U.S. COMMODITY FUTURES TRADING COMMISSION



Three Lafayette Centre 1155 21st Street, NW, Washington, DC 20581

OFFICE OF PROCEEDINGS

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ROBERT WARREN and)			
HOLLY REYNOLDS,)			
Complainants)			
en e)	CFTC Docket	. •	
	,	No. 97-R135		
ROBERT IAN GORRIE, WALTER)			
FRANK PRICE, RB&H FINANCIAL	<u> </u>			
SERVICES, L.P., and TRADELINE)			
BROKERAGE SERVICES, L.L.C.,)			
Respondents)			
	_)			

FINAL DECISION

Complainants filed their reparations complaint selecting a voluntary decisional proceeding, a choice concurred in by the respondent. In a voluntary proceeding, the parties submit their dispute on the papers only, waiving their right to present oral testimony. In addition, the judge is not authorized to conduct discovery on his own motion (see Rule 12.34), leaving the parties solely responsible for the development of the record. When the record is closed, the judge issues a Final Decision containing only a conclusion whether any violations have been proven, and, if so, a reparation award for any damages caused by such violations (see Rule 12.106(b)). The decision does not contain findings of fact or other evidentiary evaluations by the judge. The Final Decision is not appealable either to the Commission or to any U.S. Court of Appeals (see Rule 12.106(d)).

In this matter, the pleadings were supplemented by discovery taken by both sides and by both sides' verified statements. Upon careful consideration of the record made by the parties, it is concluded that complainants have failed to establish any violative conduct on the part of respondent. Accordingly, the complaint is DISMISSED.

Dated: May 21, 1998

Joel R. Maillie
JOEL R. MAILLIE
Judgment Officer