

SELECTED FCM FINANCIAL DATA AS OF

March 31, 2015

FROM REPORTS FILED BY

April 26, 2015

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
1	2		(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	3/31/2015	671,997,605	191,283,975	480,713,630	3,230,011,277	2,909,602,252	320,409,025	147,461,546	167,440,882	120,592,974	46,847,908	18,088,946	0	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	3/31/2015	293,401,277	164,253,898	129,147,379	3,952,059,002	3,677,332,920	274,726,082	120,000,000	239,971,471	179,917,521	60,053,950	20,000,000	13,148,478	1,675,033	11,473,445	8,000,000	0
6	3	ADVANTAGE FUTURES LLC	FCM	CME	3/31/2015	24,225,860	14,197,723	10,028,137	555,566,717	540,478,755	15,087,962	8,500,000	32,505,131	30,537,711	1,967,420	1,000,000	0	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	3/31/2015	2,679,417	1,500,000	1,179,417	54,428,255	52,614,279	1,813,976	900,000	1,275,985	856,511	419,474	150,000	0	0	0	0	0
8	5	BARCLAYS CAPITAL INC	FCM BD	NYME	3/31/2015	7,379,992,712	1,415,419,658	5,964,573,054	6,754,182,958	6,036,525,748	717,657,210	332,008,916	2,847,615,390	2,597,917,499	249,697,891	130,415,458	6,787,087,626	5,826,506,503	960,581,123	209,171,583	0
9	6	BGC FINANCIAL LP	FCM BD	NFA	3/31/2015	58,925,594	2,460,174	56,465,420	0	0	0	0	0	0	0	0	0	0	0	0	0
10	7	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	3/31/2015	3,127,830,885	247,780,632	2,880,050,253	3,091,520,152	2,776,822,298	314,697,854	277,682,230	41,332,619	28,260,121	13,072,498	10,000,000	10,363,668	2,263,877	8,099,791	5,000,000	0
11	8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	3/31/2015	1,752,448,629	275,287,439	1,477,161,190	1,061,528,175	856,339,747	205,188,428	171,267,949	40,643,510	25,231,105	15,412,405	2,523,111	955,446,941	819,175,327	136,271,614	81,917,533	0
12	9	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	3/31/2015	12,776,923	1,000,000	11,776,923	203	0	203	1	184	0	184	1	6	0	6	1	0
13	10	CANTOR FITZGERALD & CO	FCM BD	CBOT	3/31/2015	236,945,557	7,668,138	229,277,419	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
14	11	CHS HEDGING LLC	FCM	CBOT	3/31/2015	28,607,620	9,936,922	18,670,698	168,585,167	142,095,879	26,489,288	13,100,000	1,711,870	292,823	1,419,047	545,000	0	0	0	0	0
15	12	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	3/31/2015	6,154,011,889	1,346,986,594	4,807,025,295	7,362,244,771	6,976,448,676	385,796,095	349,700,000	946,203,910	760,153,838	186,050,072	150,000,000	6,669,420,650	6,439,454,496	229,966,154	203,775,000	0
16	13	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	3/31/2015	8,517,950,171	2,250,188,859	6,267,761,312	9,442,189,907	8,416,610,641	1,025,579,266	420,830,532	3,753,923,878	3,091,080,589	662,843,289	154,554,029	14,258,564,895	11,911,550,993	2,347,013,902	595,577,550	0
17	14	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	3/31/2015	5,223,895	1,278,768	3,945,127	71,120,466	66,830,104	4,290,362	3,050,000	343,451	57,601	285,850	100,000	0	0	0	0	0
18	15	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	3/31/2015	514,805,022	9,048,074	505,756,948	5,455,734	0	5,455,734	1	539,709	0	539,709	1	0	0	0	0	0
19	16	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	3/31/2015	11,523,668,494	812,695,357	10,710,973,137	3,286,758,399	3,004,798,812	281,959,587	200,000,000	1,090,334,425	898,971,737	191,362,688	150,000,000	2,214,822,416	1,897,199,060	317,623,356	250,000,000	0
20	17	DORMAN TRADING LLC	FCM	CME	3/31/2015	10,092,588	2,286,145	7,806,443	175,092,514	170,824,049	4,268,465	2,000,000	3,043,908	2,335,797	708,111	200,000	0	0	0	0	0
21	18	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	3/31/2015	56,551,764	24,544,109	32,007,655	696,901,116	675,645,544	21,255,572	14,000,000	48,302,417	45,879,372	2,423,045	2,000,000	0	0	0	0	0
22	19	E TRADE CLEARING LLC	FCM BD	NFA	3/31/2015	810,389,192	178,591,299	631,797,893	59,453,166	45,477,144	13,976,022	10,000,000	1,438,738	429,670	1,009,068	1,000,000	0	0	0	0	0
23	20	EFL FUTURES LIMITED	FCM	CME	3/31/2015	32,978,875	15,591,533	17,387,342	226,120,770	213,164,641	12,956,129	5,000,000	0	0	0	0	0	0	0	0	0
24	21	FCSTONE LLC	FCM	CME	3/31/2015	126,263,856	64,054,360	62,209,496	1,781,535,381	1,734,172,061	47,363,320	24,000,000	62,281,887	44,010,898	18,270,989	8,000,000	0	0	0	0	0
25	22	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	3/31/2015	70,967,475	28,346,041	42,621,434	0	0	0	0	0	0	0	0	0	0	0	0	176,920,821
26	23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	3/31/2015	45,849,558	24,994,499	20,855,059	238,906,615	223,712,276	15,194,339	2,500,000	5,930,794	3,092,967	2,837,827	312,500	0	0	0	0	109,889,984
27	24	GH FINANCIALS LLC	FCM	CME	3/31/2015	15,579,266	3,691,595	11,887,671	71,846,774	58,135,924	13,710,850	2,500,000	299,740	1,290	298,450	75,000	0	0	0	0	0
28	25	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	3/31/2015	15,807,275,107	2,618,101,923	13,189,173,184	22,545,554,717	22,033,574,922	511,979,745	475,000,000	11,888,505,142	11,319,539,628	568,965,514	475,000,000	3,815,972,392	3,429,448,400	386,523,992	350,000,000	0
29	26	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	3/31/2015	1,755,768,284	164,009,599	1,591,758,685	1,249,252,032	490,050,867	759,201,165	540,000,000	68,954,089	19,171,451	49,782,638	40,000,000	0	0	0	0	0
30	27	HSBC SECURITIES USA INC	FCM BD	CME	3/31/2015	983,458,521	262,334,536	721,123,985	1,096,341,789	1,005,201,693	91,140,096	50,000,000	194,574,010	150,137,489	44,436,521	36,680,354	1,195,830,335	1,042,218,664	153,611,671	30,000,000	0
31	28	IBFX INC	RFED SD	NFA	3/31/2015	36,739,856	22,031,898	14,707,958	0	0	0	0	0	0	0	0	0	0	0	0	47,957,466
32	29	INTERACTIVE BROKERS LLC	FCM BD	NFA	3/31/2015	2,457,319,651	264,495,354	2,192,824,297	2,694,567,850	2,448,248,685	246,319,165	155,000,000	560,443,201	460,346,957	100,096,244	80,000,000	0	0	0	0	53,625,685
33	30	IRONBEAM INC	FCM	NFA	3/31/2015	2,255,764	1,000,000	1,255,764	41,020,982	1,256,649	1,274,083	800,000	146,023	74,825	71,198	50,000	0	0	0	0	0
34	31	ITG DERIVATIVES LLC	FCM BD	NFA	3/31/2015	2,365,692	1,000,000	1,365,692	0	0	0	0	0	0	0	0	0	0	0	0	0
35	32	JEFFERIES LLC	FCM BD	CBOT	3/31/2015	863,937,672	121,896,236	742,041,436	2,010,743,518	1,899,016,337	111,727,181	90,000,000	211,690,315	142,530,310	69,160,005	50,000,000	18,340,466	6,432,441	11,908,025	5,000,000	0
36	33	JP MORGAN CLEARING CORP	FCM BD	NFA	3/31/2015	8,058,471,290	1,854,649,770	6,203,821,520	1,008,867,801	659,010,901	349,856,900	59,310,981	727,222,302	542,041,320	185,180,982	48,783,719	250,000	0	250,000	1	0
37	34	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	3/31/2015	15,368,120,256	2,471,210,010	12,896,910,246	20,782,331,234	18,745,052,511	2,037,278,723	1,687,054,726	4,007,053,359	3,444,927,613	562,125,746	310,043,485	6,611,657,469	5,947,321,154	664,336,315	535,258,904	0
38	35	MACQUARIE FUTURES USA LLC	FCM	CBOT	3/31/2015	187,314,122	159,947,623	27,366,499	1,953,597,559	1,794,421,647	159,175,912	90,000,000	20,414,347	5,565,498	14,848,849	389,585	21,965,986	3,657,851	18,308,135	256,050	0
39	36	MAREX NORTH AMERICA LLC	FCM	CME	3/31/2015	20,961,260	13,681,730	7,279,530	185,029,538	180,781,327	4,248,211	3,500,000	37,768,105	36,372,878	1,395,227	1,000,000	0	0	0	0	0
40	37	MB TRADING FUTURES INC	RFED	NFA	3/31/2015	24,360,779	20,460,934	3,899,845	0	0	0	0	0	0	0	0	0	0	0	0	19,218,689
41	38	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	3/31/2015	13,287,879	3,854,955	9,432,924	961,530,189	940,852,992	20,677,197	18,000,000	2,417,861	0	2,417,861	400,000	0	0	0	0	0
42	39	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	3/31/2015	10,490,964,668	1,520,044,122	8,970,920,546	12,262,465,364	11,816,626,793	445,838,571	200,000,000	3,186,413,457	2,984,993,723	201,419,734	150,000,000	3,988,003,789	3,671,697,912	316,305,877	150,000,000	0
43	40	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	3/31/2015	3,259,332,810	518,119,250	2,741,213,560	1,267,792,215	680,725,508	587,066,707	300,000,000	4,336,935	625,062	3,711,873	1,000,000	0	0	0	0	0
44	41	MID CO COMMODITIES INC	FCM	NFA	3/31/2015	8,026,349	3,637,090	4,389,259	41,247,189	33,050,627	8,196,562	5,000,000	0	0	0	0	0	0	0	0	0
45	42	MINI BROTHERS	FCM BD	NFA	3/31/2015	7,086,133	1,000,000	6,086,133	0	0	0	0	0	0	0	0	0	0	0	0	0
46	43	NET MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	3/31/2015	373,633,123	1,430,855	372,202,268	0	0	0	0	0	0	0	0	0	0	0	0	0
47	44	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	3/31/2015	520,872,796	253,400,779	267,472,017	3,129,629,841	2,924,378,212	205,251,629	135,000,000	723,188,420	622,091,237	101,097,183	70,000,000	27,427,297	1,860,853	25,566,444	15,000,000	0
48	45	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	3/31/2015	6,529,599,949	1,828,285,966	4,701,313,983	12,211,452,818	12,007,446,006	204,006,812	105,000,000	3,475,332,472	3,267,075,283	208,257,189	105,000					

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		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
			(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
87		<b>Deletions</b>	1																		
88		VISION FINANCIAL MARKETS LLC																			
90		<b>Name Changes</b>																			
91		NONE																			
93		<b>March Web Page Update</b>	74																		
95	(a):	FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.																			
96	BD:	The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.																			
97	RFED:	Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.																			
98	FCMRFD:	The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																			
99	SD:	The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																			
100	(b):	DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																			
103	(c):	A firm's net capital requirement is the greater of:																			
105		• FCM minimum dollar amount (\$1,000,000); or																			
106		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or																			
107		• the amount of capital required by a registered futures association (currently NFA is the only such association); or																			
108		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or																			
109		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or																			
110		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																			
112	(d):	Excess net capital is adjusted net capital, less the firm's net capital requirement.																			
114	(e):	This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																			
116	(f):	This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																			
118	(g):	Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.																			
120	(h):	This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.																			
122	(i):	This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.																			
124	(j):	This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.																			
126	(k):	Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.																			
128	(l):	This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.																			
130	(m):	This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.																			
132	(n):	This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.																			
134	(o):	Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.																			
136	(p):	This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.																			
138	(q):	This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.																			