

SELECTED FCM FINANCIAL DATA AS OF
January 31, 2011
FROM REPORTS FILED BY
March 04, 2011

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		Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount
	Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex
		(a)	(b)			(c)	(d)	(e)	Pt. 30 Required	Obligation
									(f)	(g)
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	01/31/2011	198,679,592	94,858,879	103,820,713	1,573,433,197	263,117,518	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	01/31/2011	295,666,132	169,386,519	126,279,613	2,499,370,908	54,398,448	3,189,873
3	ADVANCED MARKETS LLC	RFED	NFA	01/31/2011	20,107,817	20,000,000	107,817	0	0	1,772,404
4	ADVANTAGE FUTURES LLC	FCM	CME	01/31/2011	21,559,218	12,306,677	9,252,541	393,737,033	41,199,754	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	FCM BD	NFA	12/31/2010	74,553,831	11,501,943	63,051,888	0	0	0
6	ALPARI (US) LLC	RFED	NFA	01/31/2011	21,394,225	20,000,000	1,394,225	0	0	4,074,717
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2011	108,803,603	1,000,000	107,803,603	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	01/31/2011	1,408,528	1,000,000	408,528	23,073,184	87,027	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	01/31/2011	6,277,078,372	693,863,436	5,583,214,936	5,083,224,937	2,094,808,665	0
10	BGC FINANCIAL LP	FCM BD	NFA	01/31/2011	64,572,945	1,000,000	63,572,945	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	01/31/2011	348,389,887	226,130,935	122,258,952	1,382,101,248	476,037,988	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	01/31/2011	1,508,004,333	72,233,531	1,435,770,802	442,283,213	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	01/31/2011	77,633,124	4,612,118	73,021,006	1,137,018	3	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	01/31/2011	200,228,563	15,533,799	184,694,764	2,824,512	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	01/31/2011	2,182,314	1,000,000	1,182,314	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	01/31/2011	460,229,428	1,500,000	458,729,428	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	01/31/2011	448,340,536	1,000,000	447,340,536	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	01/31/2011	9,097,357,484	687,378,982	8,409,978,502	9,938,042,337	1,488,187,645	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	01/31/2011	1,682,851	1,000,000	682,851	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	01/31/2011	3,608,601	1,000,000	2,608,601	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	01/31/2011	27,317,814	20,371,383	6,946,431	170,471,174	59,095	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	01/31/2011	8,268,282,504	942,830,053	7,325,452,451	4,854,726,247	1,526,573,863	0
23	CROSSLAND LLC	FCM	CBOT	01/31/2011	10,720,022	8,206,912	2,513,110	87,212,197	65,426,104	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	01/31/2011	3,202,927	1,000,000	2,202,927	42,961,155	35,825	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	01/31/2011	2,310,461	1,000,000	1,310,461	24,935,442	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	01/31/2011	309,157,810	1,212,683	307,945,127	5,868,278	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	01/31/2011	6,106,793,393	591,214,025	5,515,579,368	14,511,631,866	1,287,743,053	0
28	DORMAN TRADING LLC	FCM	CME	01/31/2011	7,365,062	1,000,000	6,365,062	98,505,761	1,127,450	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	01/31/2011	5,796,666	1,000,000	4,796,666	5,861,814	0	0
30	EASY FOREX US LTD	FCM	NFA	01/31/2011	1,977,591	1,000,000	977,591	0	0	0
31	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	01/31/2011	7,279,872	1,200,288	6,079,584	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	01/31/2011	29,579,070	19,298,979	10,280,091	285,731,983	0	0
33	FARR FINANCIAL INC	FCM	NFA	01/31/2011	2,002,334	1,000,000	1,002,334	0	0	0
34	FCSTONE LLC	FCM	CME	01/31/2011	102,650,009	80,707,648	21,942,361	1,307,678,816	13,545,627	8,300,000
35	FOREX CAPITAL MARKETS LLC	RFED	NFA	01/31/2011	82,814,927	26,507,031	56,307,896	0	0	140,140,624
36	FOREX CLUB LLC	RFED	NFA	01/31/2011	20,461,290	20,000,000	461,290	0	0	2,572,499
37	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	01/31/2011	3,438,670	1,000,000	2,438,670	8,081,528	544,622	0
38	FRONTIER FUTURES INC	FCM	NFA	01/31/2011	1,851,291	1,352,132	499,159	27,959,406	0	0
39	FUTURES TECH LLC	FCM	NFA	01/31/2011	1,583,790	1,000,000	583,790	0	0	0
40	FX SOLUTIONS LLC	RFED	NFA	01/31/2011	35,957,794	20,593,531	15,364,263	0	0	21,870,621
41	FXDIRECTDEALER LLC	RFED	NFA	01/31/2011	22,164,798	21,507,061	657,737	0	0	40,141,230
42	GAIN CAPITAL GROUP LLC	RFED	NFA	01/31/2011	49,689,886	26,618,327	23,071,559	0	0	142,366,541
43	GLOBAL FUTURES & FOREX LTD	RFED	NFA	01/31/2011	76,364,277	23,937,079	52,427,198	373,395	190,557	88,741,578

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44	GOLDMAN SACHS & CO	FCM BD	CBOT	01/31/2011	12,516,165,487	1,907,081,822	10,609,083,665	20,307,714,897	6,622,643,165	0
45	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	01/31/2011	1,981,355,570	145,634,564	1,835,721,006	1,192,993,481	42,314,171	0
46	HSBC SECURITIES USA INC	FCM BD	CME	01/31/2011	1,075,712,759	86,713,661	988,999,098	911,725,519	90,477,623	0
47	ICAP FUTURES LLC	FCM	NFA	01/31/2011	7,527,185	1,000,000	6,527,185	0	0	0
48	IG MARKETS INC	FCM	NFA	01/31/2011	28,921,224	20,000,000	8,921,224	156,448	0	1,386,428
49	IKON GLOBAL MARKETS INC	FCM	NFA	01/31/2011	15,596,414	1,000,000	14,596,414	19,905,299	108,434	0
50	INSTINET LLC	FCM BD	NFA	01/31/2011	114,520,300	2,841,136	111,679,164	0	0	0
51	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	01/31/2011	20,980,046	20,000,000	980,046	0	0	0
52	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	01/31/2011	1,693,672	1,000,000	693,672	0	0	0
53	INTERACTIVE BROKERS LLC	FCM BD	NFA	01/31/2011	1,130,890,975	173,529,271	957,361,704	648,997,037	217,965,627	0
54	INTERBANK FX LLC	RFED	NFA	01/31/2011	24,921,104	21,228,716	3,692,388	0	0	34,574,328
55	IRONBEAM INC	FCM	NFA	01/31/2011	1,978,159	1,000,000	978,159	42,101,004	129,772	0
56	ITG DERIVATIVES LLC	FCM BD	NFA	01/31/2011	5,197,490	1,000,000	4,197,490	0	0	0
57	JP MORGAN CLEARING CORP	FCM BD	CME	01/31/2011	5,755,822,529	1,837,704,635	3,918,117,894	574,483,935	325,314,072	0
58	JP MORGAN FUTURES INC	FCM	NYME	01/31/2011	2,360,243,062	1,314,143,260	1,046,099,802	15,673,688,735	1,949,838,534	0
59	JP MORGAN SECURITIES LLC	FCM BD	NFA	01/31/2011	7,152,892,615	586,461,407	6,566,431,208	0	0	0
60	LEK SECURITIES CORPORATION	FCM BD	NFA	01/31/2011	10,162,368	1,500,000	8,662,368	254,440	0	0
61	LIMESTONE TRADING LLC	FCM	NFA	01/31/2011	5,167,311	1,000,000	4,167,311	0	0	0
62	LINN GROUP THE	FCM	NFA	01/31/2011	2,543,756	1,261,202	1,282,554	34,780,531	264,150	0
63	LPL FINANCIAL LLC	FCM BD	NFA	01/31/2011	185,337,846	5,481,675	179,856,171	0	0	0
64	MACQUARIE FUTURES USA INC	FCM	CBOT	01/31/2011	126,148,000	62,043,883	64,104,117	867,234,957	25,655,480	0
65	MAREX NORTH AMERICA LLC	FCM	CME	01/31/2011	11,881,610	3,544,795	8,336,815	53,573,429	0	0
66	MAREX USA LIMITED	FCM	NFA	01/31/2011	2,700,922	1,000,000	1,700,922	0	0	0
67	MB TRADING FUTURES INC	RFED	NFA	01/31/2011	23,778,298	21,267,858	2,510,440	0	0	35,357,163
68	MBF CLEARING CORP	FCM	NYME	01/31/2011	9,341,620	1,193,311	8,148,309	81,448,251	1,507,791	0
69	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	01/31/2011	11,021,788	4,543,863	6,477,925	772,203,311	0	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	01/31/2011	9,496,940,958	733,938,266	8,763,002,692	9,179,827,334	2,080,372,180	0
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	01/31/2011	2,398,002,087	187,180,843	2,210,821,244	859,777,333	44,525,514	0
72	MF GLOBAL INC	FCM BD	CME	01/31/2011	511,297,056	407,467,600	103,829,456	7,945,695,706	787,004,042	0
73	MID CO COMMODITIES INC	FCM	NFA	01/31/2011	14,803,542	9,721,870	5,081,672	49,432,750	0	0
74	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	01/31/2011	117,877,760	1,279,639	116,598,121	0	0	0
75	mitsui BUSSAN COMMODITIES USA INC	FCM	NFA	01/31/2011	2,544,319	1,000,000	1,544,319	0	0	0
76	MIZUHO SECURITIES USA INC	FCM BD	CME	01/31/2011	432,490,511	72,907,874	359,582,637	799,556,441	390,018,109	0
77	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	01/31/2011	470,798,441	9,698,159	461,100,282	0	0	0
78	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	01/31/2011	7,096,544,405	1,120,393,449	5,976,150,956	4,798,723,899	1,686,124,419	0
79	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	01/31/2011	1,119,400,516	40,349,102	1,079,051,414	604,894,272	1,449,156,237	0
80	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	01/31/2011	221,575,424	1,000,000	220,575,424	0	0	0
81	NEUBERGER BERMAN LLC	FCM BD	NFA	01/31/2011	36,108,378	1,500,000	34,608,378	0	0	0
82	NEWEDGE USA LLC	FCM BD	NYME	01/31/2011	2,193,369,250	1,491,936,900	701,432,350	22,235,368,365	7,220,821,996	0
83	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	01/31/2011	2,245,318,484	24,068,462	2,221,250,022	79,157,518	5,534,352	0
84	OANDA CORPORATION	RFED	NFA	01/31/2011	169,095,436	27,806,288	141,289,148	0	0	166,125,767
85	OPEN E CRY LLC	FCM	NFA	01/31/2011	2,643,620	1,000,000	1,643,620	83,788,802	1,271,446	0
86	OPPENHEIMER & CO INC	FCM BD	NFA	01/31/2011	168,592,263	23,839,344	144,752,919	25,448,153	0	0

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	Dealer							4d(a)(2)	Pt. 30 Required	Obligation
		(a)	(b)			(c)	(d)	(e)	(f)	(g)
87	OPTIONSPRESS INC	FCM BD	NFA	01/31/2011	84,189,139	10,279,726	73,909,413	93,693,661	1,989,452	0
88	PENSON FUTURES	FCM	CBOT	01/31/2011	36,459,755	29,362,725	7,097,030	772,156,667	41,262,635	0
89	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	01/31/2011	36,382,583	21,386,789	14,995,794	482,485,020	26,045,231	37,735,788
90	PIONEER FUTURES INC	FCM	NFA	01/31/2011	2,309,050	1,000,000	1,309,050	0	0	0
91	PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	01/31/2011	235,901,000	201,740,480	34,160,520	2,981,908,000	317,420,000	1,461,000
92	RAND FINANCIAL SERVICES INC	FCM	CME	01/31/2011	71,667,976	15,212,759	56,455,217	228,464,600	28,036,300	0
93	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	01/31/2011	381,906,330	27,932,979	353,973,351	0	0	0
94	RBC CAPITAL MARKETS LLC	FCM BD	CME	01/31/2011	1,393,589,803	102,945,089	1,290,644,714	359,494,386	21,696,663	0
95	RBS SECURITIES INC	FCM BD	CBOT	01/31/2011	1,488,395,000	221,748,000	1,266,647,000	1,763,160,000	67,964,000	0
96	RJ OBRIEN ASSOCIATES LLC	FCM	CME	01/31/2011	145,782,925	112,014,859	33,768,066	2,251,909,938	55,868,157	4,047,857
97	ROSENTHAL COLLINS GROUP LLC	FCM	CME	01/31/2011	75,722,171	57,322,588	18,399,583	1,522,973,035	36,639,956	0
98	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	01/31/2011	44,570,866	1,000,000	43,570,866	0	0	0
99	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	01/31/2011	171,368,483	14,496,597	156,871,886	0	0	0
100	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	01/31/2011	184,557,516	10,352,308	174,205,208	437,664,127	0	0
101	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	01/31/2011	399,209,741	2,960,119	396,249,622	11,137,956	4,856,918	0
102	STEPHENS	FCM BD	NFA	01/31/2011	79,421,488	11,126,249	68,295,239	0	0	0
103	STERLING COMMODITIES CORP	FCM	NYME	01/31/2011	8,314,585	1,000,000	7,314,585	32,912,423	221,260	0
104	TCA FUTURES LLC	FCM	NFA	01/31/2011	1,581,266	1,000,000	581,266	0	0	0
105	TD AMERITRADE INC	FCM BD	NFA	01/31/2011	256,727,616	1,000,000	255,727,616	0	0	0
106	TENCO INC	FCM	CBOT	01/31/2011	55,667,027	15,432,133	40,234,894	317,908,680	0	0
107	TIMBER HILL LLC	FCM BD	CME	01/31/2011	1,084,848,386	44,518,111	1,040,330,275	518,545,616	0	0
108	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	01/31/2011	7,872,843	1,000,000	6,872,843	0	0	0
109	TRADELINK LLC	FCM BD	CBOT	01/31/2011	30,470,936	1,000,000	29,470,936	0	0	0
110	TRADESTATION FOREX INC	RFED	NFA	01/31/2011	22,724,775	20,000,000	2,724,775	0	0	0
111	TRADESTATION SECURITIES INC	FCM BD	NFA	01/31/2011	68,329,894	3,082,083	65,247,811	398,686,929	4,419,670	0
112	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	01/31/2011	1,541,184	1,000,000	541,184	0	0	0
113	TRILAND USA INC	FCM	NYME	01/31/2011	22,656,549	15,909,474	6,747,075	198,150,563	15,791,185	0
114	UBS FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2011	1,339,189,523	91,262,548	1,247,926,975	309,581,272	1,125,260	0
115	UBS SECURITIES LLC	FCM BD	CBOT	01/31/2011	11,350,611,421	935,778,622	10,414,832,799	10,318,695,235	4,368,348,149	0
116	VELOCITY FUTURES LLC	FCM	NFA	01/31/2011	1,545,594	1,000,000	545,594	66,296,292	12,071,964	0
117	VISION FINANCIAL MARKETS LLC	FCM BD	CME	01/31/2011	20,296,462	7,481,624	12,814,838	359,221,421	11,030,910	0
118	WALL STREET DERIVATIVES INC	FCM	NFA	01/31/2011	1,483,285	1,000,000	483,285	0	0	0
119	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	01/31/2011	50,259,426	4,934,773	45,324,653	0	0	0
120	WELLS FARGO ADVISORS LLC	FCM BD	NFA	01/31/2011	1,299,313,035	1,500,000	1,297,813,035	0	0	0
121	WELLS FARGO SECURITIES LLC	FCM BD	CME	01/31/2011	921,786,447	6,759,445	915,027,002	0	0	0
122	WHITE COMMERCIAL CORPORATION	FCM	NFA	01/31/2011	2,412,814	1,000,000	1,412,814	0	0	0
123	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	01/31/2011	2,194,684	1,000,000	1,194,684	67,180,790	1,442,400	0
	Totals							154,106,385,107	35,270,401,447	733,858,418

Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: DCIO@cftc.gov