



	<p>If answer is "no" to both questions listed above, do the commodities currently being traded meet the definition of eligible commodities in §36.2(a)?</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable <input type="checkbox"/></p>
<p>§ 5d(b) Criteria for exemption. To qualify for an exemption under subsection (a) (Election to register with the Commission), a board of trade shall limit trading on or through the facilities of the board of trade to contracts of sale of a commodity for future delivery (or options on such contracts or on a commodity)—</p> <p>*****;</p> <p>(2) that are entered into only between persons that are eligible contract participants at the time at which the persons enter into the contract; and</p>	<p><b>Trading is limited to eligible contract participants. Provide an explanation for any "no" answers.</b></p> <p><input type="checkbox"/> Mg <input type="checkbox"/> Bc</p>
<p>(3) that are not contracts of sale (or options on such a contract or on a commodity) for future delivery of any security, including any group or index of securities or any interest in, or based on the value of, any security or any group of index of securities.</p>	<p><b>Please confirm that the trading is not for contracts of sale for future delivery of any security.</b></p> <p><input type="checkbox"/></p>
<p>§36.2(c)(2) Market data dissemination.</p> <p>(i) Criteria for price discovery information . . .</p> <p>(ii) Notification. An exempt board of trade operating a market in reliance on the exemption in section 5d of the Act shall notify the Commission when:</p> <p>(A) It has reason to believe that the cash market bids, offers, or transactions are directly based on, or quoted at a differential to, the prices generated on the market on a more than occasional basis;</p> <p>(B) It has reason to believe that the market's prices are routinely disseminated in a widely distributed industry publication and are routinely consulted by industry participants in pricing cash market transactions; or</p> <p>(C) The exempt board of trade holds out the market to the public as performing a price discovery function for the cash market for the commodity.</p>	<p><b>Identify any products that serve as a significant source of price discovery for transactions in the cash market for the commodity underlying any contract.</b></p>

I certify that \_\_\_\_\_ (select one below):

- (1) continues to operate as an EBOT in reliance upon § 5d of the Act and all of the information contained in the Notification of Operation as an Exempt Board of Trade is correct or has been corrected as a result of this certification;
- or
- (2) is no longer operating as an EBOT in reliance upon § 5d of the Act and all the information contained in the Notification of Operation as an Exempt Board of Trade is correct or has been corrected as a result of this certification.

Signature \_\_\_\_\_

Position \_\_\_\_\_

Date \_\_\_\_\_