

U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre 1155 21st Street, N.W., Washington, DC 20581

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ISAAC M. HARSINAI,)	ALION Fisher	Spring Spring	
Complainants)	Provide Company	E U	· ; ;
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v.)	CFTC Docket		
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INTERACTIVE BROKERS, L.L.C,)			
Respondent)			
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FINAL DECISION

Complainant initiated this reparations case selecting a voluntary decisional proceeding, a choice concurred in by the respondent. In a voluntary proceeding, the parties submit their dispute on the papers only, waiving their right to present oral testimony. In addition, the judge is not authorized to conduct discovery on his own motion (see Rule 12.34), leaving the parties solely responsible for the development of the record. When the record is closed, the judge issues a Final Decision containing only a conclusion whether any violations of the Commodity Exchange Act or CFTC Rules have been proven, and, if so, a reparation award for any damages caused by such violations (see Rule 12.106(b)). The decision does not contain findings of fact or other evidentiary evaluations by the judge. The Final Decision is not appealable to the Commission or to any court.

In this matter, the decision is based on the pleadings and complainant's replies to respondent's interrogatories.

On this record, it is determined that complainant has not carried his burden of demonstrating by a preponderance of the evidence that respondent committed any violations of the Commodity Exchange Act or of CFTC regulations. Therefore, the complaint is DISMISSED.

Dated: May 26, 2005

Joel R. Maillie
JOEL R. MAILLIE
Judgment Officer