

**DEPARTMENT OF COMMERCE****National Telecommunications and Information Administration****Fostering the Advancement of the Internet of Things Workshop**

**AGENCY:** National Telecommunications and Information Administration, U.S. Department of Commerce.

**ACTION:** Notice of open meeting.

**SUMMARY:** The National Telecommunications and Information Administration (NTIA) will convene a workshop on behalf of the U.S. Department of Commerce's Internet Policy Task Force and the Digital Economy Leadership Team on Fostering the Advancement of the Internet of Things.

**DATES:** The workshop will be held on September 1, 2016, from 9:00 a.m. to 3:00 p.m., Eastern Daylight Time.

**ADDRESSES:** The workshop will be held at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia 22314. The location of the meeting is subject to change. Please refer to NTIA's Web site, <http://www.ntia.doc.gov/category/internet-things>, for the most current information.

**FOR FURTHER INFORMATION CONTACT:** Travis Hall, National Telecommunications and Information Administration, U.S. Department of Commerce, 1401 Constitution Avenue NW., Room 4725, Washington, DC 20230; telephone (202) 482-3522; email [thall@ntia.doc.gov](mailto:thall@ntia.doc.gov). Please direct media inquiries to NTIA's Office of Public Affairs, (202) 482-7002; email [press@ntia.doc.gov](mailto:press@ntia.doc.gov).

**SUPPLEMENTARY INFORMATION:**

Recognizing the vital importance of the Internet to U.S. innovation, prosperity, education, and civic and cultural life, the Department of Commerce has made it a top priority to encourage growth of the digital economy and ensure that the Internet remains an open platform for innovation and free expression. As part of the Department's Digital Economy Agenda, the National Telecommunications and Information Administration (NTIA) initiated an inquiry regarding the Internet of Things (IoT) to review the current technological and policy landscape, which included a Request for Comment on "The Benefits, Challenges, and Potential Roles for the Government in Fostering the Advancement of the Internet of Things."<sup>1</sup> This workshop will build on

the comments received in the Request for Comment, focusing specifically on the potential benefits and challenges of these technologies and what role, if any, the U.S. Government should play in this area. This workshop will help to inform the Department's forthcoming issue-spotting, agenda-setting green paper on IoT.

NTIA will post a detailed agenda on its Web site, [www.ntia.doc.gov/category/internet-things](http://www.ntia.doc.gov/category/internet-things), prior to the meeting. The workshop will consist of a number of panels and speakers that will explore in more depth the obstacles and opportunities raised by commenters on the federal government's role in IoT deployment. Agenda topics and format are subject to change.

The meeting is open to the public and the press. The meeting is physically accessible to people with disabilities. Requests for sign language interpretation or other auxiliary aids should be directed to Travis Hall at (202) 482-3522 or [thall@ntia.doc.gov](mailto:thall@ntia.doc.gov) at least seven (7) business days prior to the meeting. The meeting will also be webcast. Requests for real-time captioning of the webcast or other auxiliary aids should be directed to Travis Hall at (202) 482-3522 or [thall@ntia.doc.gov](mailto:thall@ntia.doc.gov) at least seven (7) business days prior to the meeting. Please refer to NTIA's Web site, <http://www.ntia.doc.gov/category/internet-things>, for the most current information.

Dated: August 5, 2016.

**Angela M. Simpson,**

*Deputy Assistant Secretary, National Telecommunications and Information Administration.*

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**BILLING CODE 3510-60-P**

**COMMODITY FUTURES TRADING COMMISSION****Agency Information Collection Activities Under OMB Review**

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995 (PRA), this notice announces that the Information Collection Request (ICR) abstracted below has been forwarded to the Office of Management and Budget (OMB) for review and comment. The ICR describes the nature of the

information collection and its expected costs and burden.

**DATES:** Comments must be submitted on or before September 12, 2016.

**ADDRESSES:** Comments regarding the burden estimated or any other aspect of the information collection, including suggestions for reducing the burden, may be submitted directly to the Office of Information and Regulatory Affairs (OIRA) in OMB, within 30 days of the notice's publication, by email at [OIRASubmissions@omb.eop.gov](mailto:OIRASubmissions@omb.eop.gov). Please identify the comments by OMB Control No. 3038-NEW. Please provide the Commodity Futures Trading Commission ("CFTC" or "Commission") with a copy of all submitted comments at the address listed below. Please refer to OMB Reference No. 3038-NEW, found on <http://reginfo.gov>. Comments may also be mailed to the Office of Information and Regulatory Affairs, Office of Management and Budget, Attention: Desk Officer for the Commodity Futures Trading Commission, 725 17th Street NW., Washington, DC 20503, and to: Nisha Smalls, Office of Customer Education and Outreach, Commodity Futures Trading Commission, 1155 21st Street NW., Washington, DC 20581; or through the Agency's Web site at <http://comments.cftc.gov>. Follow the instructions for submitting comments through the Web site.

Comments may also be mailed to: Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581; or sent by hand delivery/courier to the same address.

A copy of the supporting statements for the collection of information discussed above may be obtained by visiting [reginfo.gov](http://reginfo.gov). All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>.

**FOR FURTHER INFORMATION CONTACT:** Nisha Smalls, Office of Customer Education and Outreach, Commodity Futures Trading Commission, 1155 21st Street NW., Washington, DC 20581, (202) 418-5895; FAX: (202) 418-5541; email: [nsmalls@cftc.gov](mailto:nsmalls@cftc.gov) and refer to this Federal Register notice. A copy may also be obtained from this contact.

**SUPPLEMENTARY INFORMATION:** The Commission's Office of Customer Education and Outreach (OCEO) develops campaigns to change customer behaviors, so that customers can better avoid fraud as defined under the Commodity Exchange Act. The OCEO intends to survey the public by

<sup>1</sup> Request for Comments on the Benefits, Challenges, and Potential Roles for Government in Fostering the Advancement of the Internet of

Things (Apr. 5, 2016) available at <https://www.ntia.doc.gov/federal-register-notice/2016/rfc-potential-roles-government-fostering-advancement-internet-of-things>.

identifying customers and determining if the CFTC's SmartCheck<sup>SM</sup> campaign is helping them to identify, avoid, and report financial fraud.

*Title:* CFTC SmartCheck Annual Campaign Impact Tracking Survey, (OMB Control No. 3038-NEW). This is a request for approval of a new collection.

*Abstract:* In 2010, the Dodd-Frank Act<sup>1</sup> expanded the Commission's authority to, among other matters related to regulatory oversight, establish funding of consumer education initiatives under its new Whistleblower authority.<sup>2</sup> Under this new authority, the Commission established the OCEO to, among other efforts, survey the public regarding consumer education initiatives.<sup>3</sup> This notice announces a public survey. This survey will include screening questions to identify the

correct respondents and questions to determine if the CFTC's SmartCheck<sup>SM</sup> campaign is helping customers identify, avoid, and report financial fraud.

The OCEO will use the information collected in the survey to refine the methods used to inform the public about how to best detect and report financial fraud. This will be done by creating a final summary report that includes key findings from the survey.

Findings from the summary report will be used to directionally inform the outreach efforts that the CFTC undertakes concerning helping customers avoid financial fraud.

The survey will be administered using an online survey tool. The online modality approach will allow presentation of test material to participants in a more convenient and time-efficient manner than other

collection methods such as mail intercepts. The online method also allows for a quicker turnaround for data collection. No other collection methods will be used.

*Burden Statement:* The screening questions will take about 1 minute to complete. It is anticipated that 4,000 people will be screened. The survey will take about 15 minutes. The cost of the screener survey will be approximately \$3,125, which equates to \$46.85 per burden hour. 2,000 people will take the 15 minute survey. The cost of the full survey will be approximately \$46,875, which equates to \$93.75 per burden hour. Based on these assumptions, the total burden hours will be 566.7 hours. The Commission estimates the average burden of this collection of information as follows:

ESTIMATED ANNUAL REPORTING BURDEN HOURS

	Annual reporting	Frequency of reporting	Hours per report	Total	
17 CFR 165.12 .....	4,000	1 response per respondent.	1 minute per response ....	4,000	66.7 hours total burden.
17 CFR 165.12 .....	2,000	1 response per respondent.	15 minutes per response	2,000	500 hours total burden.

The proposed survey questions appear below:

**CFTC SmartCheck Annual Campaign Impact Tracking Survey**

CFTC TARGET = Age 50-65; HH income 60k+; Answers 1 or 2 for question 1; Invests in 2 or more products in question 2

*Screener*

1. When it comes to family and personal investments like stocks, mutual funds, or other trading products,

how likely are you to be involved in making decisions for your household?

- 1 ..... Very likely.
- 2 ..... Somewhat likely.
- 3 ..... Not too likely.
- 4 ..... Not at all likely.

2. Below is a list of financial products. Please select all that you currently are invested in or have invested in.

- 1 ..... Stocks or shares.
- 2 ..... Precious metals like gold or silver.

- 3 ..... Foreign currency trading (FOREX).
- 4 ..... Any type of futures or options.
- 5 ..... None of these [Single Punch (SP)].
- 6 ..... Don't know [SP].

*Survey*

[Grid, SP Across]

Please answer yes or no to each of the following questions.

Yes	No
1	2

- 3. .... Have you read, seen, or heard anything about the Commodity Futures Trading Commission (CFTC)?
- 4. .... Have you read, seen, or heard anything about CFTC SmartCheck, a campaign that promotes expert tools and resources to check the background of financial professionals, learn how to avoid investment fraud, and report suspicious activity?
- 5. .... Have you read, seen, or heard anything about SmartCheck.gov, a website that links to databases which allow investors to check the background of financial professionals?
- 6. .... Have you read, seen, or heard anything about Investor.gov, a website that allows you to check the background of investment adviser representatives and firms?
- 7. .... Have you read, seen, or heard anything about BrokerCheck.org, a website that allows you to check the background of brokers who sell stocks, bonds, mutual funds and other securities?

[Grid, SP Across. Randomize Grid Rows]

Below are a number of actions that you may or may not be likely to

complete. Please indicate how likely or unlikely you are to complete the actions using the scale below. If you were considering investing with someone you

had not invested with before, how likely are you to:

<sup>1</sup> See Dodd-Frank Wall Street Reform and Consumer Protection Act, Public Law 111-203, 124 Stat. 1376 (2010). The text of the Dodd-Frank Act

may be accessed at <http://www.cftc.gov/LawRegulation/DoddFrankAct/index.htm>.

<sup>2</sup> See 7 U.S.C. 26.

<sup>3</sup> See 17 CFR 165.12.

Very likely	Somewhat likely	Not likely or unlikely	Not too likely	Not at all Likely
1	2	3	4	5

- 8. .... Review performance history.
- 9. .... Talk to references and/or past clients.
- 10. .... Confirm certifications and/or education.
- 11. .... Perform a general Internet search.
- 12. .... Check disciplinary history with an official financial regulator.
- 13. .... Check licensing and/or registration status with an official financial regulator.
- 14. .... Personally interview.
- 15. .... Check job affiliations with an official financial regulator.
- 16. .... None of these/don't know [SP].

[SP]  
 18. If you *suspected* an investment you were *aware of* was fraudulent, how likely would you be to report it to a government financial agency such as the U.S. Commodity Futures Trading Commission (CFTC) or the U.S. Securities and Exchange Commission (SEC)?

- 1 ..... Very likely.
- 2 ..... Somewhat likely.
- 3 ..... Not too likely.
- 4 ..... Not at all likely.

[SP]  
 17. Generally speaking, how concerned are you about unknowingly being part of a fraudulent investment?

- 1 ..... Very concerned.
- 2 ..... Somewhat concerned.
- 3 ..... Not too concerned.
- 4 ..... Not at all concerned.

[SP]  
 19. If you became aware that an investment you were *already a part of* was fraudulent, how likely would you be to report it to a government financial agency such as the U.S. Commodity Futures Trading Commission (CFTC) or the U.S. Securities and Exchange Commission (SEC)?

- 1 ..... Very likely.
- 2 ..... Somewhat likely.
- 3 ..... Not too likely.

4 ..... Not at all likely.

[MP; Randomize Leaving Last 1 at the End]

20. Which, if any, of the following do you think are potential signs of investment fraud? If the person selling the investment . . .

- 1 ..... said the investment has a guaranteed rate of return.
- 2 ..... said s/he has a special credential, so they can be trusted.
- 3 ..... said that many of your friends have already invested in the opportunity.
- 4 ..... was willing to charge you half of the regular commission.
- 5 ..... said the opportunity was closing soon, so it was important to act quickly.
- 6 ..... None of these [SP].

[Grid, SP Across. Randomize Grid Rows]

Below are a number of statements with which you may or may not agree. Please indicate how much you agree or disagree with each statement.

Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
1	2	3	4	5

- 21. .... It is important to know the common signs of fraud when investing.
- 22. .... The government reviews and investigates all alleged reports of investment fraud.
- 23. .... I know where to go to report suspected or known incidents of investment fraud.
- 24. .... I know where to go to check the background of a financial professional.
- 25. .... *Before I invest*, it is important to check the registration and/or licensing status of a financial professional.
- 26. .... *Each year*, it is important to check the registration and/or licensing of a financial professional with whom I invest.
- 27. .... *Before I invest*, it is important to check the disciplinary history of a financial professional.
- 28. .... *Each year*, it is important to check the disciplinary history of a financial professional.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: August 8, 2016.

**Christopher J. Kirkpatrick,**  
*Secretary of the Commission.*

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**DEPARTMENT OF DEFENSE**

**Department of the Army**

[Docket ID USA-2016-HQ-0009]

**Submission for OMB Review; Comment Request**

**ACTION:** Notice.

**SUMMARY:** The Department of Defense has submitted to OMB for clearance, the following proposal for collection of

information under the provisions of the Paperwork Reduction Act.

**DATES:** Consideration will be given to all comments received by September 12, 2016.

**FOR FURTHER INFORMATION CONTACT:** Fred Licari, 571-372-0493.

**SUPPLEMENTARY INFORMATION:**

*Title, Associated Form and OMB Number:* Exchange Employee Management and Pay System; Exchange Form 1400-011 "Annuity Application", Exchange Form 1450-018 "Application for Payment of Survivor Annuity", Exchange Form 1700-012 "Beneficiary Designation", Exchange Form 6650-007 "Patient Health Questionnaire", Web-based "Health/Benefit Enrollment"; OMB Control Number: 0702-XXXX.

*Type of Request:* In existence without OMB Control Number.

*Number of Respondents:* 7650.

*Responses per Respondent:* 1.

*Annual Responses:* 7650.

*Average Burden per Response:* 115 minutes.

*Annual Burden Hours:* 2533 hours.

*Needs and Uses:* The information collection requirement is necessary to administer a number of different benefits and pay available to eligible Exchange associates, former associates (retirees), their personal dependents, beneficiaries, spouses, and ex-spouses. This includes collecting data needed to provide and administer pay, salary and retirement funds/entitlements.

*Affected Public:* Individuals or households and Federal Government.

*Frequency:* On occasion.