



U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581

OFFICE OF
PROCEEDINGS

CERTIFIED RETURN REQUESTED

June 27, 2000

Ms. Karen Hargrave
RR 1, Box 88Z
Custer, SD 57730

Howard Friedman, President
Delong, Friedman & Sukenik, Inc.
1817 Commercenter West
San Bernardino, CA 92408

Mr. Jere Thomas Wickert
AOS
175 West Jackson Blvd., Suite A642
Chicago, IL 60604

Re: Karen Hargrave v. Delong, Friedman and Sukenik, Inc., and Jere Thomas Wickert
CFTC Docket No. 00-R015

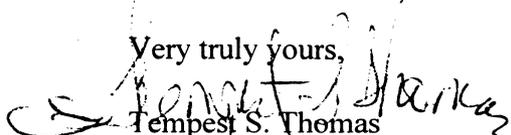
Dear Parties:

Enclosed is a copy of the disposition in the above-captioned case. This decision will automatically become a Final Order of the Commission thirty-five (35) days after this service unless the Commission determines within thirty-five (35) days after service of the decision to review the case upon its own motion.

After the decision becomes a Final Order, the losing party has fifteen (15) days or such time as provided in the order, whichever is longer, within which to make full payment of the reparation award. The losing party then has an additional fifteen (15) days to mail to this office documentary proof that the award has been satisfied. If a reparation award is not satisfied within the required period, the prevailing party may request a certified decision package.

The certified decision package may then be taken to federal district court for enforcement as provided by Section 14(d) of the Commodity Exchange Act, as amended, 7 U.S.C. Section 18(d). The Commission does not have the authority to pursue the collection of the award.

Very truly yours,


Tempest S. Thomas
Proceedings Clerk

Enclosure



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**OFFICE OF
PROCEEDINGS**

KAREN HARGRAVE,)
Complainant)
v.) CFTC Docket
DELONG, FRIEDMAN AND SUKENIK, INC.,) No. 00-R015
and JERE THOMAS WICKERT,)
Respondents)

FINAL DECISION

Karen Hargrave filed this case by selecting a voluntary decisional proceeding to be conducted under Subpart C of the Commission's reparations rules, 17 C.F.R. Part 12. The respondents agreed to that selection.* Only Ms. Hargrave took discovery or filed a verified statement. The record consists of the verified complaint (as supplemented by Ms. Hargrave), respondents' answers and discovery replies, and Ms. Hargrave's verified statement.

Upon careful consideration of the scant record made by the parties, it is concluded that complainant Hargrave **has** failed to establish any violations by respondents with regard to the incidents discussed in the complaint.

No violations having been found, the complaint is **DISMISSED**.

Dated: June 27, 2000

Joel R. Maillie
JOEL R. MAILLIE
Judgment Officer

* In a voluntary proceeding, the parties submit their dispute on the papers only, waiving their right to present oral testimony. In addition, the judge is not authorized to conduct discovery on his own motion (see Rule 12.34), leaving the parties solely responsible for the development of the record. When the record is closed, the judge issues a Final Decision containing only a conclusion whether any violations have been proven, and, if so, a reparation award for any damages caused by such violations (see Rule 12.106(b)). The decision does not contain findings of fact or other evidentiary evaluations by the judge. The Final Decision is not appealable either to the Commission or to the U.S. Court of Appeals (see Rule 12.106(d)).