## SELECTED FCM FINANCIAL DATA AS OF December 31, 2011 FROM REPORTS FILED BY February 10, 2012

Futures Commission Merchant / Retail Foreign Exchange	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
Futures Commission Merchant / Retail Foreign Exchange				-		_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Guotomoro	Gustoniers	Exceed Periorent	i unus in ocparate	Guotomor		Total / lineant
· usures commission merenant, items i ereign =xemange			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
Dealer							in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation
Douisi												Accounts	
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
A ARNI AMPO OL FARINO OLIJOA CO LL O	EOM DD	ODOT	40/04/0044	204 402 207	110 001 000	100 000 570	0.000.000.004	4 0 40 75 4 500	07.405.444	0.44,000,000	004 004 400	00 500 500	
1 ABN AMRO CLEARING CHICAGO LLC		CBOT	12/31/2011	301,490,207	118,881,628	182,608,579	2,030,880,031	1,943,754,590	87,125,441	341,922,030	321,331,438	20,590,592	000.00
2 ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2011	256,605,074	139,920,070	116,685,004	3,171,688,657	2,693,541,222	478,147,435	178,644,406	144,428,402	34,216,004	968,009
	FCMRFD	NFA	12/31/2011	20,542,742	20,000,000	542,742	0	0	0 500 000	0	45.750.000	0	1,753,586
4 ADVANTAGE FUTURES LLC	FCM	CME	12/31/2011	20,606,735	10,954,082	9,652,653	464,261,449	454,674,547	9,586,902	48,473,826	45,752,328	2,721,498	10.071.07
. ,	FCMRFD	NFA	12/31/2011	25,397,941	20,033,569	5,364,372	289,073	172,859	116,214	0	0	0	10,671,378
6 AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	12/31/2011	114,975,113	1,000,000	113,975,113	04 040 445	00.070.400	1 044 040	0	0	0	
7 AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2011	1,804,321	1,000,000	804,321	34,018,145	32,976,199	1,041,946	253,786		252,078	
8 BARCLAYS CAPITAL INC	FCM BD	NYME	12/31/2011	6,415,675,853	994,729,883	5,420,945,970	6,454,489,743	6,261,494,604	192,995,139	3,806,768,201	3,641,099,385	165,668,816	(
	FCM BD	NFA	12/30/2011	59,241,089	1,000,000	58,241,089	0	0	104 770 400	0	0	0	(
10 BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	12/31/2011	2,246,207,500	309,205,095	1,937,002,405	1,605,999,252	1,421,225,772		620,420,851	550,016,044	70,404,807	(
11 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2011	1,985,533,776	68,162,909	1,917,370,867	409,542,363	344,245,249		0	0	0	(
12 BNY MELLON CLEARING LLC	FCM	CME	12/31/2011	256,040,725	10,690,002	245,350,723	368,470,283	221,950,763		1,654,342	358,542	1,295,800	(
13 CANTOR FITZGERALD & CO		СВОТ	12/30/2011	284,283,098	5,471,275	278,811,823	4,413,825	3,577,632	836,193	0	0	0	(
14 CAPITAL MARKET SERVICES LLC	FCM	NFA	12/31/2011	3,029,324	1,000,000	2,029,324	0	0	0	0	0	0	(
15 CIBC WORLD MARKETS CORP	FCM BD	CME	12/31/2011	500,140,583	1,500,000	498,640,583	0	0		0	0	0	(
	FCM BD	CME	12/31/2011	460,712,467	1,000,000	459,712,467	40.200.442.202	0 700 004 407	•	0 404 244 500	1.070.001.500	0	(
17 CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	12/31/2011	7,773,386,855	795,170,980	6,978,215,875	10,300,448,963	9,729,904,137	570,544,826	2,181,344,580	1,372,084,530	809,260,050	
18 COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	12/31/2011	1,097,600	1,000,000	97,600	0	0	40.507.000	0	745.540	0	(
19 COUNTRY HEDGING INC	FCM DD	NFA	12/31/2011	20,279,150	14,157,638	6,121,512	202,565,514	183,027,892		1,028,297	715,546	312,751	
20 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	12/31/2011	8,413,793,960	1,083,991,195	7,329,802,765	6,506,294,147	5,854,284,919		2,760,457,844	2,046,845,743	713,612,101	(
21 CROSSLAND LLC	FCM	CBOT	12/31/2011	9,686,832	4,162,958	5,523,874	92,156,339	86,735,120		34,947,027	33,041,747	1,905,280	(
22 CUNNINGHAM COMMODITIES LLC	FCM	СВОТ	12/31/2011	3,264,255	1,195,925	2,068,330	54,817,188	53,689,648		985,529	883,374	102,155	(
23 CX CAPITAL MARKETS LLC	FCM	NFA	12/31/2011	3,476,105	1,000,000	2,476,105	26,648,757	24,887,216	, ,	0	0	0	(
24 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2011	300,214,360	1,338,784	298,875,576	11,752,290	7,153,439	4,598,851	1,059,938		1,059,938	(
25 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	12/31/2011	8,096,091,854	594,609,558	7,501,482,296	14,724,160,099	14,294,119,334	430,040,765	1,500,562,182		299,119,064	
26 DORMAN TRADING LLC	FCM	CME	12/31/2011	9,337,433	1,530,480	7,806,953	128,220,260	124,435,416		2,732,850	2,535,993	196,857	
27 EAGLE MARKET MAKERS INC	FCM	СВОТ	12/30/2011	6,850,265	1,000,000	5,850,265	1,151,762	382,525	769,237	0	0	0	(
28 EASY FOREX US LTD	FCM	NFA	12/31/2011	1,686,103	1,000,000	686,103	0 40 007 400	000.545.070	4 444 504	0	0	0	
29 ENSKILDA FUTURES LTD	FCM	CME	12/30/2011	38,846,228	20,910,878	17,935,350	343,987,436	339,545,872		05 005 004	00.404.050	0 07 700 045	
30 FCSTONE LLC	FCM	CME	12/31/2011	116,943,999	72,072,902	44,871,097	1,293,557,685	1,263,906,593	29,651,092	95,865,004	68,104,959	27,760,045	444 700 07
	FCMRFD	NFA	12/31/2011	31,243,101	26,735,164	4,507,937	0	0	0	0	0	0	144,703,279
	FCMRFD	NFA	12/30/2011	22,923,338	22,000,000	923,338	40,000,440	0	0 405 000	0 207 242	0	0	3,461,914
33 FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	12/31/2011	2,822,365	1,000,000	1,822,365	12,892,449	10,427,063		2,027,940	1,865,568	162,372	
34 FRONTIER FUTURES INC	FCM	NFA	12/31/2011	1,921,603	1,000,000	921,603	30,978,193	30,327,894	650,299	0			
35 FUTURES TECH LLC	FCMDED	NFA	12/31/2011	1,585,137	1,000,000	585,137	•		0			•	47.504.40
	FCMRFD	NFA	12/31/2011	41,409,111	20,376,208	21,032,903	0	0	0	0	0	0	17,524,164
	FCMRFD	NFA	12/31/2011	27,886,601	21,286,076	6,600,525	0	0		0		Ů,	35,721,539
		NFA	12/31/2011	35,700,900	24,629,972	11,070,928		0	•		0	0	102,599,438
39 GH FINANCIALS LLC	FCMDED	CME	12/31/2011	9,316,092	1,000,000	8,316,092	504.570	-	-	0		-	00.475.40
40 GLOBAL FUTURES & FOREX LTD 41 GOLDMAN SACHS & CO	FCMRFD	NFA	12/31/2011	79,672,867	23,923,758	55,749,109	504,573	104,637		290,998		79,167	88,475,168
	FCM BD	CBOT	12/31/2011	11,422,646,769	1,908,555,518	9,514,091,251	21,446,768,814	20,909,611,142		9,616,586,541	9,169,341,752		
	FCM BD	CME	12/30/2011	2,100,793,132	103,719,471	1,997,073,661	1,370,186,556	772,637,306	597,549,250	71,168,498		43,391,482	
	FCM BD	NFA	12/31/2011	63,410,030 1,173,678,113	2,239,836	61,170,194	064 601 038	021 877 625	42.044.242	137 231 360		26 821 034	
44 HSBC SECURITIES USA INC 45 ICAP CORPORATES LLC	FCM BD	CME NFA	12/31/2011 12/31/2011	1,173,678,113	90,170,172	1,083,507,941	964,691,938	921,877,625	42,814,313	137,231,360	110,410,326	26,821,034	
					1,000,000	51,288,042	00 400 545		700.000		400.404	•	
46 IKON GLOBAL MARKETS INC 47 INSTINET LLC	FCM BD	NFA NFA	12/31/2011 12/31/2011	9,069,658 131,271,029	1,000,000	8,069,658 130,271,029	22,429,515	21,635,822	793,693	118,624	108,434	10,190	
	FCMRFD	NFA	12/31/2011	20,066,042	20,000,000	66.042	0	0	0	0	0	0	8,804,848
49 INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	12/31/2011	1,548,446	1,000,000	548,446	1,058	0	1,058	0	0	0	0,004,040
50 INTERACTIVE BROKERS LLC	FCM BD	NFA	12/31/2011	1,365,792,737	162,429,731	1,203,363,006	1,272,471,045	1,066,138,330	206,332,715	433,542,327	314,717,210	118,825,117	
51 IRONBEAM INC	FCM		12/30/2011	2,954,674			40,543,757	38,841,031				134,983	
52 ITG DERIVATIVES LLC		NFA NFA			1,000,000	1,954,674	40,343,737	30,041,031	1,702,726	242,612		134,963	
53 JEFFERIES & COMPANY INC	FCM BD	NFA	12/31/2011 12/31/2011	3,458,875 974,771,795	1,000,000 45,832,173	2,458,875 928,939,622	0	0		0	0	0	
54 JEFFERIES BACHE LLC	FCM	CBOT	12/31/2011	263,593,000	152,201,280		2 404 011 000	•	0	355,292,000	229,297,000	0	
55 JP MORGAN CLEARING CORP	FCM BD	NFA	12/31/2011	7,429,640,902	1,926,555,296	111,391,720 5,503,085,606	2,404,911,000 782,180,319	2,082,437,000 554,033,932		575,871,102		221,899,572	
56 JP MORGAN SECURITIES LLC	FCM BD	CEI	12/30/2011	11,100,234,132	1,926,555,296	9,549,940,677	18,845,933,180	16,860,612,204		3,784,122,351	3,415,048,460	369,073,891	
57 LEK SECURITIES CORPORATION		NFA								3,784,122,351		369,073,891	
58 LINN GROUP THE	FCM BD		12/31/2011	8,362,812	1,500,000	6,862,812	3,758,161	3,175,524		-	-	•	
	FCM RD	NFA	12/31/2011	3,266,504	1,424,926	1,841,578	63,251,537	60,311,062	2,940,475	362,192	187,932	174,260	
59 LPL FINANCIAL LLC	FCM BD	NFA	12/31/2011	100,944,413	7,028,023	93,916,390	923,120,481		00 460 405	117 210 470	70 770 224	20 5 47 4 44	
60 MACQUARIE FUTURES USA LLC	FCM	CBOT	12/31/2011	136,089,702	65,571,296	70,518,406		824,958,286		117,319,472		38,547,141	
61 MAREX NORTH AMERICA LLC	FCM	CME	12/31/2011	16,632,577	7,333,084	9,299,493	117,719,886	111,735,282	5,984,604	5,444,590	4,522,804	921,786	
62 MAREX USA LIMITED	FCMBED	NFA	12/31/2011	2,354,140	1,000,000	1,354,140	0	0	0	0	0	0	20,000,57
63 MB TRADING FUTURES INC 64 MBF CLEARING CORP	FCMRFD FCM	NFA NYME	12/31/2011 12/31/2011	25,101,763 6,206,290	21,140,428	3,961,335 5,206,290	59,458,764	57,170,554	2,288,210	3,751,332	2,979,914	771,418	32,808,57

## SELECTED FCM FINANCIAL DATA AS OF December 31, 2011 FROM REPORTS FILED BY February 10, 2012

	_			1		February 10,	2012						
65 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/30/2011		1,715,160	12,648,732	881,697,960	861,476,789	20,221,171	366,789	0 000 100 107	366,789	0
66 MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	12/31/2011	10,761,042,594	802,817,760	9,958,224,834	8,856,894,956	8,470,312,161	386,582,795	2,624,556,091	2,329,186,467	295,369,624	0
67 MERRILL LYNCH PROFESSIONAL CLEARING CORP 68 MID CO COMMODITIES INC	FCM BD	NFA NFA	12/31/2011 12/31/2011		168,048,254 5,261,816	3,285,853,039 7,340,904	1,570,694,970 39,768,901	959,531,733 32,364,681	611,163,237 7,404,220	135,772,023 953,344	41,680,658 551,338	94,091,365	0
69 MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	12/31/2011		1,792,429	244,572,631	0	32,304,001	7,404,220	955,544	0.00	402,000	0
70 MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	12/31/2011		1,000,000	1,872,848	0	0	0	0	0	0	0
71 MIZUHO SECURITIES USA INC	FCM BD	CME	12/31/2011		118,045,353	307,249,847	991,979,343	887,236,984	104,742,359	925,922,733	826,961,533	98,961,200	0
72 MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	12/31/2011		7,786,246	538,691,478	0	0	0	0	0	0	0
73 MORGAN STANLEY & CO LLC	FCM BD	CME	12/31/2011		1,033,036,171	7,215,499,224	5,341,062,987	5.238.261.291	102,801,696	2,007,258,334	1,904,866,668	102,391,666	0
74 MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	12/30/2011		37,425,680	805,516,427	491,268,576	454,848,944	36,419,632	1,573,088,828	1,547,055,263	26,033,565	0
75 NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	12/31/2011	360,313,450	1,500,000	358,813,450	0	0	0	0	0	0	0
76 NEUBERGER BERMAN LLC	FCM BD	NFA	12/31/2011	101,768,984	1,500,000	100,268,984	0	0	0	0	0	0	0
77 NEWEDGE USA LLC	FCM BD	NYME	12/31/2011	2,313,980,909	1,338,474,054	975,506,855	18,960,273,362	17,938,746,666	1,021,526,696	6,605,181,851	5,589,335,680	1,015,846,171	0
78 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	12/31/2011	2,455,113,299	20,916,817	2,434,196,482	113,797,621	88,803,938	24,993,683	1,026,037	209,082	816,955	0
79 OANDA CORPORATION	FCMRFD	NFA	12/31/2011	140,452,807	27,317,817	113,134,990	0	0	0	0	0	0	156,356,338
80 OPEN E CRY LLC	FCM	NFA	12/31/2011	3,042,332	1,098,670	1,943,662	90,875,654	87,648,664	3,226,990	3,068,710	2,591,213	477,497	0
81 OPPENHEIMER & CO INC	FCM BD	NFA	12/31/2011	144,404,773	22,650,394	121,754,379	28,672,477	17,107,464	11,565,013	0	0	0	0
82 OPTIONSXPRESS INC	FCM BD	NFA	12/31/2011	79,533,082	5,408,737	74,124,345	93,142,997	89,053,080	4,089,917	3,129,099	2,783,263	345,836	0
83 PENSON FINANCIAL SERVICES INC	FCM BD	СВОТ	12/31/2011		26,685,879	116,699,016	616,309,511	604,994,304	11,315,207	23,994,327	22,167,907	1,826,420	30,231,575
84 PEREGRINE FINANCIAL GROUP INC	FCM	NFA	12/31/2011		21,089,332	12,555,556	521,931,442	515,461,805	6,469,637	26,372,206	23,096,720	3,275,486	31,786,635
85 PHILLIP FUTURES INC	FCM	CME	12/31/2011		1,901,064	7,315,748	62,072,811	53,123,295	8,949,516	0	0	0	0
86 PIONEER FUTURES INC	FCM	NFA	12/31/2011		1,000,000	1,457,563	0	0	0	0	0	0	0
87 RAND FINANCIAL SERVICES INC	FCM	CME	12/31/2011		12,116,451	62,429,707	157,833,900	118,344,000	39,489,900	48,583,500	28,121,600	20,461,900	0
88 RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	12/31/2011		30,476,765	331,968,803	0	0	0	0	0	0	0
89 RBC CAPITAL MARKETS LLC	FCM BD	CME	12/30/2011	1,365,027,475	79,422,101	1,285,605,374	567,519,979	524,467,947	43,052,032	78,389,248	52,031,420	26,357,828	0
90 RBS SECURITIES INC	FCM BD	СВОТ	12/31/2011	3,168,204,462	302,314,113	2,865,890,349	2,406,333,292	2,276,263,452	130,069,840	215,771,507	100,801,289	114,970,218	0
91 RJ OBRIEN ASSOCIATES LLC	FCM	CME	12/31/2011		126,275,361	75,453,650	3,726,850,200	3,583,945,289	142,904,911	176,267,679	127,962,776	48,304,903	2,971,072
92 ROSENTHAL COLLINS GROUP LLC	FCM	CME	12/31/2011		63,250,523	28,162,037	1,635,861,204	1,610,207,837	25,653,367	56,035,042	42,050,697	13,984,345	0
93 ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	12/31/2011		1,000,000	41,604,041	0	0	0	0	0	0	0
94 SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	12/31/2011		15,342,940	95,996,840	0	100 500 007	0 007 007	0	0	0	0
95 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	12/30/2011		10,834,166	80,871,485	436,226,804	433,538,897	2,687,907	0	0 100 100	0 22 22 27	0
96 STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	12/31/2011		7,571,402	428,208,222	220,033,829	60,254,565	159,779,264	39,157,455	2,193,482	36,963,973	0
97 STEPHENS INC	FCM BD	NFA	12/31/2011		15,203,086	71,416,436	0	0	0	0	0	0	0
98 STERLING COMMODITIES CORP	FCM	NFA	12/31/2011		1,000,000	704,395	40.507.000	•	4.045.000	2 424 400	0 444 040	0	0
99 STRAITS FINANCIAL LLC	FCM BD	CME   NFA	12/31/2011		1,599,809	6,575,308	40,527,360	35,711,991	4,815,369	3,121,108	2,414,040	707,068	0
100 TD AMERITRADE INC	FCM	CBOT	12/31/2011		1,000,000	275,307,123	214 564 496	100 512 215	15 OF1 171	126 500	0	126 500	0
101 TENCO INC 102 TIMBER HILL LLC	FCM BD	CME	12/31/2011 12/31/2011		5,856,029	56,268,885	214,564,486 606,039,619	199,513,315 603,861,937	15,051,171	126,599	0	126,599	0
103 TRADELINK LLC	FCM BD	CBOT	12/31/2011		35,598,402 1,000,000	790,786,211 28,804,251	006,039,619	003,001,937	2,177,682	0	0	0	0
104 TRADESTATION FOREX INC	RFED	NFA	12/31/2011		23,076,595	2,159,009	0	0	0	0	0	0	71,531,907
105 TRADESTATION FOREX INC	FCM BD	NFA	12/31/2011		2,544,836	52,174,671	439,657,073	428,424,832	11,232,241	10,847,790	9,856,698	991,092	71,331,907
106 TRADITION SECURITIES AND FUTURES INC	FCM	NFA	12/31/2011		1,000,000	515,705	0	0	11,232,241	10,047,730	0,000,000	0	0
107 UBS FINANCIAL SERVICES INC	FCM BD		12/31/2011	, ,	123,908,672	1,057,265,701	188,183,111	144,068,979	44,114,132	21,023,407	349,721	20,673,686	0
108 UBS SECURITIES LLC	FCM BD		12/31/2011		961,345,147	11,317,320,232	9,652,936,288	8,988,413,236	664,523,052	5,246,837,637	4,835,053,453	411,784,184	0
109 VELOCITY FUTURES LLC	FCM	NFA	12/31/2011		1,000,000	675,512	72,371,355	71,359,917	1,011,438	16,127,162	15,305,856	821,306	0
110 VISION FINANCIAL MARKETS LLC	FCM BD	CME	12/31/2011		6,784,502	23,031,370	377,436,841	369,760,698	7,676,143	8,108,633	5,008,614	3,100,019	0
111 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	12/31/2011		2,949,721	31,998,183	0	000,700,000	0	0,100,000	0,000,011	0,100,010	0
112 WELLS FARGO ADVISORS LLC	FCM BD	NFA	12/31/2011		1,500,000	1,447,231,415	0	0	0	0	0	0	0
113 WELLS FARGO SECURITIES LLC	FCM BD	CME	12/31/2011		7,853,660	2,007,411,838	0	0	0	0	0	0	0
114 WHITE COMMERCIAL CORPORATION	FCM	NFA	12/30/2011		1,000,000	1,706,910	0	0	0	0	0	0	0
115 XPRESSTRADE LLC	RFED	NFA	12/31/2011		20,000,000	2,972,452	0	0	0	0	0	0	1,444,758
116 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	12/31/2011		1,000,000	613,629	75,180,608	73,260,321	1,920,287	2,035,658	1,774,570	261,088	, , 10
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Totals							156,069,614,004	145,486,089,254	10,583,524,750	46,533,615,429	40,651,372,602	5,882,242,827	741,814,178
November Web Page Update	118												
Additions	0												
Deletions	2												
ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC													
INTERBANK FX LLC (Merged w/Tradestation Forex Inc)													
Name Change STEPHENS to													
STEPHENS INC													
STEFFICING IING													
December Web Page Update	116												

## SELECTED FCM FINANCIAL DATA AS OF December 31, 2011 FROM REPORTS FILED BY

February	10	2012	
i Chidaiy	10,	2012	

(a): FCM: Futures Commission Merchant that is registered	d with the Commodity Futures Tradin	Commission:									
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;											
Ŭ	RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;										
1 1.9 1 9 1 1 1 1 1	FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.										
Term with the control of the control											
(b): DSRO: Designated Self-Regulatory Organization	(b): DSRO: Designated Self-Regulatory Organization.										
(c) - c · · c · c · c · g · · · · · · · · · ·											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
risk based capital requirement, the sum of 8% of total cu	ustomer risk maintenance margin and	8% of total non-customer risk maintenance	ce margin; or								
the amount of capital required by a registered futures as	ssociation (currently NFA is the only s	uch association); or									
for securities brokers and dealers, the amount of net cap	pital required by Rule 15c3-1(a) of the	Securities and Exchange Commission; or	r								
minimum dollar amount for FCM's offering or engaged in											
minimum dollar amount for FCM's offering or engaged in	minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.										
(d): Excess net capital is adjusted net capital, less the firm's ne	(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.										
(e): This represents the total amount of money, securities, and	(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.										
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all											
accounts that contain a net liquidating equity.											
(g): Excess/Deficient funds in segregation is customer assets i	in segregation, less the customer seg	regation requirement.									
(h): This represents the total amount of money, securities, and of the Commodity Exchange Act.	I property held in secured accounts for	r futures and options customers who trade	on commodity exchanges located outside the	ne United States in compliance with Part 30							
of the Commodity Exchange Act.											
(i): This represents the amount of funds an FCM is required to	eat aside for customers who trade or	commodity exchanges located sutside of	the United States								
(i). This represents the amount of funds an FOW is required to	set aside for custoffiers wito trade of	commodity exchanges located butside of	the Office States.								
(i): Excess/Deficient funds in separate Section 30.7 accounts is	is funds in senarate Section 30.7 acc	ounts less the customer amount Part 30 re	equirement								
(I). Excess/Denoient funds in separate Section 30.7 accounts in	is fullus ili separate dection 50.7 acc	ounts, less the customer amount Fait 50 re	squirement.								
(k): This represents the total amount of funds at an FCM, RFE	D or ECMBED that would be obtained	d by combining all money securities and n	property deposited by a retail forey customor	into a retail forey account or accounts							
adjusted for the realized and unrealized net profit or loss.	b, or i civilal b that would be obtaine	a by combining an money, securities and p	rioperty deposited by a retail lorex customer	into a retail forex account of accounts,							
adjusted for the realized and unrealized het profit of 1033.											