SELECTED FCM FINANCIAL DATA AS OF
June 30, 2017
FROM REPORTS FILED BY
July 30, 2017

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
1		**Futures Commission Merchant / Retail Foreign Exchange Dealer**	**Registered As**	**DSRO**	**As of Date**	**Adjusted Net Capital**	**Net Capital Requirement**	**Excess Net Capital**	**Customers' Assets in Seg**	**Customers' Seg Required 4d(a)(2)**	**Excess/Deficient Funds in Seg**	**Target Residual Interest in Seg**	**Funds in Separate Section 30.7 Accounts**	**Customer Amount Pt. 30 Required**	**Excess/Deficient Funds in Separate Section 30.7 Accounts**	**Target Residual Interest in Section 30.7**	**Funds in Separate Cleared Swap Segregation**	**Customer Amount Cleared Swap Seg Required**	**Excess/Deficient Funds in Cleared Swap Seg Accounts**	**Target Residual Interest in Cleared Swap Seg**	**Total Amount of Retail Forex Obligation**
2			**(a)**	**(b)**			**(c)**	**(d)**	**(e)**	**(f)**	**(g)**	**(h)**	**(i)**	**(j)**	**(k)**	**(l)**	**(m)**	**(n)**	**(o)**	**(p)**	**(q)**
3																					
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	06/30/2017	606,134,689	144,466,484	461,668,205	2,743,482,697	2,415,201,957	328,280,740	162,649,236	143,936,885	115,681,697	28,255,188	11,568,170	0	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	06/30/2017	319,885,683	180,843,208	139,042,475	4,598,236,350	4,372,472,116	225,764,234	120,000,000	398,863,877	341,562,406	57,301,471	20,000,000	13,493,328	3,275,660	10,217,668	8,000,000	0
6	3	ADVANTAGE FUTURES LLC	FCM	CME	06/30/2017	26,626,079	9,724,063	16,902,016	497,220,925	481,034,916	16,186,009	9,500,000	34,293,015	32,561,067	1,731,948	1,000,000	0	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	06/30/2017	2,855,740	1,500,000	1,355,740	53,134,636	50,006,241	3,128,395	900,000	2,032,787	1,720,500	312,287	150,000	0	0	0	0	0
8	5	APERTURE LLC	FCM BD	NFA	06/30/2017	37,105,397	1,471,674	35,633,723	35,040,462	31,561,480	3,478,982	1,600,000	0	0	0	0	0	0	0	0	0
9	6	APEX CLEARING CORPORATION	FCM BD	NFA	06/30/2017	132,359,707	25,219,851	107,139,856	549,795	2,623	547,172	500,000	0	0	0	0	0	0	0	0	0
10	7	BARCLAYS CAPITAL INC	FCM BD	NYME	06/30/2017	6,910,343,359	1,175,900,532	5,734,442,827	5,630,003,382	5,024,922,393	605,080,989	276,365,060	3,840,290,676	3,433,750,828	406,539,848	172,374,292	6,488,374,603	5,622,444,629	865,929,974	223,211,052	0
11	8	BGC FINANCIAL LP	FCM BD	NFA	06/30/2017	36,078,842	2,396,266	33,682,576	0	0	0	0	0	0	0	0	0	0	0	0	0
12	9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	06/30/2017	1,540,321,011	166,257,351	1,374,063,660	1,930,607,276	1,741,941,218	188,666,058	150,000,000	39,695,052	30,547,683	9,147,369	7,500,000	18,738,285	9,072,428	9,665,857	7,500,000	0
13	10	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	06/30/2017	1,594,202,236	213,025,054	1,381,177,182	1,090,263,130	970,084,389	120,178,741	82,500,000	90,007,782	61,064,958	28,942,824	22,500,000	907,649,239	756,987,942	150,661,297	120,000,000	0
14	11	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	06/30/2017	8,357,243	3,548,132	4,809,111	97,166,403	93,489,298	3,677,105	1,000,000	6	0	6	1	6	0	6	1	0
15	12	CANTOR FITZGERALD & CO	FCM BD	CBOT	06/30/2017	267,213,258	7,081,027	260,132,231	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
16	13	CHS HEDGING LLC	FCM	CBOT	06/30/2017	39,571,622	9,738,430	29,833,192	181,602,598	120,914,301	60,688,297	13,600,000	1,671,013	146,830	1,524,183	200,000	503,912	0	503,912	120,000	0
17	14	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	06/30/2017	10,701,191,335	1,890,293,028	8,810,898,307	7,552,693,307	7,147,037,112	405,656,195	288,400,000	1,936,773,244	1,631,529,442	305,243,802	150,000,000	18,380,400,591	17,941,935,645	438,464,946	317,375,000	0
18	15	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	06/30/2017	9,720,729,299	1,522,362,179	8,198,367,120	6,769,803,980	6,369,765,013	400,038,967	318,488,251	3,296,202,731	3,103,925,326	192,277,405	155,196,266	13,558,111,729	12,605,080,440	953,031,289	630,254,022	0
19	16	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	06/30/2017	5,136,026	1,317,029	3,818,997	75,198,754	71,591,024	3,607,730	3,050,000	792,535	350,490	442,045	50,000	0	0	0	0	0
20	17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	06/30/2017	641,563,723	7,539,357	634,024,366	2,166,676	0	2,166,676	1	563,311	0	563,311	1	0	0	0	0	0
21	18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	06/30/2017	12,643,051,115	658,769,415	11,984,281,700	3,182,035,487	2,981,185,032	200,850,455	125,000,000	820,062,160	634,562,499	185,499,661	75,000,000	25,724,751	1,050,715	24,674,036	20,000,000	0
22	19	DORMAN TRADING LLC	FCM	CME	06/30/2017	11,375,769	2,098,170	9,277,599	180,988,163	176,810,425	4,177,738	2,000,000	3,903,769	3,219,769	684,000	200,000	0	0	0	0	0
23	20	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	06/30/2017	141,451,075	51,073,255	90,377,820	1,547,099,184	1,463,573,878	83,525,306	35,000,000	39,739,849	31,619,717	8,120,132	5,000,000	2,842,389	1,696,713	1,145,676	500,000	0
24	21	E TRADE SECURITIES LLC	FCM BD	NFA	06/30/2017	1,062,299,207	181,072,354	881,226,853	70,687,221	52,033,405	18,653,816	10,000,000	1,000,000	0	1,000,000	1,000,000	0	0	0	0	0
25	22	EFL FUTURES LIMITED	FCM	CME	06/30/2017	40,985,787	15,823,652	25,162,135	226,795,133	212,893,650	13,901,483	5,000,000	0	0	0	0	0	0	0	0	0
26	23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	06/30/2017	44,322,319	33,986,458	10,335,861	224,038,239	211,489,528	12,548,711	10,000,000	4,624,779	2,905,509	1,719,270	1,000,000	0	0	0	0	260,492,683
27	24	GH FINANCIALS LLC	FCM	CME	06/30/2017	21,838,415	7,263,938	14,574,477	131,618,864	118,411,666	13,207,198	6,000,000	3,071,589	1,981,919	1,089,670	550,000	0	0	0	0	0
28	25	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	06/30/2017	17,696,098,548	2,405,102,603	15,290,995,945	23,192,214,895	22,316,199,978	876,014,917	675,000,000	11,858,649,649	11,240,129,819	618,519,830	475,000,000	4,631,093,326	4,136,236,152	494,857,174	350,000,000	0
29	26	HSBC SECURITIES USA INC	FCM BD	CME	06/30/2017	966,456,106	243,351,192	723,104,914	1,385,656,403	1,305,459,506	80,196,897	70,000,000	236,173,101	202,717,360	33,455,741	25,000,000	1,442,749,769	1,308,231,656	134,518,113	120,000,000	0
30	27	INTERACTIVE BROKERS LLC	FCM BD	CME	06/30/2017	3,727,403,779	345,170,403	3,382,233,376	4,323,643,778	4,115,619,176	208,024,602	155,000,000	481,417,204	359,537,076	121,880,128	80,000,000	0	0	0	0	38,914,467
31	28	INTL FCSTONE FINANCIAL INC	FCM BD	CME	06/30/2017	148,284,161	77,685,283	70,598,878	2,075,039,381	2,024,913,831	50,125,550	35,000,000	137,820,989	121,864,899	15,956,090	8,000,000	0	0	0	0	0
32	29	IRONBEAM INC	FCM	NFA	06/30/2017	3,598,290	1,000,000	2,598,290	76,937,453	75,474,205	1,463,248	800,000	485,685	272,288	213,397	50,000	0	0	0	0	0
33	30	JEFFERIES LLC	FCM BD	NFA	06/30/2017	1,360,004,150	85,017,616	1,274,986,534	0	0	0	0	0	0	0	0	0	0	0	0	0
34	31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	06/30/2017	13,875,114,650	2,766,397,013	11,108,717,637	17,906,828,489	16,438,041,354	1,468,787,135	904,092,275	4,522,724,749	4,107,348,013	415,376,736	349,124,581	12,781,121,346	11,777,634,109	1,003,487,237	736,102,132	0
35	32	MACQUARIE FUTURES USA LLC	FCM	CBOT	06/30/2017	279,732,766	128,597,872	151,134,894	1,943,544,056	1,729,436,234	214,107,822	100,000,000	57,882,923	38,401,049	19,481,874	7,000,000	22,550,571	3,848,128	18,702,443	1,000,000	0
36	33	MAREX NORTH AMERICA LLC	FCM	CME	06/30/2017	23,138,311	13,417,470	9,720,841	166,624,339	162,941,371	3,682,968	2,800,000	61,937,238	60,773,236	1,164,002	500,000	0	0	0	0	0
37	34	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	06/30/2017	14,125,071	2,611,457	11,513,614	760,178,501	738,904,217	21,274,284	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0	0	0	0
38	35	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	06/30/2017	12,261,431,302	1,711,462,222	10,549,969,080	13,666,642,667	13,376,047,340	290,595,327	200,000,000	4,077,929,701	3,821,087,020	256,842,681	150,000,000	6,110,564,474	5,763,823,106	346,741,368	150,000,000	0
39	36	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	06/30/2017	3,501,086,476	639,668,309	2,861,418,167	1,701,662,763	1,325,254,319	376,408,444	300,000,000	0	0	0	0	0	0	0	0	0
40	37	MID CO COMMODITIES INC	FCM	NFA	06/30/2017	8,159,201	2,290,540	5,868,661	21,453,557	12,328,842	9,124,715	5,138,000	0	0	0	0	0	0	0	0	0
41	38	MINT BROKERS	FCM BD	NFA	06/30/2017	4,970,960	1,000,000	3,970,960	0	0	0	0	0	0	0	0	0	0	0	0	0
42	39	MIZUHO SECURITIES USA LLC	FCM BD SD	CME/NFA	06/30/2017	704,514,943	217,717,757	486,797,186	2,530,132,697	2,329,184,046	200,948,651	125,000,000	700,786,472	574,284,475	126,501,997	70,000,000	35,196,508	4,219,678	30,976,830	15,000,000	0
43	40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	06/30/2017	10,062,168,588	2,076,119,776	7,986,048,812	14,822,905,951	14,552,261,212	270,644,739	210,000,000	4,543,439,693	4,337,449,329	205,990,364	160,000,000	13,709,491,307	13,575,677,763	133,813,544	92,000,000	0
44	41	NANHUA USA LLC	FCM	CME	06/30/2017	18,269,801	3,323,415	14,946,386	81,268,125	68,599,472	12,668,653	3,500,000	0	0	0	0	0	0	0	0	0
45	42	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	06/30/2017	2,184,160,426	148,247,967	2,035,912,459	266,703,675	163,197,469	103,506,206	15,000,000	17,525,610	23,621	17,501,989	1,000,000	0	0	0	0	0
46	43	OANDA CORPORATION	FCMRFD	NFA	06/30/2017	77,562,048	52,622,065	24,939,983	0	0	0	0	0	0	0	0	0	0	0	0	171,815,977
47	44	OPTIONSXPRESS INC	FCM BD	NFA	06/30/2017	289,809,955	7,249,112	282,560,843	124,866,777	81,661,978	43,204,799	12,249,297	2,300,987	811,898	1,489,089	121,785	0	0	0	0	0
48	45	PHILLIP CAPITAL INC	FCM BD	CME	06/30/2017	32,479,874	15,524,028	16,955,846	273,758,840	259,049,800	14,709,040	5,000,000	33,329,957	29,871,219	3,458,738	2,000,000	0	0	0	0	0
49	46	PICTET OVERSEAS INC	FCM BD	NFA	06/30/2017	18,765,606	1,000,000	17,765,606	1,500,000	0	1,500,000	1,500,000	500,000	0	500,000	500,000	0	0	0	0	0
50	47	RAND FINANCIAL SERVICES INC	FCM	CME	06/30/2017	85,132,687	12,780,521	72,352,166	196,467,500	153,870,000	42,597,500	4,616,100	48,443,400	24,762,800	23,680,600	742,900	0	0	0	0	0
51	48	RBC CAPITAL MARKETS LLC	FCM BD	CME	06/30/2017	1,854,006,426	230,984,515	1,623,021,911	2,987,076,013	2,717,726,342	269,349,671	200,000,000	297,154,890	255,172,516	41,982,374	20,000,000	707,022,562	448,363,349	258,659,213	40,000,000	0
52	49	RBS SECURITIES INC	FCM BD	CBOT	06/30/2017	613,211,828	38,168,936	575,042,892	779,796,079	576,292,734	203,503,345	125,000,000	154,660,331	27,700,593	126,959,738	100,000,000	0	0	0	0	0
53	50	RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	06/30/2017	207,238,673	149,241,167	57,997,506	3,985,768,176	3,894,390,947	91,377,229	50,000,000	251,509,584	225,537,972	25,971,612	20,000,000	0	0	0	0	0
54	51	ROSENTHAL COLLINS GROUP LLC	FCM	CME	06/30/2017	75,281,057	51,127,717	24,153,340	1,204,296,889	1,180,495,215	23,801,674	18,000,000	42,466,655	31,560,961	10,905,694	8,000,000	0	0	0	0	0
55	52	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	06/30/2017	695,584,400	19,595,357	675,989,043	674,785,430	665,100,170	9,685,260	8,000,000	0	0	0	0	0	0	0	0	0
56	53	SCOTIA CAPITAL USA INC	FCM BD	CME	06/30/2017	685,691,759	48,046,687	637,645,072	0	0	0	0	0	0	0	0	0	0	0	0	0
57	54	SG AMERICAS SECURITIES LLC	FCM BD	CME	06/30/2017	4,183,385,909	1,169,542,680	3,013,843,229	13,190,154,307	12,334,078,060	856,076,247	500,000,000	4,876,972,192	4,256,905,629	620,066,563	255,000,000	617,286,494	368,793,882	248,492,612	75,000,000	0
58	55	STRAITS FINANCIAL LLC	FCM	CME	06/30/2017	21,534,409	7,566,085	13,968,324	219,461,554	204,427,873	15,033,681	2,000,000	35,708,106	29,930,703	5,777,403	300,000	0	0	0	0	0
59	56	TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	06/30/2017	70,860,542	22,488,535	48,372,007	314,300,978	219,988,176	94,312,802	25,000,000	0	0	0	0	0	0	0	0	59,770,708
60	57	TRADESTATION SECURITIES INC	FCM BD	CME	06/30/2017	78,285,900	3,540,704	74,745,196	536,220,736	524,559,870	11,660,866	9,000,000	27,988,858	24,710,280	3,278,578	800,000	0	0	0	0	0
61	58	UBS FINANCIAL SERVICES INC	FCM BD	NFA	06/30/2017	1,351,169,445	123,616,508	1,227,552,937	122,347,118	88,665,727	33,681,391	22,000,000	53,733,956	10,661,133	43,072,823	15,000,000	0	0	0	0	0
62	59	UBS SECURITIES LLC	FCM BD	CBOT	06/30/2017	5,747,943,825	817,278,085	4,930,665,740	7,793,941,928	7,293,369,228	500,572,700	425,000,000	3,998,704,729	3,621,538,255	377,166,474	300,000,000	945,715,201	673,227,878	272,487,323	200,000,000	0
63	60	UOBBF CLEARING LIMITED	FCM	CME	06/30/2017	26,153,766	4,975,480	21,178,286	133,212,668	109,286,693	23,925,975	2,000,000	0	0	0	0	0	0	0	0	0
64	61	WEDBUSH SECURITIES INC	FCM BD	CBOT	06/30/2017	171,061,137	23,032,170	148,028,967	1,453,821,968	1,436,315,415	17,506,553	13,000,000	20,123,150	17,245,648	2,877,502	1,000,000	0	0	0	0	0
65	62	WELLS FARGO SECURITIES LLC	FCM BD	CME	06/30/2017	8,428,574,791	836,864,742	7,591,710,049	3,906,736,794	3,611,641,099	295,095,695	225,000,000	379,931,008	315,570,469	64,360,539	25,000,000	7,513,838,372	6,883,012,680	630,825,692	500,000,000	0
66	63	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	06/30/2017	4,903,882	1,000,000	3,903,882	43,395,368	39,042,216	4,353,152	2,000,000	1,959,204	1,459,266	499,938	200,000	0	0	0	0	0
67																					
68		**Totals**							**159,688,748,436**	**150,230,245,780**	**9,458,502,656**	**6,093,248,220**	**47,582,352,942**	**43,164,458,167**	**4,417,894,775**	**2,698,627,996**	**87,912,468,763**	**81,884,612,553**	**6,027,856,210**	**3,606,062,207**	**530,993,835**
69																					
70		**May Web Page Update**	**64**																		
71																					
72		**Additions**	**0**																		
73		None																			
74																					
75		**Deletions**	**1**																		
76		MUFG SECURITIES AMERICAS INC																			
77																					
78		**Name Changes**																			
79		None																			
80																					
81		**June Web Page Update**	**63**																		
82																					
83																					

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.

BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.

RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.

FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.

SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

(c): A firm's net capital requirement is the greater of:

- FCM minimum dollar amount ($1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000.

(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.

(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.

(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.

(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.

(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.

(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.

(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.

(l): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.

(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.

(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.