	263,712,405	87,965,658	175,746,747	771,260,591	511,487,060	0
77	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	07/31/2011	475,243,216	8,883,491	466,359,725	0	0	0
78	MORGAN STANLEY & CO LLC	FCM BD	CME	07/31/2011	5,797,915,448	1,169,770,472	4,628,144,976	5,206,901,427	1,857,877,675	0
79	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	07/31/2011	1,264,154,689	38,458,421	1,225,696,268	578,531,162	1,942,497,225	0
80	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	07/29/2011	210,425,209	1,000,000	209,425,209	0	0	0
81	NEUBERGER BERMAN LLC	FCM BD	NFA	07/31/2011	90,821,020	1,500,000	89,321,020	0	0	0
82	NEWEDGE USA LLC	FCM BD	NYME	07/31/2011	2,190,963,527	1,516,965,286	673,998,241	24,704,981,218	7,127,483,624	0
83	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	07/31/2011	1,990,068,563	20,509,732	1,969,558,831	105,405,173	1,092,111	0
84	OANDA CORPORATION	FCMRFD	NFA	07/31/2011	156,857,941	27,288,085	129,569,856	0	0	155,761,706

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		(a)	(b)			(c)	(d)	(e)	(f)	(g)
85	OPEN E CRY LLC	FCM	NFA	07/31/2011	3,523,836	1,266,861	2,256,975	87,273,984	1,419,467	0
86	OPPENHEIMER & CO INC	FCM BD	NFA	07/31/2011	166,624,587	23,061,381	143,563,206	20,557,904	0	0
87	OPTIONSXPRESS INC	FCM BD	NFA	07/31/2011	77,823,551	8,457,977	69,365,574	124,265,559	2,921,230	0
88	PENSON FUTURES	FCM	CBOT	07/31/2011	33,378,470	22,667,817	10,710,653	748,510,923	38,885,865	38,518,301
89	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	07/31/2011	36,191,814	21,308,739	14,883,075	441,268,788	30,526,197	36,174,784
90	PHILLIP FUTURES INC	FCM	CME	07/31/2011	9,972,848	1,000,000	8,972,848	44,868,210	0	0
91	PIONEER FUTURES INC	FCM	NFA	07/31/2011	2,950,799	1,000,000	1,950,799	0	0	0
92	RAND FINANCIAL SERVICES INC	FCM	CME	07/31/2011	75,459,017	20,740,835	54,718,182	189,329,200	26,696,000	0
93	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	07/31/2011	435,256,158	30,428,395	404,827,763	0	0	0
94	RBC CAPITAL MARKETS LLC	FCM BD	CME	07/29/2011	930,928,043	96,648,461	834,279,582	356,663,018	25,744,797	0
95	RBS SECURITIES INC	FCM BD	CBOT	07/31/2011	2,516,821,916	261,743,559	2,255,078,357	1,841,371,997	161,831,348	0
96	RJ OBRIEN ASSOCIATES LLC	FCM	CME	07/31/2011	164,075,520	106,341,338	57,734,182	2,721,202,173	70,959,638	3,646,138
97	ROSENTHAL COLLINS GROUP LLC	FCM	CME	07/31/2011	66,964,087	46,227,950	20,736,137	1,447,666,124	41,839,465	0
98	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	07/31/2011	49,567,696	1,000,000	48,567,696	0	0	0
99	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	07/31/2011	174,729,871	14,467,513	160,262,358	0	0	0
100	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	07/29/2011	221,625,042	20,426,285	201,198,757	485,519,773	0	0
101	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	07/31/2011	414,277,273	6,543,466	407,733,807	29,623,201	6,987,609	0
102	STEPHENS	FCM BD	NFA	07/31/2011	81,892,095	13,679,486	68,212,609	0	0	0
103	STERLING COMMODITIES CORP	FCM	NYME	07/31/2011	8,589,930	1,000,000	7,589,930	32,278,831	197,848	0
104	STRAITS FINANCIAL LLC	FCM	CME	07/31/2011	8,195,852	1,000,000	7,195,852	11,407,390	53,788	0
105	TCA FUTURES LLC	FCM	NFA	07/31/2011	1,617,602	1,000,000	617,602	0	0	0
106	TD AMERITRADE INC	FCM BD	NFA	07/31/2011	330,827,075	1,000,000	329,827,075	0	0	0
107	TENCO INC	FCM	CBOT	07/31/2011	61,021,407	7,805,557	53,215,850	315,625,692	0	0
108	TIMBER HILL LLC	FCM BD	CME	07/31/2011	1,014,104,506	48,076,025	966,028,481	560,686,714	0	0
109	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	07/31/2011	1,710,712	1,000,000	710,712	0	0	0
110	TRADELINK LLC	FCM BD	CBOT	07/31/2011	26,592,423	1,000,000	25,592,423	0	0	0
111	TRADESTATION FOREX INC.	RFED	NFA	07/31/2011	24,527,941	21,285,990	3,241,951	0	0	35,719,800
112	TRADESTATION SECURITIES INC	FCM BD	NFA	07/31/2011	55,616,253	2,517,926	53,098,327	431,709,685	7,451,616	0
113	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	07/29/2011	1,541,987	1,000,000	541,987	0	0	0
114	TRILAND USA INC	FCM	NFA	07/31/2011	11,287,612	1,000,000	10,287,612	0	0	0
115	UBS FINANCIAL SERVICES INC	FCM BD	NFA	07/31/2011	1,354,320,438	111,033,400	1,243,287,038	164,742,246	834,433	0
116	UBS SECURITIES LLC	FCM BD	CBOT	07/31/2011	11,434,634,207	987,457,727	10,447,176,480	9,112,743,328	4,496,908,516	0
117	VELOCITY FUTURES LLC	FCM	NFA	07/31/2011	1,637,032	1,000,000	637,032	73,311,981	15,813,565	0
118	VISION FINANCIAL MARKETS LLC	FCM BD	CME	07/31/2011	27,813,035	10,680,137	17,132,898	415,724,157	8,110,727	0
119	WALL STREET DERIVATIVES INC	FCM	NFA	07/31/2011	1,504,821	1,000,000	504,821	0	0	0
120	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	07/31/2011	55,059,623	5,236,417	49,823,206	0	0	0
121	WELLS FARGO ADVISORS LLC	FCM BD	NFA	07/31/2011	853,540,384	1,500,000	852,040,384	0	0	0
122	WELLS FARGO SECURITIES LLC	FCM BD	CME	07/31/2011	1,581,660,834	12,409,864	1,569,250,970	0	0	0
123	WHITE COMMERCIAL CORPORATION	FCM	NFA	07/31/2011	2,366,238	1,000,000	1,366,238	0	0	0
124	XPRESSTRADE LLC	RFED	NFA	07/31/2011	22,841,980	20,000,000	2,841,980	0	0	17,720
125	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	07/31/2011	2,777,280	1,000,000	1,777,280	76,882,360	1,816,225	0
								<b>160,167,593,101</b>	<b>41,275,217,555</b>	<b>733,804,040</b>

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	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	Obligation
							(e)	(f)	(g)
<b>June Web Page Update</b>	<b>123</b>								
<b>Additions</b>	<b>2</b>								
XPRESSTRADE LLC									
GUGGENHEIM SECURITIES LLC									
<b>Deletions</b>	<b>0</b>								
None									
<b>Name Change</b>									
PRUDENTIAL BACHE COMMODITIES LLC to									
JEFFERIES BACHE LLC									
<b>July Web Page Update</b>	<b>125</b>								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;									
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;									
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of:									
<ul style="list-style-type: none"> <li>• FCM minimum dollar amount (\$1,000,000); or</li> <li>• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or</li> <li>• the amount of capital required by a registered futures association (currently NFA is the only such association); or</li> <li>• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or</li> <li>• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or</li> <li>• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.</li> </ul>									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov									