SELECTED FCM FINANCIAL DATA AS OF February 28, 2017 FROM REPORTS FILED BY March 26, 2017

A B	С	D	E	F	G	Н	I	J	K	L	М	N	0	Р	Q	R	S	T	U
Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap	Customer Amount Cleared Swap Seg	Excess/Deficient Funds in Cleared Swap Seg	Target Residual Interest in Cleared Swap	Total Amount of Retail Forex Obligation
_2	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	30.7 Accounts (k)	(1)	Segregation (m)	Required (n)	Accounts (o)	Sea (p)	(q)
4 1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CROT	2/28/2017	603,866,237	188,919,870	414,946,367	3,299,153,251	2,956,501,038	342,652,213	174,252,674	130,682,667	107,051,878	23,630,789	10,705,188	0	0	0	0	0
5 2 ADM INVESTOR SERVICES INC	FCM		2/28/2017	308,839,050	204,221,778	104,617,272	4,730,905,140	4,491,367,548	239,537,592	120,000,000	408,622,782	349,918,101	58,704,681	20,000,000		1,366,748	9,812,951	8,000,000	0
6 3 ADVANTAGE FUTURES LLC	FCM	CME	2/28/2017	24,393,730	16,457,545	7,936,185	604,171,948	589,578,918	14,593,030	9,000,000	43,081,088	41,281,264	1,799,824	1,000,000		0	0	0	0
7 4 AMP GLOBAL CLEARING LLC 8 5 APERTURE LLC	FCM FCM		2/28/2017 2/28/2017	2,685,837 25,472,837	1,500,000 1,000,000	1,185,837 24,472,837	54,818,269 7,721,440	51,935,206 5,657,676	2,883,063 2,063,764	900,000 300,000	1,908,137	1,554,184	353,953	150,000	0	0	0	0	0
9 6 BARCLAYS CAPITAL INC	FCM BD	NYME	2/28/2017	7,524,033,808	1,237,421,232	6,286,612,576	6,449,954,650	5,867,291,269	582,663,381	322,701,020	3,862,424,958	3,431,224,536	431,200,422	172,247,472	5,805,068,763	4,982,253,263	822,815,500	197,795,455	0
10 7 BGC FINANCIAL LP 11 8 BNP PARIBAS PRIME BROKERAGE INC	FCM BD FCM BD		2/28/2017 2/28/2017	46,327,676 1,508,874,285	3,145,087 227,713,826	43,182,589 1,281,160,459	2,798,256,467	2.610.083.638	188,172,829	150,000,000	33,594,344	24,065,610	9,528,734	7,500,000	35.831.540	16,704,967	19,126,573	15,000,000	0
12 9 BNP PARIBAS SECURITIES CORP	FCM BD		2/28/2017	1,614,794,967	278,426,628	1,336,368,339	1,276,563,388	1,172,711,969	103,851,419	82,500,000	102,913,128	74,075,466	28,837,662	22,500,000		647,345,225	134,138,233	97,500,000	0
13 10 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	2/28/2017	8,232,527	1,000,000	7,232,527	16,704,257	15,087,632	1,616,625	1,000,000	6	0	6	1	. 6	0	6	1	0
14 11 CANTOR FITZGERALD & CO 15 12 CHS HEDGING LLC	FCM BD FCM		2/28/2017 2/28/2017	257,680,354 39,293,801	10,133,358 9,244,609	247,546,996 30,049,192	4,969,921 190,078,270	118,977,884	4,969,921 71,100,386	3,000,000 13,100,000	1,669,715	143,140	1,526,575	545,000	503,912	0	503,912	310,000	0
16 13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	2/28/2017	10,763,338,868	1,995,606,328	8,767,732,540	9,622,044,534	9,166,633,773	455,410,761	335,300,000	1,668,102,049	1,424,533,569	243,568,480	150,000,000	16,132,567,873	15,734,689,154	397,878,719	301,675,000	0
17 14 CREDIT SUISSE SECURITIES (USA) LLC 18 15 CUNNINGHAM COMMODITIES LLC	FCM BD FCM		2/28/2017 2/28/2017	9,749,751,084	1,556,538,162 1,315,127	8,193,212,922 3,876,879	6,853,816,520 64,235,935	6,455,840,711 60,445,157	397,975,809 3,790,778	322,792,036 3,050,000	3,256,422,953 176,142	3,013,831,345 15,528	242,591,608 160,614	150,691,567		12,164,507,998	711,685,437	608,225,400	0
19 16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD		2/28/2017	5,192,006 627,851,575	7,779,128	620,072,447	2,167,160	00,443,137	2,167,160	3,030,000	546,866	15,526	546,866	50,000	. 0	0	0	0	0
20 17 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	2/28/2017	12,197,773,862	575,603,409	11,622,170,453	3,471,723,036	3,271,594,357	200,128,679	125,000,000	782,573,826	657,149,665	125,424,161	75,000,000		410,656,211	200,141,611	100,000,000	0
21 18 DORMAN TRADING LLC 22 19 E D & F MAN CAPITAL MARKETS INC	FCM FCM BD		2/28/2017 2/28/2017	11,240,670 126,354,546	2,563,981 58,021,490	8,676,689 68,333,056	189,544,762 1,564,517,225	185,598,089 1,505,219,226	3,946,673 59,297,999	2,000,000 35,000,000	4,245,296 57,655,853	3,736,877 50,176,089	508,419 7,479,764	200,000 5,000,000		1,766,015	1,137,850	500,000	0
23 20 E TRADE SECURITIES LLC	FCM BD	NFA	2/28/2017	964,569,291	167,099,054	797,470,237	74,107,629	56,147,313	17,960,316	10,000,000	1,351,629	242,415	1,109,214	1,000,000		1,700,013	0	0	0
24 21 EFL FUTURES LIMITED	FCM FCMRFD	CME NFA	2/28/2017	38,704,437	17,534,843	21,169,594	233,471,170 274,206,673	220,055,290	13,415,880	5,000,000 10,000,000	0	3,165,689	1 561 300	1,000,000	0	0	0	0	271 410 127
25 22 GAIN CAPITAL GROUP LLC 26 23 GH FINANCIALS LLC	FCMRFD		2/28/2017 2/28/2017	50,712,422 21,781,540	34,217,253 6,444,783	16,495,169 15,336,757	109,392,465	257,187,141 98,347,453	17,019,532 11,045,012	6,000,000	4,726,989 2,777,897	1,702,583	1,561,300 1,075,314	550,000		0	0	0	271,410,137 0
27 24 GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	2/28/2017	17,675,130,660	2,619,256,227	15,055,874,433	24,851,690,337	23,778,718,615	1,072,971,722	875,000,000	12,017,224,936	11,415,310,446	601,914,490	475,000,000	4,822,940,128	4,326,863,489	496,076,639	350,000,000	0
28 25 HSBC SECURITIES USA INC 29 26 INTERACTIVE BROKERS LLC	FCM BD FCM BD		2/28/2017	1,052,652,286 3,300,868,560	235,682,333 301,541,550	816,969,953 2,999,327,010	1,235,106,200 3,402,940,893	1,155,666,012 3,164,219,049	79,440,188	70,000,000 155,000,000	211,629,521 480,948,931	171,724,089 358,824,650	39,905,432 122,124,281	25,000,000 80,000,000	1,212,743,477	1,097,814,495	114,928,982	100,000,000	33,633,836
30 27 INTL FCSTONE FINANCIAL INC	FCM BD	CME	2/28/2017 2/28/2017	130,593,894	83,874,207	46,719,687	2,101,090,583	2,048,479,575	238,721,844 52,611,008	35,000,000	134,558,917	118,764,128	15,794,789	8,000,000	0	0	0	0	33,033,630
31 28 IRONBEAM INC	FCM		2/28/2017	3,548,511	1,541,033	2,007,478	71,586,745	70,236,887	1,349,858	800,000	713,303	515,897	197,406	50,000		0	0	0	0
32 29 JEFFERIES LLC 33 30 JP MORGAN SECURITIES LLC	FCM BD SD	NFA CEI/NFA	2/28/2017 2/28/2017	1,359,023,177 14,181,893,879	81,622,202 2,939,001,190	1,277,400,975 11,242,892,689	20,029,601,153	18,392,770,263	1,636,830,890	1,011,602,364	5,087,879,664	4,589,569,604	498,310,060	390,113,416	11,274,084,550	10,326,841,665	947,242,885	0 645,427,604	0
34 31 MACQUARIE FUTURES USA LLC	FCM		2/28/2017	263,655,133	152,578,530	111,076,603	2,056,526,375	1,850,309,157	206,217,218	100,000,000	38,307,373	19,235,241	19,072,132	7,000,000	28,388,188	9,714,704		1,000,000	0
35 32 MAREX NORTH AMERICA LLC	FCM		2/28/2017	33,526,912	21,553,841	11,973,071	277,299,023	271,434,315	5,864,708	5,200,000	53,545,034	52,191,456	1,353,578	1,000,000	0	0	0	0	0
_36 33 MCVEAN TRADING & INVESTMENTS LLC 37 34 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM FCM BD	NFA CBOT	2/28/2017 2/28/2017	13,882,569 11,293,231,858	1,203,690 1,799,115,147	12,678,879 9,494,116,711	759,298,319 14.912.914.123	738,213,737 14.622,502,843	21,084,582 290,411,280	18,000,000 200,000,000	2,417,861 4,168,572,670	3.868.235.215	2,417,861 300,337,455	1,000,000 150,000,000	5,560,667,426	5.027.792.771	532,874,655	150,000,000	0
38 35 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	2/28/2017	3,684,957,800	513,973,886	3,170,983,914	1,873,842,237	1,072,575,466	801,266,771	300,000,000	0	0	0	0	0	0	0	0	0
39 36 MID CO COMMODITIES INC 40 37 MINT BROKERS	FCM FCM BD	NFA NFA	2/28/2017 2/28/2017	8,378,395 5,068,106	3,824,666 1,000,000	4,553,729 4,068,106	34,500,677	25,364,363	9,136,314	5,138,000	0	0	0	0	0	0	0	0	0
41 38 MIZUHO SECURITIES USA INC	FCM BD SD		2/28/2017	570,811,745	244,918,106	325,893,639	2,838,496,898	2,637,629,531	200,867,367	125,000,000	1,231,263,849	1,104,891,410	126,372,439	70,000,000	33,332,798	3,305,629	30,027,169	15,000,000	0
42 39 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA		9,967,793,181	2,210,075,685	7,757,717,496	16,077,344,961	15,804,681,380	272,663,581	210,000,000	4,862,850,681	4,655,848,267	207,002,414	160,000,000	12,549,585,117	12,432,815,083	116,770,034	92,000,000	0
43 40 MUFG SECURITIES AMERICAS INC 44 41 NANHUA USA LLC	FCM BD FCM	NFA CME	2/28/2017 2/28/2017	401,609,047 19,091,243	1,024,555 4,587,029	400,584,492 14,504,214	102,767,409	90,173,182	12,594,227	3,500,000	0	0	0	0	0	0	0	0	0
45 42 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	2/28/2017	1,933,796,245	78,001,978	1,855,794,267	293,391,745	191,506,062	101,885,683	15,000,000	17,185,666	87,987	17,097,679	1,000,000	0	0	0	0	0
46 43 OANDA CORPORATION 47 44 OPTIONSXPRESS INC	FCMRFD FCM BD	NFA NFA	2/28/2017 2/28/2017	69,862,480 277,480,967	50,707,368 7,182,881	19,155,112 270,298,086	138,956,707	94,244,968	44,711,739	14,136,745	2,622,163	0 1,138,316	0 1,483,847	0 170,747	0	0	0	0	147,849,819
48 45 PHILLIP CAPITAL INC	FCM BD	CME	2/28/2017	30,273,196	13,232,805	17,040,391	208,000,966	197,615,987	10,384,979	9,880,799	35,405,245	27,429,934	7,975,311	1,371,497		0	0	0	0
49 46 PICTET OVERSEAS INC	FCM BD FCM		2/28/2017 2/28/2017	17,626,528 84,686,578	1,000,000 14,987,633	16,626,528 69,698,945	231,175,100	188,711,300	42,463,800	5,661,300	43,098,300	19,767,000	23,331,300	593,000	0	0	0	0	0
51 48 RBC CAPITAL MARKETS LLC	FCM BD	CME	2/28/2017	2,180,914,649	276,671,289	1,904,243,360	2,501,584,869	2,255,622,737	245,962,132	160,000,000	251,557,015	209,708,239	41,848,776	20,000,000	967,106,423	710,143,443	256,962,980	80,000,000	0
_52 49 RBS SECURITIES INC _53 50 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD		2/28/2017 2/28/2017	1,048,455,516 201,397,727	64,417,856 159,667,173	984,037,660 41,730,554	1,112,641,818 4,153,668,769	913,362,909 4,063,789,106	199,278,909 89,879,663	125,000,000 50,000,000	150,913,206 231,138,509	24,081,196 206,090,407	126,832,010 25,048,102	100,000,000 20,000,000	0	0	0	0	0
54 51 ROSENTHAL COLLINS GROUP LLC	FCM	CME	2/28/2017	81,299,107	52,358,657	28,940,450	1,281,162,941	1,256,608,599	24,554,342	18,000,000	29,254,166	17,241,777	12,012,389	8,000,000	0	0	0	0	0
55 52 SANTANDER INVESTMENT SECURITIES INC	FCM BD		2/28/2017	153,736,802	14,812,961	138,923,841	531,698,402	525,605,181	6,093,221	4,000,000	0	0	0	0	0	0	0	0	0
56 53 SCOTIA CAPITAL USA INC 57 54 SG AMERICAS SECURITIES LLC	FCM BD FCM BD		2/28/2017 2/28/2017	683,041,219 3,892,812,330	54,912,410 1,255,438,040	628,128,809 2,637,374,290	15,299,409,608	14,417,258,489	882,151,119	500,000,000	4,675,209,249	4,124,849,926	550,359,323	255,000,000	588,414,766	342,488,379	245,926,387	75,000,000	0
58 55 STRAITS FINANCIAL LLC	FCM	CME	2/28/2017	20,682,461	9,145,008	11,537,453	273,633,321	262,252,309	11,381,012	2,000,000	36,048,216	28,310,714	7,737,502	300,000		0	0	0	0
59 56 TD AMERITRADE FUTURES & FOREX LLC 60 57 TRADESTATION SECURITIES INC	FCM FCM BD	NFA CME	2/28/2017 2/28/2017	105,538,105 73,518,681	22,972,476 4,125,430	82,565,629 69,393,251	351,851,407 541,967,598	292,214,586 530,088,401	59,636,821 11,879,197	25,000,000 9,000,000	25,979,490	24,269,359	0 1,710,131	000,008	0	0	0	0	54,476,510 0
61 58 UBS FINANCIAL SERVICES INC	FCM BD	NFA	2/28/2017	1,294,908,052	122,362,879	1,172,545,173	124,206,491	93,248,594	30,957,897	22,000,000	33,232,595	6,298,135	26,934,460	15,000,000	0	0	0	0	0
62 59 UBS SECURITIES LLC 63 60 UOBBF CLEARING LIMITED	FCM BD FCM		2/28/2017 2/28/2017	4,826,895,049 25,755,154	1,089,285,452 1,551,466	3,737,609,597 24,203,688	8,555,068,476 36,964,984	8,049,376,467 34,040,935	505,692,009 2,924,049	425,000,000 2,000,000	5,568,907,692	5,192,573,211	376,334,481 0	300,000,000	1,028,698,752	768,156,996 0	260,541,756	200,000,000	0
64 61 WEDBUSH SECURITIES INC	FCM BD	CBOT	2/28/2017	160,828,413	39,543,961	121,284,452	36,964,984 1,574,257,783 3,702,544,199	1,556,276,370	17,981,413	13,000,000	23,664,375 365,713,327	20,791,928	2,872,447	1,000,000	0	0	0	0	0
65 62 WELLS FARGO SECURITIES LLC 66 63 YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	NFA	2/28/2017 2/28/2017	4,330,735,545 4,722,801	927,683,953 1,000,000	3,403,051,592 3,722,801	3,702,544,199 42,765,320	3,338,835,304 38,702,533	363,708,895 4,062,787	275,000,000 2,000,000	365,713,327 2.226,614	239,592,169 1,787,073	126,121,158 439,541	30,000,000 200,000	8,869,159,229	8,135,619,179 0	733,540,050	625,000,000	0
67	. 511		_,,,	.,, 22,501	1,000,000	5,, 22,501	,,	55/. 52/555		,,,,,,	-/	27. 6. 76. 6		,				0	
68 Totals							173,472,480,547	163,178,596,500	10,293,884,047	6,521,814,939	50,145,544,893	45,682,995,713	4,462,549,180	2,738,737,889	83,191,651,227	77,140,845,414	6,050,805,813	3,662,433,460	507,370,302
70 January Web Page Update	65																		
71																-			
72 Additions	U	+																	
74																			
75 Deletions 76 FOREX CAPITAL MARKETS LLC	2																-		
77 NEUBERGER BERMAN LLC																			
78 Name Changes		1															-		
80 NONE																			
81 Sahruary Web Page Undate	63																		
82 February Web Page Update	0.3																		
		•																	

SELECTED FCM FINANCIAL DATA AS OF February 28, 2017 FROM REPORTS FILED BY March 26, 2017

Α	В	С	D E	F	G	Н	1	J	K	L	М	N	0	Р	Q	R	S	T	U
84																			
85	a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.																		
86	BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.																		
87	RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																		
-88																			
90	SD: The FCM or RFED is also registered with t	ne Commodity FL	utures Trading Commissio	n as a provisionally re	gistered swap dealer.														
91	(h): DSRO: Designated Self-Regulatory Organization FCM	/REED firms that	are dually registered as 9	De have two DSROs li	sted if they have a dit	ferent DSRO for the F	CM/REED and the SE	The DSRO for the	FCM/RFFD is listed fir	st and the DSRO for	the SD is listed seco	nd							
92	(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.																		
93	(c): A firm's net capital requirement is the greater of:																		
_94																			
95	FCM minimum dollar amount (\$1,000,000); or																		
<u> 196</u>	risk based capital requirement, the sum of 89 of total customer risk maintenance marqin and 89 of total non-customer risk maintenance marqin; or																		
	the amount of capital required by a registered futures association (currently NFA is the only such association); or for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or																		
99	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or																		
100	• minimum dollar amount for FCM's offering or engaged in retail forex unsactions and RTEPS (\$2,000,000), on the percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																		
101																			
102	(d): Excess net capital is adjusted net capital, less the firm	's net capital requ	uirement.																
103																			
105	(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.																		
106	(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.																		
107																			
108	(a): Excess/Deficient funds in segregation is customer asse	ets in segregation	n, less the customer seare	gation requirement.															
1103																			
111	(h): This represents the targeted excess of proprietary fun	ds deposited into	customer segregated acc	ounts above the custo	mer segregation requ	irement. This target	amount is set by the	firm.											
<u> </u>	(i): This represents the total amount of money, securities,	and property held	ld in secured accounts for	futures and ontions co	stomers who trade or	commodity exchang	es located outside the	United States in cor	nnliance with Part 30	of the Commodity Fa	rchange Act								
113	(1) This represents the total amount of money securities	and property new	a iii secarea accounts for	ratares and options et	Storrers who didde of	r commodity extrains	es located outside th	omica otateo in con	inplicance with rare 50	or the commodity Ex	teriaria e 7 teti								
114	(i): This represents the amount of funds an FCM is require	d to set aside for	r customers who trade on	commodity exchanges	located outside of th	e United States.													
115																			
115	(k): Excess/Deficient funds in separate Section 30.7 account	nts is funds in ser	parate Section 30.7 accou	nts, less the customer	amount Part 30 requ	rement.													
Hiiál -	(I): This represents the targeted excess of proprietary func	ls denosited into	senarate Section 30 7 acc	ounts above the custo	mer amount Part 30 i	equirement This tar	net amount is set by	the firm											
119	(i). This represents the targeted excess of proprietary rune	is acposited into	Separate Section 50.7 acc	ounts above the custo	inci amount i art 50 i	equirement. This tur	acc amount is set by	anc mini.											
120	(m): This represents the total amount of money, securities	, and property he	eld in cleared swap custor	ner accounts for clear	d swap customers in	compliance with Sect	on 4d(f) of the Comr	nodity Exchange Act.											
121																			
122	(n): This represents the amount of funds an FCM is require	ed to segregate fo	or customers who trade c	eared swaps.															
124	(o): Excess/Deficient funds in cleared swap customer acco	unte ie funde in e	enarate cleared cuan con	regation accounts los	the cleared curan cur	tomer cogregation re	quirement												
125	TOT. EXCESS/DETICIENT TURIUS IT Cleared SWAD CUSTOMER ACCO	unis is runus in si	eparate deared SWab Sed	reuauori accounts, les	uie cieared SWaD Cu	sumer seuredation re	uullement.												
126	(p): This represents the targeted excess of proprietary fur	nds deposited into	o separate cleared swap s	egregation accounts a	bove the cleared swa	customer segregation	n requirement. This	target amount is set	by the firm.										
127													·			·			
128	(g): This represents the total amount of funds at an FCM.	RFED, or FCMRFI	D that would be obtained	by combining all mone	y, securities and pror	erty deposited by a r	etail forex customer i	nto a retail forex acco	ount or accounts, adiu	sted for the realized	and unrealized net r	rofit or loss.						1	
129																			