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ROBERT POSEY, Complainant,	*	
v.	*	CFTC Docket No. 10-R11
STONEBRIDGE FINANCIAL SERVICES, LLC; ERIK ANTONIO LUNA; and STEPHEN JASON SHORE,	*	
Respondents.	*	

DEFAULT ORDER

Respondents Erik Antonio Luna, Stephen Jason Shore, and Stonebridge Financial Services, LLC have failed to file answers to Robert Posey's complaint, and thus are in default. Each default is deemed an admission of the allegations in the complaint, a waiver of any affirmative defenses, and a waiver of any decisional procedure afforded by the Commission's reparations rules.

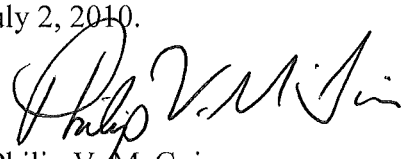
After reviewing the complaint and the substantiating evidence produced by Robert Posey, it has been concluded: that Erik Antonio Luna, Stephen Jason Shore, and Stonebridge Financial Services LLC defrauded Robert Posey in a flagrant load and churn scheme in violation of Section 4c(b) of the Commodity Exchange Act and CFTC rule 33.10; that their violations proximately caused $181,281 in damages; and that Stonebridge Financial Services LLC is liable for the violations of Luna and Shore pursuant to Section 2(a)(1)(B) of the Act. Accordingly, Erik Antonio Luna, Stephen Jason Shore, and Stonebridge Financial Services LLC are ordered to

pay to Robert Posey reparations of $181,281, plus pre-judgment and post-judgment interest on that amount at 0.29% compounded annually from January 27, 2008, to the date of payment, plus $250 in costs for the filing fee. Liability is joint and several.

Posey should note: one, that Stonebridge is out of business and has been permanently barred by the National Futures Association for fraudulent practices; two, that the NFA has temporarily barred Shore's membership until September 2011 and fined him $50,000 for fraudulent sales practices at Stonebridge; three, that Luna is currently not registered; and four, that Shore and Luna are both "tainted" brokers who have worked almost exclusively with firms that have been disciplined by the NFA or the CFTC for fraudulent practices, and as a result presumably have limited prospects of re-employment.[1] Unfortunately, in these circumstances, it may be difficult to collect this award.

Posey may contact my office at 202-418-5500, if he has any procedural questions.

Dated July 2, 2010.



Philip V. McGuire,
Judgment Officer

[1] *In re Stonebridge Financial Services and Andres Rodriguez*, NFA case number 07-BCC-36 (April 22, 2008); *In re Stephen Shore*, NFA case number 7-BCC-7 (September 8, 2008); and NFA records.