

SELECTED FCM FINANCIAL DATA AS OF
 March 31, 2014
 FROM REPORTS FILED BY
 May 01, 2014

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation	
			(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)		
1																						
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6	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	3/31/2014	493,915,910	132,808,342	361,107,568	2,590,908,549	2,349,766,712	241,141,837	113,599,399	192,934,716	149,168,150	43,766,566	22,375,222	0	0	0	0	0	
7	2	ADM INVESTOR SERVICES INC	FCM	CBOT	3/31/2014	284,807,244	180,636,136	104,171,108	3,404,513,830	3,154,696,910	249,816,920	120,000,000	232,222,874	168,519,262	63,703,612	20,000,000	15,340,891	5,331,927	10,008,964	8,000,000	0	
8	3	ADVANTAGE FUTURES LLC	FCM	CME	3/31/2014	22,770,679	10,859,743	11,910,936	406,143,287	392,623,062	13,520,225	3,500,000	47,539,637	45,039,261	2,500,376	1,000,000	0	0	0	0	0	
9	4	ALPARI (US) LLC	FCM	NFA	3/31/2014	3,657,586	1,000,000	2,657,586	0	0	0	0	0	0	0	0	0	0	0	0	32,249	
10	5	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	3/31/2014	164,474,857	1,000,000	163,474,857	0	0	0	0	0	0	0	0	0	0	0	0	0	
11	6	AMP GLOBAL CLEARING LLC	FCM	NFA	3/31/2014	2,507,060	1,500,000	1,007,060	55,506,831	53,955,411	1,551,420	900,000	1,048,062	445,198	602,864	150,000	0	0	0	0	0	
12	7	BARCLAYS CAPITAL INC	FCM BD	NYME	3/31/2014	6,758,550,387	1,381,346,411	5,377,203,976	6,983,281,218	6,333,355,998	649,925,220	215,334,104	4,231,964,712	4,018,978,924	212,985,788	144,683,324	6,054,946,654	5,092,979,386	961,967,268	468,554,103	0	
13	8	BGC FINANCIAL LP	FCM BD	NFA	3/31/2014	58,682,470	2,367,545	56,314,925	0	0	0	0	0	0	0	0	0	0	0	0	0	
14	9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	3/31/2014	3,320,001,763	259,929,755	3,060,072,008	3,639,984,543	3,269,679,148	370,305,395	326,967,915	20,982,555	2,520,003	18,462,552	10,000,000	5,100,000	0	5,100,000	5,000,000	0	
15	10	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	3/31/2014	1,696,822,476	198,126,480	1,498,695,996	1,259,602,428	1,019,413,438	240,188,990	203,882,688	33,349,126	10,044,852	23,304,274	1,004,485	228,625,126	168,257,222	60,367,904	16,825,722	0	
16	11	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	3/31/2014	4,044,586	1,000,000	3,044,586	0	0	0	0	0	0	0	0	0	0	0	0	0	
17	12	CANTOR FITZGERALD & CO	FCM BD	CBOT	3/31/2014	314,331,440	9,316,913	305,014,527	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0	
18	13	CAPITAL MARKET SERVICES LLC	FCM	NFA	3/31/2014	1,358,780	1,000,000	358,780	0	0	0	0	0	0	0	0	0	0	0	0	0	
19	14	CHS HEDGING INC	FCM	CBOT	3/31/2014	22,817,241	16,359,978	6,457,263	169,443,141	144,553,772	24,889,369	13,100,000	953,839	233,201	720,638	235,000	1,338,712	697,791	640,921	310,000	0	
20	15	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	3/31/2014	5,741,894,637	929,564,976	4,812,329,661	5,314,224,357	5,071,411,051	242,813,306	242,000,000	1,089,299,097	897,709,858	191,589,239	180,000,000	4,786,121,178	4,472,529,168	313,592,010	272,889,800	0	
21	16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	3/31/2014	8,011,241,341	2,186,374,500	5,824,866,841	9,305,515,680	7,875,051,376	1,430,464,304	393,752,569	3,565,050,685	2,807,512,197	757,538,488	140,375,610	7,063,607,400	5,110,803,697	1,952,803,703	255,540,185	0	
22	17	CROSSLAND LLC	FCM	CBOT	3/31/2014	9,862,268	1,609,168	8,253,100	81,616,916	0	81,616,916	1,000,000	6,757,132	5,764,781	992,351	500,000	0	0	0	0	0	
23	18	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	3/31/2014	4,512,161	1,265,263	3,246,898	68,399,951	65,373,069	3,026,882	2,050,000	566,469	352,568	213,901	100,000	0	0	0	0	0	
24	19	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	3/31/2014	557,326,538	9,384,678	547,941,860	9,473,884	3,993,749	5,480,135	3,993,749	628,328	0	628,328	1	0	0	0	0	0	
25	20	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	3/31/2014	8,400,590,364	692,720,673	7,707,869,691	13,953,910,719	13,417,132,764	536,777,955	500,000,000	1,279,919,636	983,028,230	296,891,406	200,000,000	1,533,551,843	1,219,619,536	313,932,307	250,000,000	0	
26	21	DORMAN TRADING LLC	FCM	CME	3/31/2014	11,790,658	1,637,611	10,153,047	140,374,552	136,755,472	3,619,080	2,000,000	3,086,229	2,713,145	373,084	200,000	0	0	0	0	0	
27	22	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	3/31/2014	32,739,311	10,715,598	22,023,713	275,159,234	259,587,956	15,571,278	2,000,000	7,757,970	6,077,464	1,680,506	303,873	0	0	0	0	0	
28	23	E TRADE CLEARING LLC	FCM BD	NFA	3/31/2014	726,231,153	160,065,844	566,165,309	49,585,248	37,974,838	11,610,410	10,000,000	1,813,279	805,480	1,007,799	1,000,000	0	0	0	0	0	
29	24	EFL FUTURES LIMITED	FCM	CME	3/31/2014	38,519,430	17,399,073	21,120,357	254,595,445	241,659,649	12,935,796	5,000,000	0	0	0	0	0	0	0	0	0	
30	25	FCSTONE LLC	FCM	CME	3/31/2014	125,610,618	86,518,542	39,092,076	1,864,800,077	1,838,182,885	26,617,192	20,000,000	64,863,029	48,130,435	16,732,594	14,000,000	0	0	0	0	0	
31	26	FIXI AMERICAS LLC	FCM	NFA	3/31/2014	1,525,948	1,000,000	525,948	0	0	0	0	0	0	0	0	0	0	0	0	0	
32	27	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	3/31/2014	65,387,135	27,722,022	37,665,113	0	0	0	0	0	0	0	0	0	0	0	0	164,440,447	
33	28	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	3/31/2014	3,083,761	1,000,000	2,083,761	3,576,877	2,519,369	1,057,508	1,000,000	1,109,759	90,839	1,018,920	1,000,000	0	0	0	0	0	
34	29	FRONTIER FUTURES INC	FCM	NFA	3/31/2014	1,795,587	1,000,000	795,587	24,442,612	23,375,042	1,067,570	311,275	0	0	0	0	0	0	0	0	0	
35	30	FXDIRECTDEALER LLC	FCMRFD SD	NFA	3/31/2014	21,632,789	20,854,997	777,792	0	0	0	0	0	0	0	0	0	0	0	0	27,099,950	
36	31	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	3/31/2014	35,407,238	24,700,743	10,706,495	165,424,189	157,539,011	7,885,178	2,500,000	7,821,971	6,407,901	1,414,070	312,500	0	0	0	0	104,014,868	
37	32	GH FINANCIALS LLC	FCM	CME	3/31/2014	10,154,580	1,000,000	9,154,580	14,357,585	0	14,357,585	575,000	850,033	588,335	0	75,000	0	0	0	0	0	
38	33	GLOBAL FUTURES & FOREX LTD	FCMRFD SD	NFA	3/31/2014	6,996,486	1,000,000	5,996,486	0	0	0	0	0	0	0	0	0	0	0	0	0	
39	34	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	3/31/2014	17,423,440,098	2,224,356,280	15,199,083,818	21,315,167,042	20,760,070,476	555,096,566	475,000,000	9,359,606,371	8,747,389,238	612,217,133	475,000,000	2,603,635,046	2,311,350,437	292,284,609	150,000,000	0	
40	35	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	3/31/2014	1,658,476,939	122,563,239	1,535,913,700	1,535,913,700	739,308,918	796,606,782	540,000,000	592,692,354	68,236,732	46,676,430	40,000,000	0	0	0	0	0	
41	36	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	3/31/2014	68,775,122	1,550,662	67,224,460	0	0	0	0	0	0	0	0	0	0	0	0	0	
42	37	HSBC SECURITIES USA INC	FCM BD	CME	3/31/2014	988,168,809	151,400,555	836,768,254	1,168,657,669	1,076,370,275	92,287,394	50,000,000	153,279,597	97,317,785	55,961,812	19,463,557	313,859,812	257,585,126	56,274,686	30,000,000	0	
43	38	IBFX INC	RFED SD	NFA	3/31/2014	28,068,418	23,064,453	5,003,965	0	0	0	0	0	0	0	0	0	0	0	0	68,161,797	
44	39	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	3/31/2014	33,436,064	20,000,000	13,436,064	47,181,838	45,920,368	1,261,470	500,000	713,203	252,527	460,676	250,000	0	0	0	0	8,248,733	
45	40	INTERACTIVE BROKERS LLC	FCM BD	NFA	3/31/2014	2,085,722,456	313,094,969	1,772,627,487	2,295,777,245	2,092,092,210	203,685,035	150,000,000	408,164,514	306,728,848	101,435,666	80,000,000	0	0	0	0	40,012,327	
46	41	IRONBEAM INC	FCM	NFA	3/31/2014	2,311,465	1,000,000	1,311,465	33,816,107	32,463,209	1,352,898	800,000	111,513	4,063	107,450	50,000	0	0	0	0	0	
47	42	ITG DERIVATIVES LLC	FCM BD	NFA	3/31/2014	4,236,699	1,000,000	3,236,699	0	0	0	0	0	0	0	0	0	0	0	0	0	
48	43	JEFFERIES BACHE LLC	FCM	CBOT	3/31/2014	208,259,000	132,525,760	75,733,240	2,300,177,000	2,193,813,000	106,364,000	90,000,000	221,333,000	154,404,000	66,929,000	50,000,000	49,575,000	29,147,000	20,428,000	5,000,000	0	
49	44	JP MORGAN CLEARING CORP	FCM BD	NFA	3/31/2014	7,376,738,587	1,920,800,137	5,455,938,450	935,312,593	616,782,215	318,530,378	55,510,399	550,236,787	505,236,787	212,993,071	30,351,935	250,000	0	250,000	0	0	
50	45	JP MORGAN SECURITIES LLC	FCM BD SD	CE/NFA	3/31/2014	12,425,282,785	2,273,961,623	10,151,321,162	19,986,839,325	17,888,560,527	2,098,278,798	1,609,970,447	3,562,691,872	3,159,995,302	402,696,570	284,399,577	3,881,312,273	3,324,750,392	556,561,881	299,227,535	1	
51	46	KCG AMERICAS LLC	FCM BD	CME	3/31/2014	406,54																

