



**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre  
1155 21st Street, NW, Washington, DC 20581

**FILED**

**APR 15 4 13 PM '97**

**OFFICE OF PROCEEDINGS  
PROCEEDINGS CLERK**

**OFFICE OF  
PROCEEDINGS**

**MARGO UFKES,**

**Complainant**

**v.**

**RICHARD MICHAEL ROSENGARTEN and  
CONCORDE TRADING GROUP, INC.,  
Respondents**

**CFTC Dkt. No. 96-R068**

**ORDER OF DISMISSAL**

Respondents have entered into separate settlements with the complainant. As the terms of the settlements appear to have been fulfilled, the stipulations filed by the parties are now effective. Accordingly, this proceeding is **DISMISSED** pursuant to CFTC Rule 12.21.

**Dated: April 15, 1997**

*Joel R. Maillie*  
**Joel R. Maillie  
Judgment Officer**