SELECTED FCM FINANCIAL DATA AS OF January 31, 2017 FROM REPORTS FILED BY March 1, 2017

A	В	С	D	E	F	G	Н		J	K	L	М	N	0	Р	Q	R	S	T	U
									Customers' Seg			Funds in	Customer	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient	Target Residual	Total Amount of
	Futures Commission Merchant /	Registered	DSRO	As of	Adjusted Net	Net Capital	Excess Net	Customers'	Required	Excess/Deficient	Target Residual	Separate Section	Amount Pt. 30	Funds in	Interest in	Separate Cleared	Amount Cleared	Funds in Cleared	Interest in	Retail Forex
1 4 1	Retail Foreign Exchange Dealer	As		Date	Capital	Requirement	Capital	Assets in Seg	4d(a)(2)	Funds in Seg	Interest in Seg	30.7 Accounts	Required	Separate Section	Section 30.7	Swap	Swap Seg	Swap Seg	Cleared Swap	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	30.7 Accounts (k)	(I)	Segregation (m)	Required (n)	Accounts (o)	(p)	(q)
3											` `	3,1		` '	• •	1	` ` `	` `		``
4 :	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	01/31/2017	653,375,626	174,632,302	478,743,324	3,110,005,587	2,782,140,460	327,865,127	161,729,888	132,493,158	109,525,343	22,967,815	10,952,534	1 0	0	0	0	0
<u> </u>	ADM INVESTOR SERVICES INC ADVANTAGE FUTURES LLC	FCM FCM	CBOT	01/31/2017	316,189,027 24,395,807	197,654,179 16,394,958	118,534,848 8,000,849	4,820,176,776 587,205,703	4,578,693,734 572,498,393	241,483,042 14,707,310	120,000,000 9,000,000	381,120,653 44,228,175	324,814,091 42,465,965	56,306,562 1,762,210	20,000,000		1,651,342	9,219,947	8,000,000	0
-9 - 2	AMP GLOBAL CLEARING LLC	FCM	NFA	01/31/2017	2,736,257	1,000,000	1,736,257	55,146,932	52,176,032	2,970,900	900,000	1,952,316	1,611,346	340,970	150,000		0	0	0	0
	APERTURE LLC	FCM	NFA	01/31/2017	23,404,843	1,000,000	22,404,843	6,894,464	4,935,067	1,959,397	300,000	0	0	0	130/000	0	Ö	0	0	0
9 (BARCLAYS CAPITAL INC	FCM BD	NYME	01/31/2017	7,023,854,104	1,231,387,921	5,792,466,183	5,847,361,223	5,231,887,818	615,473,405	287,753,830	3,676,301,331	3,247,905,664	428,395,667	163,044,864	6,117,458,537	5,350,287,832	767,170,705	212,406,427	0
10 3	BGC FINANCIAL LP	FCM BD	NFA NYMF	01/31/2017	41,849,260 1,563,931,357	3,888,583 238,654,720	37,960,677 1.325,276,637	2.816.740.299	2 627 680 003	189,060,296	150,000,000	29.279.653	19.655.120	9,624,533	7,500,000	30.585.230	11.466.589	19.118.641	15,000,000	0
12 0	BNP PARIBAS PRIME BROKERAGE INC BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	01/31/2017	1,563,931,357	238,654,720	1,325,276,637	1,375,481,158	1,272,019,527	189,060,296	82,500,000	121,142,548	92,362,300	28,780,248	22,500,000		583,011,501	19,118,641	97,500,000	0
13 10	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME		8,496,798	1,000,000	7,496,798	22,239,960	20,589,519	1,650,441	1,000,000	6	0	20,700,210	22,500,000	1 700,133,121	0	6	1	0
14 1:	CANTOR FITZGERALD & CO	FCM BD	CBOT		188,102,182	7,384,771	180,717,411	4,969,921	0	4,969,921	3,000,000	0	0	0	(0	0	0	0	0
15 12	CHS HEDGING LLC	FCM	CBOT	01/31/2017	37,229,157	10,088,945	27,140,212	193,408,888	134,963,523	58,445,365	13,100,000	2,034,284	510,688	1,523,596	545,000		0	503,912	310,000	0
16 13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		11,064,348,791	1,973,861,211	9,090,487,580	9,118,648,349	8,670,272,758	448,375,591	326,700,000	1,797,093,252	1,515,139,220	281,954,032	150,000,000		16,155,758,297	558,319,761	303,175,000	0
18 14	CREDIT SUISSE SECURITIES (USA) LLC CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	01/31/2017	9,239,250,665 5,183,137	1,558,178,337 1,013,583	7,681,072,328 4,169,554	7,245,024,063 65,914,280	6,846,109,603 62,024,705	398,914,460 3,889,575	342,305,480 3.050,000	3,313,251,119 224,196	3,113,499,226 15,934	199,751,893 208,262	155,674,961 50,000	12,758,607,207	12,057,858,757	700,748,450 0	602,892,938	0
19 16	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	01/31/2017	620,375,975	6,775,403	613,600,572	2,166,328	02,027,703	2,166,328	3,030,000	550,495	13,334	550,495	30,000	0	0	0	0	0
20 17	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	01/31/2017	12,335,810,867	570,158,907	11,765,651,960	3,140,318,955	2,849,040,396	291,278,559	200,000,000	792,312,253	607,884,214	184,428,039	150,000,000	760,432,659	470,174,085	290,258,574	250,000,000	0
21 18	DORMAN TRADING LLC	FCM	CME	01/31/2017	11,053,925	1,901,127	9,152,798	181,187,661	177,716,484	3,471,177	2,000,000	4,328,913	3,694,777	634,136	200,000	0	0	0	0	0
22 19	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	01/31/2017	116,405,999	62,088,689	54,317,310	1,627,965,787	1,566,987,218	60,978,569	35,000,000	99,743,535	92,408,097	7,335,438	5,000,000		1,726,528	1,135,667	500,000	0
2/1 2	E TRADE SECURITIES LLC EFL FUTURES LIMITED	FCM BD FCM	NFA CME	01/31/2017	939,644,537 31,549,115	164,977,618 19,357,843	774,666,919 12.191,272	71,973,280 265,600,686	54,192,436 252,225,817	17,780,844 13,374,869		1,351,629	242,415	1,109,214	1,000,000	0	0	0	0	0
25 2	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	01/31/2017	47.356.880	33,478,561	13.878.319	203,000,000	232,223,017	0,577,01	3,000,000	0	0	0	(0	0	0	0	183,422,554
26 23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	01/31/2017	44,416,100	26,976,579	17,439,521	363,471,289	346,690,370	16,780,919	10,000,000	5,107,934	3,413,531	1,694,403	1,000,000	0	0	0	0	126,888,959
	GH FINANCIALS LLC	FCM	CME	01/31/2017	21,654,190	7,005,134	14,649,056	115,449,473	104,139,096	11,310,377	6,000,000	2,816,336	1,743,358	1,072,978	550,000		0	0	0	0
	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	1 01/31/2017	17,721,504,385	2,543,995,298	15,177,509,087	24,884,351,047	23,708,754,835	1,175,596,212	875,000,000	11,557,058,255	10,960,654,302	596,403,953	475,000,000	7 7 7	4,267,507,360	421,818,921	350,000,000	0
	HSBC SECURITIES USA INC INTERACTIVE BROKERS LLC	FCM BD	CME	01/31/2017	1,059,379,081 3,289,976,581	236,970,581 285,576,997	822,408,500 3,004,399,584	1,369,299,870 3,353,049,816	1,286,055,224 3,122,699,660	83,244,646 230,350,156	70,000,000 155,000,000	212,946,323 481,464,971	177,298,028 361 118 927	35,648,295 120,346,044	25,000,000 80,000,000	1,262,224,764	1,146,996,730	115,228,034	100,000,000	36,585,526
	INTERACTIVE BROKERS EEC	FCM BD	CME	01/31/2017	133,221,002	82,224,505	50,996,497	2,142,145,046	2,091,108,188	51,036,858	35,000,000	133,101,259	115,236,778	17,864,481	8,000,000	0	0	0	0	30,363,320
	IRONBEAM INC	FCM	NFA	01/31/2017	3,527,996	1,465,447	2,062,549	70,244,416	68,824,873	1,419,543	800,000	706,932	522,234	184,698	50,000		0	0	0	0
_33 30	JEFFERIES LLC	FCM BD	NFA	01/31/2017	1,312,753,831	83,471,920	1,229,281,911	. 0	0	0	0	0	0	0	0	0	0	0	0	0
34 3:	JP MORGAN SECURITIES LLC MACOUARIE FUTURES USA LLC	FCM BD SD FCM	CEI/NFA	01/31/2017	13,408,030,015 266,352,975	2,910,261,798 149,251,992	10,497,768,217 117,100,983	20,512,684,942	18,881,220,963 1,781,065,977	1,631,463,979	1,038,467,154 100,000,000	4,984,137,070 39,738,040	4,510,148,444 20,762,452	473,988,626 18,975,588	383,362,619 7,000,000		10,106,050,659 9,233,791	904,585,914 18.648.011	631,628,166 1.000.000	0
36 33	MAREX NORTH AMERICA LLC	FCM FCM	CBOI	01/31/2017	33,201,030	149,251,992	117,100,983	1,984,307,004	236,278,420	203,241,027 6,058,451	5,200,000	39,738,040 52,020,506	50,694,126	1,326,380	1,000,000		9,233,791	18,648,011	1,000,000	0
37 34	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	01/31/2017	14,027,401	1,393,815	12,633,586	765,221,560	744,062,445	21,159,115	18,000,000	2,417,861	0	2,417,861	1,000,000		0	0	0	0
	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT		12,413,056,192	1,767,249,458	10,645,806,734	14,476,222,498	14,178,899,082	297,323,416		3,917,389,820	3,651,891,445	265,498,375	150,000,000		5,341,072,374	455,998,727	150,000,000	0
39 36	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	01/31/2017	3,586,331,260	530,179,220	3,056,152,040	2,001,396,248	1,081,750,300	919,645,948	300,000,000	0	0	0		0	0	0	0	0
	MID CO COMMODITIES INC	FCM BD	NFA NFA	01/31/2017	8,154,242 5,106,328	3,806,652 1,000,000	4,347,590 4,106,328	40,349,964	31,553,350	8,796,614	5,138,000	0	0	0		0	0	0	0	0
41 30	MIZUHO SECURITIES USA INC	FCM BD SD	CMF/NFA	01/01/2017	586,051,858	275.321.372	310,730,486	2,792,054,148	2,589,702,543	202,351,605	125,000,000	907 302 405	784 463 888	122,838,517	70,000,000	33 170 511	3 128 317	30.042.194	15,000,000	0
43 40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA		9,797,367,464	2,276,792,320	7,520,575,144	15,881,696,938	15,614,023,285	267,673,653	210,000,000	4,706,424,695	4,496,423,754	210,000,941	160,000,000	14,073,964,960	13,816,835,376	257,129,584	92,000,000	0
	MUFG SECURITIES AMERICAS INC	FCM BD	NFA	01/31/2017	402,575,017	1,006,346	401,568,671	0	0	0	0	0	0	0		0	0	0	0	0
	NANHUA USA LLC	FCM	CME	01/31/2017	19,110,499	4,600,702	14,509,797	97,784,849	84,618,433	13,166,416	3,500,000	0	0	0		0	0	0	0	0
	NEUBERGER BERMAN LLC NOMURA SECURITIES INTERNATIONAL INC	FCM BD	NFA CBOT	01/31/2017	8,260,649 2,261,482,230	1,500,000 74,130,591	6,760,649 2,187,351,639	280,635,249	178,899,580	101,735,669	15,000,000	17,244,363	70,398	17,173,965	1,000,000	0	0	0	0	0
	OANDA CORPORATION	FCMRFD	NFA	01/31/2017	72,513,966	51,235,907	2,187,351,639	200,035,249	1/0,039,380	101,735,009	13,000,000	17,244,303	70,398	17,173,905	1,000,000) 0	0	0	0	134,545,222
	OPTIONSXPRESS INC	FCM BD	NFA	01/31/2017	273,595,457	7,632,956	265,962,501	128,518,701	84,609,826	43,908,875	12,691,474	2,923,248	1,441,871	1,481,377	216,281	0	0	0	0	0
	PHILLIP CAPITAL INC	FCM BD	CME	01/31/2017	31,494,882	14,186,224	17,308,658	224,249,685	210,958,607	13,291,078	10,547,930	33,100,815	25,499,646	7,601,169	1,274,982	2 0	0	0	0	0
	PICTET OVERSEAS INC	FCM BD FCM	NFA CMF	01/31/2017	17,530,964 84,483,779	1,000,000 16,385,131	16,530,964 68,098,648	239.351.100	196,855,900	42,495,200	5,905,700	36,586,900	13,265,300	23,321,600	398 000) <u>0</u>	0	0	0	0
	RBC CAPITAL MARKETS LLC	FCM BD	CME		2,203,568,576	252,080,625	1,951,487,951	2,393,909,789	2,156,488,557	237,421,232	160,000,000	253,708,128	212,307,387	41,400,741	20,000,000		816,394,276	256,793,527	80,000,000	0
54 5:	RBS SECURITIES INC	FCM BD	CBOT	0-/0-/-0-:	1,035,325,232	63,859,116	971,466,116	1,144,452,624	945,446,276	199,006,348	125,000,000	152,327,196	25,488,097	126,839,099	100,000,000		. 0	0	0	0
55 52	RJ OBRIEN ASSOCIATES LLC	FCM SD FCM	CME/NFA CME	01/31/2017	204,810,070 80,109,033	158,565,581	46,244,489 23,157,918	4,246,938,354 1,300,291,525	4,150,195,192 1,275,000,977	96,743,162	50,000,000 18,000,000	236,215,995 31,807,254	210,683,492 19,774,051	25,532,503 12,033,203	20,000,000 8,000,000		0	0	0	0
57 54	ROSENTHAL COLLINS GROUP LLC SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	01/31/2017	164,524,100	56,951,115 11,929,364	23,157,918 152,594,736	1,300,291,525 537,188,310	531,333,030	25,290,548 5,855,280	4,000,000	31,807,254	19,774,051	12,033,203	8,000,000) 0	0	0	0	0
58 55	SCOTIA CAPITAL USA INC	FCM BD	CME	01/31/2017	682,177,330	55,559,745	626,617,585	1,438,885	333,180	1,105,705	49,977	750,000	0	750,000	i	. 0	0	0	0	0
59 56	SG AMERICAS SECURITIES LLC	FCM BD	CME	01/31/2017	4,141,465,394	1,265,997,211	2,875,468,183	14,984,748,306	14,112,098,312	872,649,994	500,000,000	4,383,178,733	3,796,913,424	586,265,309	255,000,000		340,532,521	245,802,695	75,000,000	0
60 57	STRAITS FINANCIAL LLC	FCM	CME	01/31/2017	23,285,207	8,868,250	14,416,957	290,649,135	276,291,260	14,357,875	2,000,000	49,768,698	41,990,452	7,778,246	300,000	0	0	0	0	0
62 50	TD AMERITRADE FUTURES & FOREX LLC TRADESTATION SECURITIES INC	FCM BD	NFA CME	01/31/2017 01/31/2017	124,432,888 73,913,297	22,048,637 4,097,330	102,384,251 69,815,967	286,825,889 543,839,300	224,228,290 531,788,634	62,597,599 12,050,666	25,000,000 9,000,000	28,289,583	26,590,012	1,699,571	800,000) 0	0	0	0	50,972,744
63 60	UBS FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2017	1,416,507,915	117,077,421	1,299,430,494	117,460,141	86,638,487	30,821,654	22,000,000	33,994,283	7,012,495	26,981,788	15,000,000		0	0	0	0
	UBS SECURITIES LLC	FCM BD	CBOT	01/31/2017	4,766,194,132	1,035,757,608	3,730,436,524	9,245,782,666	8,744,396,468	501,386,198	425,000,000	4,864,404,207	4,488,178,645	376,225,562	300,000,000		780,505,120	262,298,520	200,000,000	0
65 62	UOBBF CLEARING LIMITED	FCM	CME	01/31/2017	25,677,320 163,238,933	1,279,840 40,989,404	24,397,480	37,057,199	34,403,864	2,653,335	2,000,000	0	0	0	1,000,000	0	0	0	0	0
65 63 67 64	WEDBUSH SECURITIES INC WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CBOT	01/31/2017	163,238,933 4 245 826 429	40,989,404 986,315,986	122,249,529 3,259,510,443	1,533,426,178	1,515,969,754 3,339,621,411	17,456,424 381 147 699	13,000,000	22,836,227 385 985 289	19,921,949 245,241,232	2,914,278 140,744,057	1,000,000	9 035 148 204	8,284,413,401	750,734,803	625,000,000	0
	YORK BUSINESS ASSOCIATES LLC	FCM	NFA		4,691,177	1,000,000	3,691,177	41,016,181	37,131,443	3,884,738	2,000,000	2,082,753	1,677,321	405,432	200,000) ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	0,204,413,401	, 50, 754,003	023,000,000	0
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■ 70 l	Totals		1					172,949,044,606	162,340,289,585	10,608,755,021	6,586,639,434	47,935,243,595	43,442,161,439	4,493,082,156	2,802,769,244	85,733,589,069	79,544,604,856	6,188,984,213	3,809,412,532	532,415,005

SELECTED FCM FINANCIAL DATA AS OF January 31, 2017 FROM REPORTS FILED BY March 1, 2017

М N December Web Page Update Additions The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer. RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. 87 Refail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.

88 FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission.

89 SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.

90 Use The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.

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99 Use The FCM or RFED is als | Georgia | Geor (c): A firm's net capital requirement is the greater of: • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 102 (d): Excess net capital is adjusted net capital, less the firm's net capital requirement. 104 (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act. (f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.

(a): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement. (h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm. (i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act. (i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. (k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement. (1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm. (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act. 152 (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.

(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(a): This represents the total amount of funds at an FCM. RFFD. or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss